

PERIODIC DISCLOSURES
L-26-INVESTMENT ASSETS

PART - A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Particulars	Amount
Total Application as per Balance Sheet (A)	1,128,944
Add: (B)	
Provisions	28
Current Liabilities	71,102
Less: (C)	
Debit Balance in P & L A/c	102,367
Loans	861
Adv & Other Assets	44,536
Cash & Bank Balance	5,187
Fixed Assets	27,448
Misc Exp Not Written Off	7,580
Funds available for Investments	1,012,096

Reconciliation of Investment Assets		Rs. Lacs
Particulars		Amount
Total Investment Assets as per Balance Sheet		1,012,096
Balance Sheet Value of:		1,012,096
A. Life Fund		348,793
B. Pension & General Annuity Fund		5,509
C. Unit Linked Funds		657,794

NONLINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH		Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value		
		Balance	FRSM*	UL-Non Unit Res	PAR						NON PAR	
		(a)	(b)	(c)	(d)						(e)	
1	G. Sec	Not less than 25%	-	29,479	2,423	125,956	10,460	168,317	48%	-	168,317	160,594
2	G. Sec or Other Approved Securities (incl 1 Above)	Not less than 50%	-	36,316	4,972	160,749	12,539	214,575	62%	-	214,575	206,616
3	Investment Subject to Exposure Norms											
	a. Housing & Infrastructure	Not less than 15%	-	17,548	2,689	51,614	4,667	76,519	22%	19	76,519	77,073
	b. i) Approved Investments		-	29,023	5,445	21,003	2,099	57,569	17%	371	57,569	57,769
	ii) "Other Investments" not to exceed 15%	Not exceeding 35%	-	126	-	-	4	130	0%	20	130	129
	TOTAL LIFE FUND	100%	-	83,013	13,105	233,365	19,309	348,793	100%	410	348,793	341,587

B. PENSION AND GENERAL ANNUITY FUND	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value	
		PAR	NON PAR						
1	G. Sec	Not less than 20%	3,623	-	3,623	66%	-	3,623	3,450
2	G. Sec or Other Approved Securities (incl 1 Above)	Not less than 40%	4,110	-	4,110	75%	-	4,110	3,948
3	Investment Subject to Exposure Norms	Not exceeding 60%	981	419	1,400	25%	-	1,400	1,425
	TOTAL PENSION , GENERAL ANNUITY	100%	5,090	419	5,509	100%	-	5,509	5,372

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
1	Approved Investment	Not less than 75%	-	591,928	90%
2	Other Investments	Not exceeding 25%	-	65,866	10%
	100%			657,794	100%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: _____
Full Name: Rajesh Sud / Prashant Sharma
Designation: Chief Executive Officer/ Chief of Investments

Note: (+) FRMS refers to 'Funds representing Solvency Margins'.

Pattern of Investment will apply only to Shareholders (SH) funds representing FRSM ("F")
Funds beyond Solvency Margin shall have a separate Custody Account
Other Investments are as permitted under Section 27A(2) and 27B(3) of Insurance Act, 1938.

PARTICULARS	Pension Balanced Fund	Pension Growth Fund	Pension Conservative Fund	Pension Secured Fund	Pension Growth Super Fund Fund	Gr Gratuity Balanced fund
Opening Balance (Market Value)	2,512	14,183	471	1,282	13,054	1,009
Add: Inflow During the Quarter	1,268	3,080	78	397	2,641	644
Increase / (Decrease) Value of Inv. (Net)	75	294	5	21	374	24
Less: Outflow during the Quarter	(212)	(1,076)	(208)	(209)	(911)	(40)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	3,643	16,480	346	1,492	15,158	1,638

INVESTMENT OF UNIT FUND	Pension Balanced Fund		Pension Growth Fund		Pension Conservative Fund		Pension Secured Fund		Pension Growth Super Fund Fund		Gr Gratuity Balanced fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Government Bonds	831	23%	-	0%	189	55%	818	55%	-	0%	280	17%
Corporate Bonds	409	11%	1,029	6%	54	15%	305	20%	86	1%	169	10%
Infrastructure Bonds	773	21%	4,305	26%	38	11%	165	11%	1,578	10%	331	20%
Equity	675	19%	6,860	42%	31	9%	-	0%	9,527	63%	283	17%
Money Market	425	12%	1,235	7%	-	0%	84	6%	920	6%	98	6%
Mutual funds	6	0%	704	4%	-	0%	6	0%	546	4%	-	0%
Deposit with Banks	122	3%	329	2%	20	6%	60	4%	40	0%	25	2%
Sub Total (A)	3,240	89%	14,462	88%	332	96%	1,439	96%	12,698	84%	1,186	72%
Current Assets:												
Accrued Interest	45	1%	145	1%	6	2%	27	2%	5	0%	16	1%
Bank Balance	191	5%	493	3%	4	1%	53	4%	270	2%	439	27%
Dividend Recievable	0	0%	0	0%	0	0%	-	0%	0	0%	0	0%
Receivable for Sale of Investments	73	2%	-	0%	52	15%	52	3%	-	0%	21	1%
Other Current Assets	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Appropriation (Expropriation) Asset	3	0%	25	0%	0	0%	0	0%	39	0%	1	0%
Less: Current Liabilities												
Payable for Investments	(83)	-2%	(200)	-1%	(49)	-14%	(81)	-5%	(55)	0%	(59)	-4%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	229	6%	464	3%	13	4%	53	4%	258	2%	418	26%
Other Investments (<=25%)												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	174	5%	1,554	9%	1	0%	-	0%	2,202	15%	35	2%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	174	5%	1,554	9%	1	0%	0	0%	2,202	15%	35	2%
Total (A+B+C)	3,643	100%	16,480	100%	346	100%	1,492	100%	15,158	100%	1,638	100%

- DATE:**
Note:
 1. The aggregate of all the above Segregated Unit Fund should tally with item C of Form 3A (Part A) , for both Par & Non Par Business
 2. Details of item 12 of Form LB 2 of IRDA (Actuarial Report) Regulation ,2000 shall be reconciled with FORM 3A (Part B)
 3. Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

PARTICULARS	Gr Gratuity Growth fund	Gr Gratuity Conservative Fund	Gr Super Balanced Fund	Gr Super Growth Fund	Gr Super Conservative Fund	Balanced Fund
Opening Balance (Market Value)	1,233	2,803	2	4	138	27,567
Add: Inflow During the Quarter	18	111	0	0	36	3,551
Increase / (Decrease) Value of Inv. (Net)	17	42	0	(0)	2	536
Less: Outflow during the Quarter	(58)	(313)	(1)	-	(2)	(867)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	1,210	2,643	2	4	174	30,787

INVESTMENT OF UNIT FUND	Gr Gratuity Growth fund		Gr Gratuity Conservative Fund		Gr Super Balanced Fund		Gr Super Growth Fund		Gr Super Conservative Fund		Balanced Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Government Bonds	153	13%	1,365	52%	1	37%	1	23%	98	56%	6,862	22%
Corporate Bonds	115	10%	380	14%	0	16%	0	11%	1	0%	3,462	11%
Infrastructure Bonds	246	20%	573	22%	0	12%	1	14%	33	19%	5,418	18%
Equity	482	40%	-	0%	0	21%	2	39%	-	0%	7,117	23%
Money Market	59	5%	90	3%	-	0%	-	0%	13	7%	4,437	14%
Mutual funds	25	2%	11	0%	-	0%	-	0%	-	0%	628	2%
Deposit with Banks	25	2%	80	3%	-	0%	-	0%	-	0%	398	1%
Sub Total (A)	1,105	91%	2,500	95%	1	87%	4	88%	144	83%	28,322	1
Current Assets:												
Accrued Interest	14	1%	54	2%	0	2%	0	1%	3	2%	466	2%
Bank Balance	3	0%	118	4%	0	9%	0	8%	37	21%	1,111	4%
Dividend Receivable	0	0%	-	0%	-	0%	-	0%	-	0%	0	0%
Receivable for Sale of Investments	-	0%	52	2%	-	0%	-	0%	-	0%	81	0%
Other Current Assets	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Appropriation (Expropriation) Asset	(2)	0%	(0)	0%	0	0%	0	0%	0	0%	25	0%
Less: Current Liabilities												
Payable for Investments	-	0%	(81)	-3%	-	0%	-	0%	(10)	-6%	(659)	-2%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	15	1%	144	5%	0	11%	0	9%	30	17%	1,023	3%
Other Investments (<=25%)												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	90	7%	-	0%	0	2%	0	3%	-	0%	1,442	5%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	90	7%	0	0%	0	2%	0	3%	0	0%	1,442	0
Total (A+B+C)	1,210	100%	2,643	100%	2	100%	4	100%	174	100%	30,787	100%

DATE:
Note:
 1. The aggregate of all the above Segregated Unit Funds should tally with item C of Form 3A (Part A) , for both Par & Non
 2. Details of item 12 of Form LB 2 of IRDA (Actuarial Report) Regulation ,2000 shall be reconciled with FORM 3A (P:
 3. Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

PARTICULARS	Growth Fund	Conservative Fund	Secured Fund	Guaranteed Fund-Income	Guaranteed Fund-Dynamic	Growth Super Fund
Opening Balance (Market Value)	371,447	2,995	6,551	27	182	141,583
Add: Inflow During the Quarter	28,660	316	973	7	36	23,432
Increase / (Decrease) Value of Inv. (Net)	6,881	50	98	0	2	3,744
Less: Outflow during the Quarter	(4,717)	(250)	(480)	(1)	(5)	(11,422)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	402,271	3,112	7,142	34	215	157,338

INVESTMENT OF UNIT FUND	Growth Fund		Conservative Fund		Secured Fund		Guaranteed Fund-Income		Guaranteed Fund-Dynamic		Growth Super Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Government Bonds	8,702	2%	1,636	53%	3,696	52%	24	70%	120	56%	-	0%
Corporate Bonds	20,998	5%	454	15%	1,426	20%	3	8%	11	5%	318	0%
Infrastructure Bonds	75,746	19%	352	11%	1,001	14%	2	6%	30	14%	16,771	11%
Equity	166,086	41%	299	10%	-	0%	2	5%	18	8%	104,719	67%
Money Market	66,388	17%	70	2%	549	8%	1	3%	22	10%	9,818	6%
Mutual funds	14,673	4%	-	0%	79	1%	-	0%	-	0%	3,603	2%
Deposit with Banks	3,147	1%	85	3%	159	2%	-	0%	-	0%	198	0%
Sub Total (A)	355,741	88%	2,897	93%	6,911	97%	31	92%	201	94%	135,427	86%
Current Assets:												
Accrued Interest	3,188	1%	65	2%	158	2%	1	2%	4	2%	36	0%
Bank Balance	6,817	2%	126	4%	159	2%	2	6%	8	4%	1,262	1%
Dividend Receivable	-	0%	(0)	0%	-	0%	0	0%	-	0%	0	0%
Receivable for Sale of Investments	84	0%	21	1%	115	2%	-	0%	-	0%	-	0%
Other Current Assets	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Appropriation (Expropriation) Asset	627	0%	1	0%	0	0%	0	0%	0	0%	405	0%
Less: Current Liabilities												
Payable for Investments	(2,722)	-1%	(49)	-2%	(200)	-3%	-	0%	-	0%	(542)	0%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	7,994	2%	164	5%	232	3%	3	8%	12	5%	1,161	1%
Other Investments (<=25%)												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	38,536	10%	52	2%	-	0%	0	0%	2	1%	20,750	13%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	38,536	10%	52	2%	0	0%	0	0%	2	1%	20,750	13%
Total (A+B+C)	402,271	100%	3,112	100%	7,142	100%	34	100%	215	100%	157,338	100%

DATE:
Note:
 1. The aggregate of all the above Segregated Unit Fund should tally with item C of Form 3A (Part A) , for both Par & Non
 2. Details of item 12 of Form LB 2 of IRDA (Actuarial Report) Regulation ,2000 shall be reconciled with FORM 3A (P:
 3. Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

PARTICULARS	High Growth Fund	Dynamic Opportunity Fund	Money Market Fund	Secure Plus Fund	TOTAL
Opening Balance (Market Value)	1,984	7,893	812	725	598,457
Add: Inflow During the Quarter	250	2,225	164	181	68,068
Increase / (Decrease) Value of Inv. (Net)	72	191	5	10	12,446
Less: Outflow during the Quarter	(59)	(256)	(38)	(53)	(21,177)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	2,247	10,053	943	864	657,794

INVESTMENT OF UNIT FUND	High Growth Fund		Dynamic Opportunity Fund		Money Market Fund		Secure Plus Fund		TOTAL	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)										
Government Bonds	-	0%	97	1%	931	99%	538	62%	26,342	4%
Corporate Bonds	66	3%	1,063	11%	-	0%	94	11%	30,444	5%
Infrastructure Bonds	277	12%	2,132	21%	-	0%	109	13%	109,881	17%
Equity	1,404	62%	3,860	38%	-	0%	-	0%	301,364	46%
Money Market	90	4%	1,357	13%	-	0%	42	5%	85,697	13%
Mutual funds	75	3%	202	2%	-	0%	-	0%	20,559	3%
Deposit with Banks	-	0%	130	1%	-	0%	30	3%	4,848	1%
Sub Total (A)	1,911	85%	8,841	88%	931	99%	813	94%	579,136	88%
Current Assets:										
Accrued Interest	7	0%	88	1%	-	0%	17	2%	4,346	1%
Bank Balance	68	3%	448	4%	12	1%	52	6%	11,672	2%
Dividend Receivable	0	0%	-	0%	-	0%	-	0%	0	0%
Receivable for Sale of Investments	-	0%	-	0%	-	0%	21	2%	572	0%
Other Current Assets	-	0%	-	0%	-	0%	-	0%	-	0%
Appropriation (Expropriation) Asset	3	0%	25	0%	0	0%	0	0%	1,152	0%
Less: Current Liabilities										
Payable for Investments	(19)	-1%	(102)	-1%	-	0%	(40)	-5%	(4,951)	-1%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	59	3%	460	5%	12	1%	50	6%	12,792	2%
Other Investments (<=25%)										
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	276	12%	752	7%	-	0%	-	0%	65,866	10%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	276	12%	752	7%	0	0%	0	0%	65,866	10%
Total (A+B+C)	2,247	100%	10,053	100%	943	100%	864	100%	657,794	100%

DATE:

Note:

- The aggregate of all the above Segregated Unit Funds should tally with item C of Form 3A (Part A) , for both Par & Non
- Details of item 12 of Form LB 2 of IRDA (Actuarial Report) Regulation ,2000 shall be reconciled with FORM 3A (P:
- Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments



PART - C

PERIODIC DISCLOSURES

FORM L-28-ULIP NAV

Linked to Form 3A (Part B)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

No	NAME OF THE SCHEME	AS ON THE ABOVE DATE			PREVIOUS QTR. NAV	2nd PREVIOUS QTR. NAV	3rd PREVIOUS QTR. NAV	ANNUALISED RETURN/YIELD	3 YEAR ROLLING CAGR
		ASSETS HELD	NAV	NAV AS PER LB2					
1	PENSION BALANCED FUND	3,643	14.412	14.412	14.074	13.873	13.255	18.30%	9.85%
2	PENSION GROWTH FUND	16,480	16.484	16.484	16.190	15.694	14.294	42.38%	12.79%
3	PENSION CONSERVATIVE FUND	346	13.771	13.771	13.555	13.391	13.114	11.01%	8.47%
4	PENSION SECURED FUND	1,492	13.005	13.005	12.808	12.619	12.576	6.51%	7.12%
5	PENSION GROWTH SUPER FUND FUND	15,158	9.424	9.424	9.200	8.849	7.694	70.12%	-2.47%
6	GROUP GRATUITY BALANCED FUND	1,638	14.229	14.229	13.970	13.647	13.108	18.97%	10.62%
7	GROUP GRATUITY GROWTH FUND	1,210	13.666	13.666	13.458	13.195	12.276	29.02%	10.78%
8	GROUP GRATUITY CONSERVATIVE FUND	2,643	13.187	13.187	12.981	12.761	12.753	6.69%	8.80%
9	GROUP SUPERANNUATION BALANCED FUN	2	13.406	13.406	13.259	13.003	12.545	13.84%	10.38%
10	BALANCED FUND	30,787	20.649	20.649	20.281	19.749	18.606	26.44%	11.72%
11	GROWTH FUND	402,271	28.327	28.327	27.844	27.046	24.676	45.80%	15.17%
12	CONSERVATIVE FUND	3,112	17.661	17.661	17.368	17.120	16.655	18.89%	11.61%
13	SECURED FUND	7,142	14.702	14.702	14.490	14.264	14.227	6.71%	9.52%
14	GUARANTEED FUND-INCOME	34	12.112	12.112	11.955	11.819	11.691	9.05%	6.15%
15	GUARANTEED FUND-DYNAMIC	215	12.611	12.611	12.470	12.318	12.127	9.86%	7.15%
16	GROWTH SUPER FUND	157,338	15.385	15.385	15.030	14.409	12.480	82.08%	16.24%
17	HIGH GROWTH FUND	2,247	11.433	11.433	11.048	10.630	9.354	70.40%	6.61%
18	DYNAMIC OPPORTUNITY FUND	10,053	12.713	12.713	12.471	12.244	11.284	42.14%	13.37%
19	GROUP SUPERANNUATION GROWTH FUND	4	12.277	12.277	12.335	12.151	11.313	22.77%	7.16%
20	GROUP SUPERANNUATION CONSERVATIVE	174	10.439	10.439	10.264	10.121	10.126	4.39%	1.46%
21	MONEY MARKET FUND	943	10.200	10.200	10.142	10.095	10.027	2.26%	2.26%
22	SECURE PLUS FUND	864	10.273	10.273	10.136	9.984	9.906	3.10%	3.10%

Note :

1 NAV figures as per LB2 are as on 31st March 2010

2 Launch Dates for fund not in existence for 3 years

Fund Details	Launch Date
Growth Super Fund	21-May-07
High Growth Fund	26-Feb-08
Dynamic Opportunities	2-May-08
Pension Growth Super	16-Nov-07
Money Market Fund	13-May-09
Secure Plus Fund	13-May-09
Superannuation Conservative Fund	13-Apr-07
Superannuation Growth Fund	13-Apr-07
Superannuation Balanced Fund	13-Apr-07

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: _____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 29 - DEBT SECURITIES - 7A
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

(Amt in Rs Lacs)

Detail Regarding debt securities																
Break down by credit rating	MARKET VALUE				Book Value											
	ULIP	Non-ULIP	Total as at March 31,2010	as % of total for this class	ULIP	Non-ULIP	Total as at March 31,2010	as % of total for this class	ULIP	Non-ULIP	Total as at March 31,2009	as % of total for this class	ULIP	Non-ULIP	Total as at March 31,2009	as % of total for this class
AAA rated	173,268	114,616	287,884	51.80%	82,538	71,989	154,528	39.30%	173,268	113,573	286,841	50.94%	82,538	71,641	154,179	39.12%
AA or better	11,366	7,647	19,013	3.42%	6,408	7,635	14,044	3.57%	11,366	7,965	19,331	3.43%	6,408	7,756	14,165	3.59%
Rated below AA but above A	0	508	508	0.09%	0	0	0	0.00%	0	499	499	0.09%	0	0	-	0.00%
Rated below A but above B	0	0	0	0.00%	0	0	0	0.00%	0	0	0	0.00%	0	0	-	0.00%
Any other*	31,190	217,166	248,356	44.69%	54,852	189,798	224,648	57.13%	31,190	225,242	256,432	45.54%	54,852	170,951	225,803	57.29%
	215,825	339,837	555,762	100.00%	143,799	249,421	393,220	100%	215,825	347,279	563,104	100.00%	143,799	250,347	394,146	100%
BREAKDOWN BY RESIDUAL MATURITY																
Up to 1 year	118,257	30,137	148,395	26.70%	36,603	25,895	62,498	16%	118,257	30,024	148,281	26.33%	36,603	25,866	62,469	16%
more than 1 year and upto 2 years	62,047	16,052	78,099	14.05%	21,500	13,881	35,381	9%	62,047	15,570	77,616	13.76%	21,500	13,496	34,996	9%
More than 3 years and up to 5 years	18,824	39,054	57,878	10.41%	31,530	23,737	55,266	14%	18,824	38,813	57,637	10.24%	31,530	23,366	54,896	14%
More than 7 years and up to 10 years	16,495	46,885	63,380	11.40%	44,914	29,266	74,180	19%	16,495	47,217	63,712	11.31%	44,914	29,180	74,093	19%
More than 10 years and up to 15 years	202	60,516	60,717	10.93%	7,299	38,129	45,429	12%	202	61,406	61,608	10.94%	7,299	38,479	45,779	12%
More than 15 years and up to 20 years	0	44,112	44,112	7.94%	1,526	30,870	32,396	8%	0	45,929	45,929	8.16%	1,526	31,512	33,039	8%
Above 20 years	0	103,181	103,181	18.57%	426	87,643	88,069	22%	0	108,321	108,321	19.24%	426	88,449	88,875	22%
	215,825	339,837	555,762	100.00%	143,799	249,421	393,220	100%	215,825	347,279	563,104	100%	143,799	250,347	394,146	100%
Breakdown by type of the Issuer																
a. Central Government	26,342	209,100	235,442	42.36%	49,352	159,898	209,251	53.21%	26,342	217,176	243,518	43.25%	49,352	161,053	210,405	53.38%
b. State Government	0	0	0	0.00%	0	0	0	0.00%	0	0	0	0.00%	0	0	0	0.00%
c. Corporate Securities	189,483	130,837	320,320	57.64%	94,447	89,522	183,969	46.79%	189,483	130,103	319,586	56.75%	94,447	89,295	183,741	46.62%
	215,825	339,837	555,762	100.00%	143,799	249,421	393,220	100%	215,825	347,279	563,104	100.00%	143,799	250,347	394,146	100%

- Note**
- In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
 - The detail of ULIP and Non-ULIP will be given separately.
 - Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
 * Includes Government Securities, Treasury Bills and Fixed Deposits

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

NO.	DESCRIPTION	CONFIRMATION (YES / NO)
1	Details of Approved Investments / Other Investments which have matured for payment and maturity amount is outstanding along with particulars of defaulted amount and period for which said default has continued	No
2	Any Investment as at (1), which subsequent to maturity have been rolled over	No
3	In respect of Investments where periodic income have fallen due, details of interest payment in default, along with period for which such default have persisted.	No
4	Details of steps taken to recover the defaulted amounts, and the provisioning done / proposed in the accounts against such defaults	N.A.

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

Note: If any of the confirmations is in the affirmative, details be provided

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

NAME OF THE FUND: LIFE FUND

COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deffered Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be sbmitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

NAME OF THE FUND: ANNUITY FUND

COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deffered Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be sbmitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

NAME OF THE FUND: LINKED FUND

COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deffered Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be sbmitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

NO.	INVESTMENT PARTICULARS	CAT CODE	CURRENT QUARTER				YEAR TO DATE				PREVIOUS YEAR					
			INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%) *	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)		
A	GOVERNMENT SECURITIES															
A01	Central Government Bonds	CGSB	164,771	2,955	7.47%	7.47%	164,771	11,172	7.25%	7.25%	144,123	8,223	7.90%	7.90%		
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,050	25	9.57%	9.57%	1,050	99	9.88%	9.88%	1,052	99	9.51%	9.51%		
A04	Treasury Bills	CTRB	2,496	8	1.28%	1.28%	2,496	23	0.91%	0.91%	-	65	0.00%	0.00%		
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES															
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	46,258	858	8.33%	8.33%	46,258	2,367	5.85%	5.85%	12,144	1,038	8.65%	8.65%		
D	INFRASTRUCTURE INVESTMENTS															
	TAXABLE BONDS															
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	13	0	5.46%	5.46%	13	2	15.64%	15.64%						
D03	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	44	-	0.00%	0.00%	44	-	0.00%	0.00%						
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	68,209	1,284	8.43%	8.43%	68,209	4,417	7.44%	7.44%	41,924	3,635	9.16%	9.16%		
D08	Infrastructure - PSU - CPs	IPCP	-	-			-	28								
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	8,254	197	8.83%	8.83%	8,254	942	11.04%	11.04%	8,560	723	8.47%	8.47%		
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS															
E01	PSU - Equity shares - Quoted	EAEQ	226	1	2.85%	2.85%	226	1	0.71%	0.71%						
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	827	-	0.00%	0.00%	827	287	35.45%	35.45%	1,445	(95)	-36.47%	-36.47%		
E05	Corporate Securities - Bonds - (Taxable)	EPBT	25,635	514	9.03%	9.03%	25,635	1,545	6.94%	6.94%	13,513	1,240	11.41%	11.41%		
E13	Loans - Policy Loans	ELPL	-	-	0.00%	0.00%	-	-	0.00%	0.00%	483	12	4.38%	4.38%		
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCLL, RBI	ECDB	8,188	39	1.93%	1.93%	8,188	144	1.79%	1.79%	10,037	438	2.49%	2.49%		
E17	Deposits - CDs with Scheduled Banks	EDCD	11,749	43	2.15%	2.15%	11,749	331	4.23%	4.23%	9,690	1,192	10.31%	10.31%		
E18	Deposits - Repo / Reverse Repo	ECMR	-	3			-	3								
E21	Commercial Papers	ECCP	-	2	0.54%	0.54%	-	9			-	-	0.00%	0.00%		
E22	Application Money	ECAM	-	-			-	9			-	-	0.00%	0.00%		
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	4,200	81	9.55%	9.55%	4,200	167	4.95%	4.95%	-	-	0.00%	0.00%		
E24	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	1,500	37	9.99%	9.99%	1,500	155	10.91%	10.91%	1,700	171	10.03%	10.03%		
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	5,244	138	9.44%	9.44%	5,244	271	4.69%	4.69%						
F	OTHER INVESTMENTS															
F01	Bonds - PSU - Taxable	OBPT	-	-			-	26			1,200	-	0.00%	0.00%		
F03	Equity Shares (incl Co-op Societies)	OESH	88	0	0.01%	0.01%	88	38	43.64%	43.64%	375	(105)	-24.53%	-24.53%		
F04	Equity Shares (PSUs & Unlisted)	OEPU	36	0	5.10%	5.10%	36	0	1.28%	1.28%						
F06	Debentures	OLDB	-	-			-	37			1,695	-	0.00%	0.00%		
F09	Preference Shares	OPSH	5	-	0.00%	0.00%	5	-	0.00%	0.00%	5	-	0.00%	0.00%		
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-			-	131			-	389	0.00%	0.00%		
F16	Securitized Assets	OPSA	-	-			-	1			142	10	7.43%	7.43%		
			348,793	6,184	7.61%	7.61%	348,793	22,202	7.00%	7.00%	248,087	17,035	10.99%	10.99%		

Note :
 1 All yields are on annualised basis
 2 Previous year figures are for financial year ending 31st March 2009

CERTIFICATION
 Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Note: Category of Investments shall be as per Guidelines
 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
 2 Yield netted for Tax.
 3 FORM-1 shall be prepared in respect of each fund.

Signature: _____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 34 YIELD ON INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

NAME OF THE FUND: ANNUITY FUND



Rs. Lacs

NO.	INVESTMENT PARTICULARS	CAT CODE	CURRENT QUARTER				YEAR TO DATE				PREVIOUS YEAR				
			INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%) *	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	
A	GOVERNMENT SECURITIES														
A01	Central Government Bonds	CGSB	3,609	67	7.66%	7.66%	3,609	266	7.86%	7.86%	3,138	232	8.06%	8.06%	
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	14	0	9.57%	9.57%	14	1	9.88%	9.88%	14	1	9.86%	9.86%	
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES														
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	487	9	7.91%	7.91%	487	33	7.91%	7.91%	381	33	8.99%	8.99%	
D	INFRASTRUCTURE INVESTMENTS														
	TAXABLE BONDS														
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	490	11	9.11%	9.11%	490	44	9.43%	9.43%	469	42	17.05%	17.05%	
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	263	6	9.03%	9.03%	263	25	9.79%	9.79%	267	25	9.66%	9.66%	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS														
E05	Corporate Securities - Bonds - (Taxable)	EPBT	230	5	8.12%	8.12%	230	19	8.74%	8.74%	237	20	8.32%	8.32%	
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	417	-	0.00%	0.00%	417	-	0.00%	0.00%	162	-	0.00%	0.00%	
E17	Deposits - CDs with Scheduled Banks	EDCD	-	-			-	-			201	0	0.00%	0.00%	
F	OTHER INVESTMENTS														
			5,509	97	7.59%	7.59%	5,509	388	7.81%	7.81%	4,869	353	11.26%	11.26%	

Note :

- 1 All yields are on annualised basis
- 2 Previous year figures are for financial year ending 31st March 2009

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Note: Category of Investments shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
- 2 Yield netted for Tax.
- 3 FORM-1 shall be prepared in respect of each fund.

Signature: _____

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

Rs. Lacs

NO.	INVESTMENT PARTICULARS	CAT CODE	CURRENT QUARTER				YEAR TO DATE				PREVIOUS YEAR					
			INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%) *	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)	INVESTMENT (Rs.)	INCOME ON INVESTMENT (Rs.)	GROSS YIELD (%)	NET YIELD (%)		
A	GOVERNMENT SECURITIES															
A01	Central Government Bonds	CGSB	25,345	336	5.79%	5.79%	25,345	2,512	11.37%	11.37%	47,907	1,323	4.83%	4.83%		
A02	Central Government Guaranteed Loans / Bonds	CGSL	-	28	9.08%	9.08%	-	54	4.38%	4.38%						
A04	Treasury Bills	CTRB	997	22	2.51%	2.51%	997	114	3.36%	3.36%	1,445	133	14.71%	14.71%		
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES															
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	1			-	1								
C	HOUSING SECTOR INVESTMENTS															
C06	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	3,467	39	5.78%	5.78%	3,467	46	1.70%	1.70%						
D	INFRASTRUCTURE INVESTMENTS															
	TAXABLE BONDS															
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,872	38	6.52%	6.52%	1,872	2,279	186.67%	186.67%	447	28	13.48%	13.48%		
D03	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	39,516	(965)	-9.03%	-9.03%	39,516	7,393	19.16%	19.16%	-	-	0.00%	0.00%		
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	38,241	574	6.89%	6.89%	38,241	3,785	11.95%	11.95%	-	21	0.29%	0.29%		
D08	Infrastructure - PSU - CPs	IPCP	2,407	34	5.65%	5.65%	2,407	43	1.81%	1.81%						
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	24,378	500	8.06%	8.06%	24,378	2,120	8.82%	8.82%	29,995	2,956	20.87%	20.87%		
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS															
E01	PSU - Equity shares - Quoted	EAEQ	46,765	(739)	-6.23%	-6.23%	46,765	2,279	4.96%	4.96%						
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	254,599	12,614	21.41%	21.41%	254,599	126,361	70.69%	70.69%	111,464	(32,024)	-29.44%	-29.44%		
E05	Corporate Securities - Bonds - (Taxable)	EPBT	29,572	587	8.00%	8.00%	29,572	2,678	9.47%	9.47%	40,356	4,078	17.83%	17.83%		
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	4,848	92	7.69%	7.69%	4,848	532	11.62%	11.62%	5,500	393	3.24%	3.24%		
E17	Deposits - CDs with Scheduled Banks	EDCD	76,026	772	4.67%	4.67%	76,026	2,463	3.77%	3.77%	16,173	2,847	33.84%	33.84%		
E18	Deposits - Repo / Reverse Repo	ECMR	-	2			-	12								
E21	Commercial Papers	ECCP	9,671	113	5.95%	5.95%	9,671	252	3.34%	3.34%	1,918	200	14.70%	14.70%		
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	872	20	9.07%	9.07%	872	44	5.18%	5.18%	-	(44)	-0.40%	-0.40%		
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	20,559	210	3.90%	3.90%	20,559	441	2.06%	2.06%						
E30	Net Current Assets (Only in respect of ULIP Business)	ENCA	12,792	-	0.00%	0.00%	12,792	-	0.00%	0.00%	15,051	-	0.00%	0.00%		
F	OTHER INVESTMENTS															
F03	Equity Shares (incl Co-op Societies)	OESH	62,965	271	1.93%	1.93%	62,965	25,470	58.55%	58.55%	29,127	(15,627)	-53.71%	-53.71%		
F04	Equity Shares (PSUs & Unlisted)	OEPB	2,835	(163)	-36.58%	-36.58%	2,835	(70)	-4.05%	-4.05%						
F06	Debentures	OLDB	-	-			-	-			-	(268)	-10.77%	-10.77%		
F09	Preference Shares	OPSH	66	(4)	-46.40%	-46.40%	66	(4)	-11.60%	-11.60%						
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-			-	329			3,600	107	5.09%	5.09%		
F16	Securitized Assets	OPSA	-	-			-	3			504	44	0.90%	0.90%		
			657,794	14,383	9.27%	9.27%	657,794	179,138	33.26%	33.26%	303,488	(35,833)	11.76%	11.76%		

Note :
 1 All yields are on annualised basis
 2 Previous year figures are for financial year ending 31st March 2009

CERTIFICATION
 Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Note: Category of Investments shall be as per Guidelines
 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
 2 Yield netted for Tax.
 3 FORM-1 shall be prepared in respect of each fund.

Signature: _____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES
FORM L - 35 DOWNGRADING OF INVESTMENT
NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Rs. In Lacs

NO.	PARTICULARS OF INVESTMENT	CAT CODE	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
A	<u>DURING THE QUARTER</u>								
			NIL						
B	<u>AS ON DATE</u>								
D	INFRASTRUCTURE INVESTMENTS								
	<i>TAXABLE BONDS</i>								
D07	7.7%NHPC31Mar14	IPTD	488	31-Mar-06	CRISIL	AAA	AA+	25-May-07	
D09	6%TATAPOW18Oct15	ICTD	1,119	4-Feb-05	CRISIL	AAA	AA	9-Jul-07	
D09	6.7%RELINFRA19Aug18	ICTD	198	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
D09	5.6%RELINFRA28Jul13	ICTD	938	28-Oct-05	CRISIL	AAA	AA+	7-May-09	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	7.15%IOC10Jun12	EPBT	396	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

DATE:

NOTE:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during ealier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES
FORM L - 35 DOWNGRADING OF INVESTMENT
NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Rs. In Lacs

NO.	PARTICULARS OF INVESTMENT	CAT CODE	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
A	<u>DURING THE QUARTER</u>								
			NIL						
B	<u>AS ON DATE</u>								
D	INFRASTRUCTURE INVESTMENTS								
	<i>TAXABLE BONDS</i>								
D09	6.7%RELINFRA19Aug18	ICTD	3	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	7.15%IOC10Jun12	EPBT	99	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

DATE:

NOTE:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES
FORM L - 35 DOWNGRADING OF INVESTMENT
NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Rs. In Lacs

NO.	PARTICULARS OF INVESTMENT	CAT CODE	AMOUNT (AS PER BALANCE SHEET)	DATE OF PURCHASE	RATING AGENCY	ORIGINAL GRADE	CURRENT GRADE	DATE OF DOWNGRADE	REMARKS
A	DURING THE QUARTER								
			NIL						
B	AS ON DATE								
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	CITIFINANCIALCONFIN210610	EPBT	986	21-Jun-07	CRISIL	AAA	AA+(SO)	31-Dec-08	
E05	11.90% PIDILITE BOND 5 DEC 2013	EPBT	780	5-Dec-08	CRISIL	AAA	AA+	31-Dec-08	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

DATE:

NOTE:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
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