

**PERIODIC DISCLOSURES**

**FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh	18,117		20	359	52,550		99	1,804	70,667		119	2,163
2	Arunachal Pradesh	57		0	1	(57)		(0)	(1)	-		-	-
3	Assam	3,366		4	50	7,758		13	196	11,124		17	246
4	Bihar	5,670		6	110	6,769		14	175	12,439		20	285
5	Chattisgarh	2,208		3	42	5,833		11	136	8,041		14	178
6	Goa	246		0	7	8,845		23	273	9,091		23	280
7	Gujarat	14,577		18	445	61,333		149	2,236	75,910		168	2,681
8	Haryana	16,024		21	446	23,049		56	798	39,073		77	1,243
9	Himachal Pradesh	7,011		9	153	1,180		3	34	8,191		12	187
10	Jammu & Kashmir	1,389		2	28	5,447		10	157	6,836		12	186
11	Jharkhand	3,440		5	73	4,871		10	129	8,311		15	202
12	Karnataka	5,105		6	89	25,655		68	946	30,760		74	1,035
13	Kerala	15,279		23	306	11,762		30	285	27,041		53	591
14	Madhya Pradesh	2,458		3	54	21,973		37	615	24,431		40	669
15	Maharashtra	14,805		18	443	119,163		300	4,681	133,968		318	5,124
16	Manipur	418		1	9	2,933		5	74	3,351		5	83
17	Meghalaya	71		0	1	247		1	5	318		1	6
18	Mizoram	10		0	0	(10)		(0)	(0)	-		0	-
19	Nagaland	36		0	1	(20)		(0)	(0)	16		0	0
20	Orissa	14,507		16	244	12,510		23	276	27,017		38	520
21	Punjab	22,516		33	566	20,509		61	641	43,025		94	1,207
22	Rajasthan	9,819		11	209	33,437		64	1,147	43,256		75	1,355
23	Sikkim	40		0	1	(40)		(0)	(1)	-		-	-
24	Tamil Nadu	6,881		8	141	44,858		89	1,513	51,739		97	1,654
25	Tripura	794		1	12	798		2	16	1,592		3	28
26	Uttar Pradesh	17,637		17	306	66,520		118	1,764	84,157		135	2,070
27	UttraKhand	3,315		4	64	12,049		24	329	15,364		28	393
28	West Bengal	85,382		53	621	44,483		61	599	129,865		114	1,220
29	Andaman & Nicobar Islands	406		1	8	556		1	13	962		2	21
30	Chandigarh	1,377		0	1	10,068		18	249	11,445		19	250
31	Dadra & Nagrahaveli	14		0	1	(14)		(0)	(1)	-		-	-
32	Daman & Diu	6		0	0	(6)		(0)	(0)	-		-	-
33	Delhi	1,417		0	2	71,102		186	2,637	72,519		186	2,638
34	Lakshadweep	1		0	0	(1)		(0)	(0)	-		-	-
35	Puducherry	87		0	2	1,244		2	38	1,331		2	39
	<b>Company Total</b>	<b>274,486</b>	<b>-</b>	<b>282</b>	<b>4,796</b>	<b>677,354</b>	<b>-</b>	<b>1,478</b>	<b>21,759</b>	<b>951,840</b>	<b>-</b>	<b>1,759</b>	<b>26,555</b>

\*Premium amount is New Business premium only.

**PERIODIC DISCLOSURES**

**FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh					65	7,988,761	35	9,374	65	7,988,761	35	9,374
2	Arunachal Pradesh					-	-	-	-	-	-	-	-
3	Assam					-	-	-	-	-	-	-	-
4	Bihar					-	-	-	-	-	-	-	-
5	Chattisgarh					-	-	-	-	-	-	-	-
6	Goa					-	-	-	-	-	-	-	-
7	Gujarat					31	19,734	0	211	31	19,734	0	211
8	Haryana					100	68,942	28	3,123	100	68,942	28	3,123
9	Himachal Pradesh					-	-	-	-	-	-	-	-
10	Jammu & Kashmir					-	-	-	-	-	-	-	-
11	Jharkhand					1	833	0	35	1	833	0	35
12	Karnataka					78	241,784	4	2,108	78	241,784	4	2,108
13	Kerala					2	590	0	29	2	590	0	29
14	Madhya Pradesh					1	888	0	59	1	888	0	59
15	Maharashtra					235	163,125	13	6,705	235	163,125	13	6,705
16	Manipur					-	-	-	-	-	-	-	-
17	Meghalaya					-	-	-	-	-	-	-	-
18	Mizoram					-	-	-	-	-	-	-	-
19	Nagaland					-	-	-	-	-	-	-	-
20	Orissa					-	-	-	-	-	-	-	-
21	Punjab					2	36	0	4	2	36	0	4
22	Rajasthan					2	22,683	0	33	2	22,683	0	33
23	Sikkim					-	-	-	-	-	-	-	-
24	Tamil Nadu					15	5,242	1	337	15	5,242	1	337
25	Tripura					-	-	-	-	-	-	-	-
26	Uttar Pradesh					25	11,465	0	409	25	11,465	0	409
27	UttraKhand					1	972	0	7	1	972	0	7
28	West Bengal					9	2,714	0	20	9	2,714	0	20
29	Andaman & Nicobar Islands					-	-	-	-	-	-	-	-
30	Chandigarh					1	152	0	3	1	152	0	3
31	Dadra & Nagrahaveli					-	-	-	-	-	-	-	-
32	Daman & Diu					-	-	-	-	-	-	-	-
33	Delhi					69	229,314	8	5,612	69	229,314	8	5,612
34	Lakshadweep					-	-	-	-	-	-	-	-
35	Puducherry					-	-	-	-	-	-	-	-
	<b>Company Total</b>	-	-	-	-	<b>637</b>	<b>8,757,235</b>	<b>90</b>	<b>28,070</b>	<b>637</b>	<b>8,757,235</b>	<b>90</b>	<b>28,070</b>

\*Premium amount is New Business premium only.

**PERIODIC DISCLOSURES**

**FORM L-30 : RELATED PARTY TRANSACTIONS**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

Name of the Related party	Nature of relationship with company	Description of transactions/categories	Consideration paid/ Received
Max India Limited	Holding Company	Equity Share Capital	1,343,544
Max India Limited	Holding Company	Reimbursement of Expenses	1,764
New York Life International Llc	Shareholder with significant influence	Equity Share Capital	521,896
New York Life International Llc	Shareholder with significant influence	Receiving of Services	574,337
New York Life International Llc	Shareholder with significant influence	Reimbursement of Expenses	6,082
New York Life International Llc	Shareholder with significant influence	Recovery of Expenses	5,811
Max Healthcare Institute Ltd.	Fellow Subsidiaries	Reimbursement of Expenses	8
Pharmax Corporation Ltd. and	Fellow Subsidiaries	Receiving of Services	3,483
Pharmax Corporation Ltd. and	Fellow Subsidiaries	Reimbursement of Expenses	14,697
Max Bupa Health Insurance Limited	Fellow Subsidiaries	Sale of Investments	208,098
Max India Foundation	Enterprises over which Key Management Personnel have significant influence	Voluntary Contribution	25,000
New Delhi House Services Limited	Enterprises over which Key Management Personnel have significant influence	Receiving of Services	6,202
New Delhi House Services Limited	Enterprises over which Key Management Personnel have significant influence	Reimbursement of Expenses	194
Key Management personnel	Key Management personnel	Receiving of Services	76,070

**PERIODIC DISCLOSURES****FORM L-31 BOARD OF DIRECTORS & KEY PERSON****NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED****REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

S. No.	Name of person	Role/designation	Remarks
1	Analjit Singh	Chairman	Resignation of Mr. John Harrison w.e.f. August 28, 2009
2	Anuroop Singh	Vice Chairman	Resignation of Mr. Rajesh Khanna w.e.f. March 30, 2010
3	Rajit Mehta	ED & COO	Appointment of Mr. William Beaty w.e.f. August 28, 2009
4	Rajesh Sud	MD & CEO	Appointment of Mr. Leo Puri w.e.f. December 11, 2009
5	Omkar Goswami	Director	Appointment of Ms. Marielle Theron w.e.f. May 5, 2009
6	William Beaty	Director	
7	Marielle Theron	Director	
8	Leo Puri	Director	
9	Richard Mucci	Director	

*Key Persons as defined in IRDA Registration of Companies Regulations, 2000*

S. No.	Name of Person	Role/designation	Remarks
1	Rajesh Sud	CEO	
2	John Poole	AA	
3	Prashant Sharma	CIO	
4	Sunil Kakar	CFO	
5	Anisha Motwani	CMO	Debashis Sarkar resigned as CMO w.e.f. Dec 4, 09

PERIODIC DISCLOSURES

FORM L-36 :PREMIUM AND NUMBER OF LIVES COVERED BY POLICY TYPE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

S. No.	Particulars	CURRENT Quarter				SAME QUARTER PREVIOUS YEAR				FOR THE YEAR ENDING 31st MARCH 10				FOR THE YEAR ENDING 31st MARCH 09			
		Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
<b>i</b>	<b>First year Premium</b>																
	<b>i Individual Single Premium- (ISP)</b>																
	From 0-10000	8.09	121	122	9.70	7.36	285	11.76	6.68	5.33	121	122	9.70	7.36	285	11.76	
	From 10,000-25,000	5.33	-	-	6.39	4.18	-	-	6.68	5.33	-	-	6.39	4.18	-	6.68	
	From 25001-50,000	58.77	3,669	3,440	70.48	143.63	13,035	11,873	229.45	58.77	3,669	3,440	70.48	143.63	13,035	11,873	
	From 50,001- 75,000	5.28	190	178	6.33	10.14	633	606	16.20	5.28	190	178	6.33	10.14	633	606	
	From 75,000-100,000	11.24	350	343	13.48	32.63	1,499	1,396	52.14	11.24	350	343	13.48	32.63	1,499	1,396	
	From 1,00,001 -1,25,000	0.59	8	8	0.71	0.81	21	21	1.29	0.59	8	8	0.71	0.81	21	1.29	
	Above Rs. 1,25,000	66.85	1,058	1,014	80.16	32.32	449	427	51.64	66.85	1,058	1,014	80.16	32.32	449	427	
	<b>ii Individual Single Premium (ISPA)- Annuity</b>																
	From 0-50000	0.04	-	-	0.07	0.05	1	1	0.02	0.04	-	-	0.07	0.05	1	0.02	
	From 50,001-100,000	17.76	580	521	28.21	-	-	-	-	17.76	580	521	28.21	-	-	-	
	From 1,00,001-150,000	7.97	168	154	12.66	5.66	131	126	2.18	7.97	168	154	12.66	5.66	131	2.18	
	From 150,001- 2,00,000	4.43	69	61	7.03	1.33	24	22	0.51	4.43	69	61	7.03	1.33	24	0.51	
	From 2,00,001-250,000	0.73	9	8	1.16	0.05	1	1	0.02	0.73	9	8	1.16	0.05	1	0.02	
	From 2,50,001 -3,00,000	0.89	10	10	1.42	0.71	11	11	0.28	0.89	10	10	1.42	0.71	11	0.28	
	Above Rs. 3,00,000	5.96	33	32	9.47	2.60	13	12	1.00	5.96	33	32	9.47	2.60	13	1.00	
	<b>iii Group Single Premium (GSP)</b>																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
	<b>iv Group Single Premium- Annuity- GSPA</b>																
	From 0-50000																
	From 50,001-100,000																
	From 1,00,001-150,000																
	From 150,001- 2,00,000																
	From 2,00,001-250,000																
	From 2,50,001 -3,00,000																
	Above Rs. 3,00,000																
	<b>v Individual non Single Premium- INSP</b>																
	From 0-10000	232.09	397,210	385,453	4,173.79	340.93	568,725	545,368	8,299.30	232.09	397,210	385,453	4,173.79	340.93	568,725	545,368	
	From 10,000-25,000	679.06	397,798	382,951	12,212.18	640.24	421,650	402,053	15,585.58	679.06	397,798	382,951	12,212.18	640.24	421,650	402,053	
	From 25001-50,000	311.41	77,617	73,604	5,600.33	296.12	86,251	81,124	7,208.49	311.41	77,617	73,604	5,600.33	296.12	86,251	81,124	
	From 50,001- 75,000	57.89	9,373	9,231	1,041.15	52.07	13,336	12,765	1,267.52	57.89	9,373	9,231	1,041.15	52.07	13,336	12,765	
	From 75,000-100,000	78.79	8,346	8,174	1,416.91	74.42	9,158	8,844	1,811.56	78.79	8,346	8,174	1,416.91	74.42	9,158	8,844	
	From 1,00,001 -1,25,000	11.98	861	851	215.41	11.14	1,064	1,036	271.14	11.98	861	851	215.41	11.14	1,064	1,036	
	Above Rs. 1,25,000	84.67	2,423	2,318	1,522.63	81.01	2,606	2,419	1,971.96	84.67	2,423	2,318	1,522.63	81.01	2,606	2,419	
	<b>vi Individual non Single Premium- Annuity- INSPA</b>																
	From 0-50000	80.86	49,368	46,832	93.27	65.63	86,704	81,970	107.58	80.86	49,368	46,832	93.27	65.63	86,704	81,970	
	From 50,001-100,000	12.37	1,959	1,787	14.27	3.32	843	792	5.44	12.37	1,959	1,787	14.27	3.32	843	792	
	From 1,00,001-150,000	2.11	212	208	2.44	0.72	93	90	1.17	2.11	212	208	2.44	0.72	93	1.17	
	From 150,001- 2,00,000	2.99	177	177	3.45	1.11	80	79	1.82	2.99	177	177	3.45	1.11	80	1.82	
	From 2,00,001-250,000	0.87	48	45	1.01	0.23	20	20	0.38	0.87	48	45	1.01	0.23	20	0.38	
	From 2,50,001 -3,00,000	1.24	56	54	1.43	0.59	25	25	0.97	1.24	56	54	1.43	0.59	25	0.97	
	Above Rs. 3,00,000	8.21	127	123	9.48	3.81	58	58	6.25	8.21	127	123	9.48	3.81	58	6.25	

PERIODIC DISCLOSURES  
FORM L-36 :PREMIUM AND NUMBER OF LIVES COVERED BY POLICY TYPE  
NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED  
REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

S. No.	Particulars	CURRENT Quarter				SAME QUARTER PREVIOUS YEAR				FOR THE YEAR ENDING 31st MARCH 10				FOR THE YEAR ENDING 31st MARCH 09			
		Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
vii	Group Non Single Premium (GNSP)																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
viii	Group Non Single Premium- Annuity- GNSPA																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
2	Renewal Premium																
i	Individual																
	From 0-10000	557.35	-	768,465	18,366.08	374.45	-	556,666	13,469.37	557.35	-	768,465	18,366.08	374.45	-	556,666	13,469.37
	From 10,000-25,000	1,347.78	-	756,136	28,051.53	919.55	-	539,976	21,522.48	1,347.78	-	756,136	28,051.53	919.55	-	539,976	21,522.48
	From 25001-50,000	545.94	-	132,693	9,256.79	341.98	-	85,568	6,500.10	545.94	-	132,693	9,256.79	341.98	-	85,568	6,500.10
	From 50,001- 75,000	112.46	-	20,523	2,295.32	76.98	-	13,694	1,702.16	112.46	-	20,523	2,295.32	76.98	-	13,694	1,702.16
	From 75,000-100,000	128.27	-	13,222	1,797.41	80.48	-	8,478	1,245.67	128.27	-	13,222	1,797.41	80.48	-	8,478	1,245.67
	From 1,00,001 -1,25,000	29.37	-	2,678	621.89	23.62	-	2,176	537.18	29.37	-	2,678	621.89	23.62	-	2,176	537.18
	Above Rs. 1,25,000	170.94	-	5,201	3,007.72	126.60	-	4,078	2,419.99	170.94	-	5,201	3,007.72	126.60	-	4,078	2,419.99
	ii Individual- Annuity																
	From 0-10000	46.84	-	48,903	148.64	24.25	-	25,364	130.49	46.84	-	48,903	148.64	24.25	-	25,364	130.49
	From 10,000-25,000	27.58	-	21,999	48.65	12.40	-	9,548	29.83	27.58	-	21,999	48.65	12.40	-	9,548	29.83
	From 25001-50,000	7.98	-	2,090	12.55	4.51	-	1,118	8.73	7.98	-	2,090	12.55	4.51	-	1,118	8.73
	From 50,001- 75,000	1.82	-	384	3.25	0.95	-	187	2.02	1.82	-	384	3.25	0.95	-	187	2.02
	From 75,000-100,000	3.88	-	370	5.19	2.54	-	258	4.07	3.88	-	370	5.19	2.54	-	258	4.07
	From 1,00,001 -1,25,000	1.02	-	97	1.63	0.62	-	57	1.09	1.02	-	97	1.63	0.62	-	57	1.09
	Above Rs. 1,25,000	8.87	-	221	14.45	5.21	-	161	10.95	8.87	-	221	14.45	5.21	-	161	10.95
iii	Group																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
iv	Group- Annuity																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

**PERIODIC DISCLOSURES**

**FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

S.No.	Channels	Current Quarter			Same Quarter Previous year			FOR THE YEAR ENDING 31st MARCH 10			FOR THE YEAR ENDING 31st MARCH 09		
		No. of Policies/ No. of Schemes	No. of Lives Covered	Premium	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium
1	Individual agents						66	31,546	3	324	209,586	8	
2	Corporate Agents-Banks						-	-	-	-	-	-	
3	Corporate Agents -Others						-	-	-	-	-	-	
4	Brokers						248	110,145	4	-	-	-	
5	Micro Agents												
6	Direct Business						323	8,615,544	83	22	256,286	23	
	<b>Total(A)</b>						<b>637</b>	<b>8,757,235</b>	<b>90</b>	<b>346</b>	<b>465,872</b>	<b>31</b>	
1	Referral (B)						-	-	-	-	-	-	
	<b>Grand Total (A+B)</b>						<b>637</b>	<b>8,757,235</b>	<b>90</b>	<b>346</b>	<b>465,872</b>	<b>31</b>	

Note:

1. Premium means amount of premium received from business acquired by the source.

2. No of Policies stand for no. of policies sold.

**PERIODIC DISCLOSURES**

**FORM L - 38 BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS)**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

S. No.	Channels	Current Quarter		Same quarter Previous Year		FOR THE YEAR ENDING 31st MARCH 10		FOR THE YEAR ENDING 31st MARCH 09	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium (Rs cr)	No. of Policies	Premium (Rs cr)
1	Individual agents					580,386	1,229	785,007	1,210
2	Corporate Agents-Banks					24,819	63	21,632	53
3	Corporate Agents -Others					301,914	377	336,891	443
4	Brokers					12,274	16	5,664	8
5	Micro Agents								
6	Direct Business					24,251	60	47,761	82
	<b>Total (A)</b>					<b>943,644</b>	<b>1,746</b>	<b>1,196,955</b>	<b>1,797</b>
1	Referral (B)					8,196	14	9,761	15
	<b>Grand Total (A+B)</b>					<b>951,840</b>	<b>1,759</b>	<b>1,206,716</b>	<b>1,812</b>

Note:

1. Premium means amount of premium received from business acquired by the source.

2. No of Policies stand for no. of policies sold.

**PERIODIC DISCLOSURES****FORM L-39-DATA ON SETTLEMENT OF CLAIMS****NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED****REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

<b>Ageing of Claims* - Individual</b>									
<b>S.No.</b>	<b>Types of Claims</b>	<b>No. of claims paid</b>						<b>Total No. of claims paid</b>	<b>Total amount of claims paid</b>
		<b>On or before maturity</b>	<b>1 month</b>	<b>1 - 3 months</b>	<b>3 - 6 months</b>	<b>6 months - 1 year</b>	<b>&gt; 1 year</b>		
1	Maturity Claims		58					58	0.45
2	Survival Benefit		20,691					20,691	29.98
3	Annuities / Pension		-					-	-
4	Death Claims		3,886					3,886	78.04
5	For Surrender		43,748					43,748	295.40
6	Other benefits		175					175	3.96

<b>Ageing of Claims* - Group</b>									
<b>S.No.</b>	<b>Types of Claims</b>	<b>No. of claims paid</b>						<b>Total No. of claims paid</b>	<b>Total amount of claims paid</b>
		<b>On or before maturity</b>	<b>1 month</b>	<b>1 - 3 months</b>	<b>3 - 6 months</b>	<b>6 months - 1 year</b>	<b>&gt; 1 year</b>		
1	Maturity Claims							-	-
2	Survival Benefit							-	-
3	Annuities / Pension							-	-
4	Death Claims		10,241					10,241	19.87
5	For Surrender		2					2	0.02
6	Other benefits		39					39	0.44

The figures for individual and group insurance business need to be shown separately.

\*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

**PERIODIC DISCLOSURES**

**FORM L-40-QUARTERLY CLAIMS DATA FOR LIFE**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

**INDIVIDUAL CLAIMS: No. of Claims only**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	87	-	2	-	-	3
2	Claims reported during the period*	5,893	58	20,922	-	43,748	215
3	Claims Settled during the period	3,911	58	20,691	-	43,748	175
4	Claims Repudiated during the period	741	-	212	-	-	20
a	Less than 2years from the date of acceptance of risk	647	-	184	-	-	13
b	Grater than 2 year from the date of acceptance of risk	94	-	28	-	-	7
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,328	-	21	-	-	23
	Less than 3months	1,101	-	21	-	-	22
	3 months to 6 months	214	-	-	-	-	-
	6months to 1 year	13	-	-	-	-	-
	1year and above	-	-	-	-	-	1

**GROUP CLAIMS:No. of Claims only**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	10	-	-	-	-	1
2	Claims reported during the period*	11,478	-	-	-	2	45
3	Claims Settled during the period	10,421	-	-	-	2	39
4	Claims Repudiated during the period	420	-	-	-	-	2
a	Less than 2years from the date of acceptance of risk	408	-	-	-	-	1
b	Grater than 2 year from the date of acceptance of risk	12	-	-	-	-	1
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	647	-	-	-	-	5
	Less than 3months	634	-	-	-	-	5
	3 months to 6 months	13	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	-	-	-	-	-	-

**TOTAL CLAIMS:**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	97	-	2	-	-	4
2	Claims reported during the period*	17,371	58	20,922	-	43,750	260
3	Claims Settled during the period	14,332	58	20,691	-	43,750	214
4	Claims Repudiated during the period	1,161	-	212	-	-	22
a	Less than 2years from the date of acceptance of risk	1,055	-	184	-	-	14
b	Grater than 2 year from the date of acceptance of risk	106	-	28	-	-	8
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,975	-	21	-	-	28
	Less than 3months	1,735	-	21	-	-	27
	3 months to 6 months	227	-	-	-	-	-
	6months to 1 year	13	-	-	-	-	-
	1year and above	-	-	-	-	-	1

\*in case of death- the claims for which all the documentations have been completed needs to be shown here.

**PERIODIC DISCLOSURES**

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
<b>1</b>	<b>Complaints made by customers</b>	338	26,073	25,562			849
a)	Sales Related	151	7,201	7,164			188
b)	New Busines Related	187	18,872	18,398			661
c)	Policy Servcing related	454	15,893	13,795			2,552
d)	Claim Servicing related	-	2	2			-
e)	Others	-	699	691			8
	<b>Total Number</b>	<b>792</b>	<b>42,667</b>	<b>40,050</b>			<b>3,409</b>

<b>2</b>	<b>Duration wise Pending Status</b>	<b>Complaints made by customers</b>	<b>Complaints made by intermediaries</b>	<b>Total</b>
a)	Less than 15 days	2,309	664	2,973
b)	Greater than 15 days	350	86	436
	<b>Total Number</b>	<b>2,659</b>	<b>750</b>	<b>3,409</b>

\* Opening balance should tally with the closing balance of the previous financial year.