

PERIODIC DISCLOSURES

FORM L-25 (I) : Geographical Distribution Channel - Individuals
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Sl.No.	State / Union Territory	Geographical Distribution of Total Business								Total Business			
		Rural				Urban				No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)				
1	Andhra Pradesh	11,738	11,822	0.20	33.64	13,485	21,881	32.90	5,692.43	25,223	33,703	33.10	5,726.07
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	1,950	1,953	1.08	23.35	963	955	1.30	121.20	2,913	2,908	2.38	144.55
4	Bihar	5,092	5,094	2.94	59.54	423	417	0.54	56.56	5,515	5,511	3.48	116.09
5	Chattisgarh	119	119	0.08	1.45	130	124	0.09	7.38	249	243	0.17	8.83
6	Goa	-	1	0.00	0.62	109	196	0.16	14.64	109	197	0.16	15.26
7	Gujarat	41	174	0.16	20.16	43,489	75,308	106.62	12,815.58	43,521	75,482	106.78	12,835.73
8	Haryana	101	177	0.10	11.15	6,168	23,811	25.40	3,736.13	5,269	24,008	25.50	3,747.28
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	150	150	0.21	16.73	150	150	0.21	16.73
11	Jharkhand	1,140	1,142	0.69	13.46	985	914	1.21	98.08	2,105	2,056	1.90	109.54
12	Karnataka	11	71	0.08	29.66	11,422	23,287	36.19	9,576.96	11,433	23,358	36.27	9,606.62
13	Kerala	3	32	0.03	6.05	2,989	4,140	6.50	581.44	2,992	4,172	6.53	587.49
14	Madhya Pradesh	89	93	0.06	2.51	6,516	9,279	9.32	1,512.33	6,604	9,372	9.38	1,514.84
15	Maharashtra	183	387	0.37	67.19	57,716	92,260	139.27	22,920.46	57,899	92,647	139.64	22,987.65
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Mizhalaya	-	-	-	-	-	1	-	0.03	-	1	-	0.03
18	Nagaland	1	1	0.00	0.01	5	5	0.01	0.12	6	6	0.01	0.13
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	426	434	0.30	7.22	2,359	2,255	2.76	445.84	2,785	2,689	3.06	453.07
21	Punjab	13,185	14,095	5.05	794.65	29,109	33,506	39.82	4,985.58	38,294	46,601	44.87	5,780.23
22	Rajasthan	192	215	0.14	5.21	7,768	13,571	17.92	2,572.70	2,967	13,786	18.05	2,577.61
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	31	66	0.08	15.65	18,314	30,498	47.52	9,373.51	18,345	30,564	47.59	9,389.16
25	Tripura	177	177	0.10	2.09	65	65	0.10	6.37	242	242	0.20	10.45
26	Uttar Pradesh	1,467	1,481	0.96	24.25	9,899	11,959	18.87	1,895.13	11,366	13,440	19.83	1,919.37
27	Uttarakhand	117	123	0.09	4.47	3,949	3,563	5.10	539.36	4,066	3,686	5.19	543.82
28	West Bengal	105,887	126,514	71.51	1,387.09	24,613	64,985	62.97	3,451.07	130,000	191,519	134.48	4,838.16
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	137	172	0.11	8.06	6,272	12,329	18.41	2,127.61	6,409	12,501	18.52	2,135.67
31	Dadra & Nagarhaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	216	345	0.46	41.20	39,104	69,087	125.89	16,102.00	39,320	69,432	126.35	16,143.21
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	1	0.00	0.08	-	-	-	-	-	1	-	0.08
	Company Total	141809	164709	84.58	2,558.74	281,971	493,566	699.08	98,649	423779,9988	658275	783.66	101,207.99

PERIODIC DISCLOSURES

FORM L-25 (II) : Geographical Distribution Channel - Group
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

Geographical Distribution of Total Business- GROUP														
Sl.No.	State / Union Territory	Rural				Urban				Total Business				
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	
1	Andhra Pradesh					2	1,380	0.04		17		1,380	0.04	17
2	Arunachal Pradesh					-	-	-	-	-	-	-	-	-
3	Assam					-	-	-	-	-	-	-	-	-
4	Bihar					-	-	-	-	-	-	-	-	-
5	Chattisgarh					-	-	-	-	-	-	-	-	-
6	Goa					-	-	-	-	-	-	-	-	-
7	Gujarat					8	5,222	0.15		63		5,222	0.15	63
8	Haryana					10	6,556	0.19		79		6,556	0.19	79
9	Himachal Pradesh					-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir					-	-	-	-	-	-	-	-	-
11	Jharkhand					-	-	-	-	-	-	-	-	-
12	Karnataka					27	17,630	0.50		212		17,630	0.50	212
13	Kerala					-	-	-	-	-	-	-	-	-
14	Nadhya Pradesh					-	-	-	-	-	-	-	-	-
15	Maharashtra					41	26,886	0.76		324		26,886	0.76	324
16	Manipur					-	-	-	-	-	-	-	-	-
17	Meehalaya					-	-	-	-	-	-	-	-	-
18	Mizoram					-	-	-	-	-	-	-	-	-
19	Nagaland					-	-	-	-	-	-	-	-	-
20	Orissa					-	-	-	-	-	-	-	-	-
21	Punjab					3	2,049	0.06		25		2,049	0.06	25
22	Rajasthan					-	-	-	-	-	-	-	-	-
23	Sikkim					-	-	-	-	-	-	-	-	-
24	Tamil Nadu					-	-	-	-	-	-	-	-	-
25	Tripura					-	-	-	-	-	-	-	-	-
26	Uttar Pradesh					-	-	-	-	-	-	-	-	-
27	Uttarakhand					-	-	-	-	-	-	-	-	-
28	West Bengal					1	573	0.02		7		573	0.02	7
29	Andaman & Nicobar Islands					-	-	-	-	-	-	-	-	-
30	Chandigarh					5	3,163	0.09		38		3,163	0.09	38
31	Dadra & Nagerhaveli					-	-	-	-	-	-	-	-	-
32	Daman & Diu					-	-	-	-	-	-	-	-	-
33	Delhi					5	3,460	0.10		42		3,460	0.10	42
34	Lakshadweep					-	-	-	-	-	-	-	-	-
35	Puducherry					-	-	-	-	-	-	-	-	-
	Company Total					102	66,919	1.89		806		66,919	1.89	806.1997113

PERIODIC DISCLOSURES

FORM L-30 : RELATED PARTY TRANSACTIONS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA: NOVEMBER 15, 2000

Name of the Related party	Nature of relationship with company	Description of transactions/categories	Consideration paid/Received
Max India Limited	Holding Company	Equity Share Capital	666,000
Max India Limited	Holding Company	Reimbursement of Expenses	938
New York Life International Lc	Shareholder with significant influence	Equity Share Capital	237,515
New York Life International Lc	Shareholder with significant influence	Purchase of Fixed Assets	606
New York Life International Lc	Shareholder with significant influence	Receiving of Services	6,803
New York Life International Lc	Shareholder with significant influence	Reimbursement of Expenses	6,641
Max Healthcare Institute Ltd.	Fellow Subsidiaries	Receiving of Services	1,473
Pharmax Corporation Ltd. and	Fellow Subsidiaries	Reimbursement of Expenses	1,704
Key Management personnel	Key Management personnel	Receiving of Services	39,740

PERIODIC DISCLOSURES

FORM L-31 : Board of Directors & Key Person

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Sl. No.	Name of person	Role/designation	Details of change in the period
1	Analjit Singh	Chairman	Retirement of Mr. Gary Benavav w.e.f. March 8, 2006
2	Anuroop Singh	Vice Chairman	Appointment of Mr. Gary Bennett w.e.f. July 15, 2005
3	Sunil Sharma	ED & COO	Appointment of Mr. Ravi Akhoury w.e.f. March 8, 2006
4	Gary Bennett	MD & CEO	
5	B Anantharman	Director	
6	Fredrick Sievert	Director	
7	Joseph Gilmour	Director	
8	Rajesh Khanna	Director	
9	N C Singhal	Director	
10	Ravi Akhoury	Director	

Key Persons as defined in IRDA Registration of Companies Regulations, 2000

Role/designation	Name of person
CEO	Gary Bennett
AA	John Poole
CIO	Sunil Kakar
IA HEAD	NA
CFO	Sunil Kakar

FORM L-36

PERIODIC DISCLOSURES

:Premium and number of lives covered by policy type

Insurer:

MAX NEW YORK LIFE COMPANY LIMITED

Date:

Apr-Mar05-06

(Rs in cr)

Sl. No	Particulars	CURRENT YEAR(Apr05-Mar06)				PREVIOUS YEAR				Up to the period(Apr05-Mar06)				Same period of the previous year			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1.00	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	32	131	125	6					32	131	125	6				
	From 10,001-25,000	13	55	53	3					13	55	53	3				
	From 25001-50,000	9	37	35	2					9	37	35	2				
	From 50,001- 75,000	4	17	16	1					4	17	16	1				
	From 75,000-100,000	2	10	10	0					2	10	10	0				
	From 1,00,001 -1,25,000	1	4	4	0					1	4	4	0				
	Above Rs. 1,25,000	5	22	21	1					5	22	21	1				
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	-	-	-	-					-	-	-	-				
	From 50,001-100,000	-	-	-	-					-	-	-	-				
	From 1,00,001-150,000	-	-	-	-					-	-	-	-				
	From 150,001- 2,00,000	-	-	-	-					-	-	-	-				
	From 2,00,001-250,000	-	-	-	-					-	-	-	-				
	From 2,50,001 -3,00,000	-	-	-	-					-	-	-	-				
	Above Rs. 3,00,000	-	-	-	-					-	-	-	-				
	iii Group Single Premium (GSP)																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000																
	From 50,001-100,000																
	From 1,00,001-150,000																
	From 150,001- 2,00,000																
	From 2,00,001-250,000																
	From 2,50,001 -3,00,000																
	Above Rs. 3,00,000																
	v Individual non Single Premium- INSP																
	From 0-10000	111	261,848	250,113	2,352					111	261,848	250,113	2,352				
	From 10,000-25,000	177	139,428	132,208	3,744					177	139,428	132,208	3,744				
	From 25001-50,000	40	12,310	11,720	851					40	12,310	11,720	851				
	From 50,001- 75,000	13	2,182	2,099	267					13	2,182	2,099	267				
	From 75,000-100,000	10	1,053	1,028	206					10	1,053	1,028	206				
	From 1,00,001 -1,25,000	5	498	479	116					5	498	479	116				
	Above Rs. 1,25,000	31	947	883	649					31	947	883	649				
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	3	5,038	4,288	5					3	5,038	4,288	5				
	From 50,001-100,000	0	58	56	1					0	58	56	1				
	From 1,00,001-150,000	0	17	17	0					0	17	17	0				
	From 150,001- 2,00,000	0	18	12	0					0	18	12	0				
	From 2,00,001-250,000	-	-	-	-					-	-	-	-				
	From 2,50,001 -3,00,000	0	2	2	0					0	2	2	0				
	Above Rs. 3,00,000	0	3	3	0					0	3	3	0				

PERIODIC DISCLOSURES
 FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Sl.No.	Business Acquisition through different channels (Group)						Business Acquisition through different channels (Group)						
	Channels	Current Quarter			Same Quarter Previous year			Up to the period			Same period of the previous year		
		No. of Policies/ No. of Schemes	No. of Lives Covered	Premium(Rs cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium(Rs cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium(Rs cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium(Rs cr)
1	Individual agents												
2	Corporate Agents-Banks												
3	Corporate Agents -Others												
4	Brokers												
5	Micro Agents												
6	Direct Business				102	66,919	1.89						
	Total(A)				102	66,919	1.89						
1	Referral (B)												
	Grand Total (A+B)				102	66,919	1.89						

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

PERIODIC DISCLOSURES

FORM L-38-Business Acquisition through different channels (Individuals)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Sl.No.	Business Acquisition through different channels (Individuals)						Business Acquisition through different channels (Individuals)			
	Channels	Current Quarter		Same quarter Previous Year		Up to the period		Same period of the previous year		
		No. of Policies	Premium(Rs cr)	No. of Policies	Premium(Rs cr)	No. of Policies	Premium(Rs cr)	No. of Policies	Premium(Rs cr)	
1	Individual agents					260,990	613			
2	Corporate Agents-Banks					3,448	8			
3	Corporate Agents -Others					152,391	148			
4	Brokers					4,170	8			
5	Micro Agents									
6	Direct Business					2,719	6			
	Total (A)					423,718	784			
1	Referral (B)					62	0.14			
	Grand Total (A+B)					423,780	783.65			

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

PERIODIC DISCLOSURES

FORM L-39-Data on Settlement of Claims

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

INDIVIDUAL

Ageing of Claims*								
Sl.No.	Types of Claims	No. of claims paid					Total No. of claims paid	Total amount of claims paid(Rs cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year		
1	Maturity Claims							
2	Survival Benefit		36				36	1
3	for Annuities / Pension							
4	For Surrender		24047				24047	4
5	Other benefits		50					1
<hr/>								
1	Death Claims		860				860	11

GROUP

Ageing of Claims*								
Sl.No.	Types of Claims	No. of claims paid					Total No. of claims paid	Total amount of claims paid(Rs cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year		
1	Maturity Claims							
2	Survival Benefit							
3	for Annuities / Pension							
4	For Surrender							
5	Other benefits							
<hr/>								
1	Death Claims							

The figures for individual and group insurance business need to be shown separately

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

PERIODIC DISCLOSURES

FORM L-40-Quarterly claims data for Life

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

INDIVIDUAL CLAIMS: No. of claims only

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	340		6			28
2	Claims reported during the period*	1,165		85			76
3	Claims Settled during the period	860		36		24,047	50
4	Claims Repudiated during the period	85		33			16
a	Less than 2years from the date of acceptance of risk	85		20			16
b	Grater than 2 year from the date of acceptance of risk	-		-			-
5	Claims Written Back	-		-			-
6	Claims O/S at End of the period	553		22			38
	Less than 3months	268		22			38
	3 months to 6 months	106		-			-
	6months to 1 year	115		-			-
	1year and above	64		-			-

GROUP CLAIMS:No. of claims only

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period						
2	Claims reported during the period*						
3	Claims Settled during the period						
4	Claims Repudiated during the period						
a	Less than 2years from the date of acceptance of risk						
b	Grater than 2 year from the date of acceptance of risk						
5	Claims Written Back						
6	Claims O/S at End of the period						
	Less than 3months						
	3 months to 6 months						
	6months to 1 year						
	1year and above						

TOTAL CLAIMS: No. of claims only

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	340		6			28
2	Claims reported during the period*	1,165		85			76
3	Claims Settled during the period	860		36		24,047	50
4	Claims Repudiated during the period	85		33			16
a	Less than 2years from the date of acceptance of risk	85		20			16
b	Grater than 2 year from the date of acceptance of risk	-		-			-
5	Claims Written Back	-		-			-
6	Claims O/S at End of the period	553		22			38
	Less than 3months	268		22			38
	3 months to 6 months	106		-			-
	6months to 1 year	115		-			-
	1year and above	64		-			-

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

PERIODIC DISCLOSURES

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance * 2005-2006	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
1	Complaints made by customers	286	5,325	5,257			354
	a) Sales Related	-	256	255			1
	b) New Busines Related	286	5,069	5,002			353
	c) Policy Servcing related	11	6,097	6,101			7
	d) Claim Servicing related	-	4	4			-
	e) Others						
	Total Number	297	11,426	11,362			361

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
	a) Less than 15 days			
	b) Greater than 15 days			
	Total Number			361

* Opening balance should tally with the closing balance of the previous financial year.