

### PERIODIC DISCLOSURES

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

Date: Apr06-Mar07

Geographical Distribution of Total Business													
Sl.No.	State / Union Territory	Rural ( Individual )				Urban ( Individual )				Total Business ( Individual )			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	13,293	-	1	12	19,559	-	35	784	32,852	-	36	796
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	7,173	-	5	44	5,712	-	7	109	12,885	-	11	153
4	Bihar	22,570	-	15	134	4,227	-	6	79	26,797	-	20	213
5	Chattisgarh	319	-	0	2	1,417	-	2	41	1,736	-	2	43
6	Goa	7	-	0	0	2,645	-	5	115	2,652	-	5	115
7	Gujarat	134	-	0	1	51,144	-	103	2,223	51,278	-	103	2,224
8	Haryana	646	-	1	11	81,591	-	136	3,304	82,237	-	136	3,315
9	Himachal Pradesh	434	-	0	9	273	-	0	9	707	-	1	18
10	Jammu & Kashmir	2	-	-	0	1,524	-	3	44	1,526	-	3	44
11	Jharkhand	5,332	-	3	32	2,177	-	3	48	7,509	-	6	80
12	Karnataka	16	-	0	0	15,895	-	33	837	15,911	-	33	837
13	Kerala	3	-	-	0	6,905	-	11	167	6,908	-	11	167
14	Madhya Pradesh	264	-	0	2	8,269	-	12	310	8,533	-	12	312
15	Maharashtra	301	-	0	8	75,857	-	148	3,588	76,158	-	148	3,596
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mizoram	10	-	0	0	9	-	0	0	19	-	0	0
19	Nagaland	215	-	0	1	181	-	0	1	396	-	0	3
20	Orissa	2,405	-	2	16	5,154	-	5	106	7,559	-	7	121
21	Punjab	9,302	-	8	184	31,237	-	59	1,081	40,539	-	67	1,265
22	Rajasthan	565	-	0	5	10,086	-	18	372	10,651	-	18	377
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	70	-	0	0	29,958	-	50	1,075	30,028	-	50	1,076
25	Tripura	732	-	1	5	1,643	-	1	14	2,375	-	2	19
26	Uttar Pradesh	5,870	-	4	38	24,332	-	41	805	30,202	-	45	843
27	UttraKhand	270	-	0	2	1,231	-	2	31	1,501	-	2	33
28	West Bengal	17,881	-	22	105	30,587	-	40	517	48,468	-	62	622
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	1,861	-	4	44	1,861	-	4	44
31	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	256	-	0	2	50,876	-	128	2,416	51,132	-	128	2,419
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	1	-	-	0	84	-	0	0	85	-	0	0
	<b>Company Total</b>	<b>88,071</b>	<b>-</b>	<b>63</b>	<b>613</b>	<b>464,434</b>	<b>-</b>	<b>851</b>	<b>18,121</b>	<b>552,505</b>	<b>-</b>	<b>914</b>	<b>18,734</b>

\*Premium amount is New Business premium only.

**PERIODIC DISCLOSURES**

FORM L-25- (ii) : Geographical Distribution Channel - GROUP

Insurer: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

Date: Apr06-Mar07

Geographical Distribution of Total Business- GROUP													
Sl.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)	No. of Policies	No. of Lives	Premium (Rs crore)	Sum Assured (Rs crore)
1	Andhra Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	-	-	-	-	-	-	-	-
8	Haryana	-	-	-	-	165	103,795	6	-	165	103,795	6	-
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-
12	Karnataka	-	-	-	-	-	-	-	-	-	-	-	-
13	Kerala	-	-	-	-	-	-	-	-	-	-	-	-
14	Madhya Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
15	Maharashtra	-	-	-	-	-	-	-	-	-	-	-	-
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	-	-	-	-	-	-	-	-	-	-	-	-
21	Punjab	-	-	-	-	-	-	-	-	-	-	-	-
22	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	-	-	-	-	-	-	-	-	-	-	-	-
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttar Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
27	Uttrakhand	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	-	-	-	-	-	-	-	-
29	Andaman & Nicobar Island	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-
31	Dadra & Nagarhaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	-	-	-	-	-	-	-	-	-	-	-	-
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Company Total</b>	-	-	-	-	165	103,795	6	-	165	103,795	6	-

**Name Of The Insurer: Max New York Life Insurance Company Limited**  
**Registration No 104: Date Of Registration With Irda : November 15, 2000**  
 (All Amounts In Thousands Of Indian Rupees)  
**Form L 30 Related Parties Transactions**

Name of the Related party	Nature of relationship with company	Description of transactions/categories	Consideration paid/ Received
Max India Limited	Holding Company	Equity Share Capital	1,295,000
Max India Limited	Holding Company	Reimbursement of Expenses	1,223
New York Life International Llc	Shareholder with significant influence	Equity Share Capital	455,000
New York Life International Llc	Shareholder with significant influence	Reimbursement of Expenses	9,155
Max Healthcare Institute Ltd.	Fellow Subsidiaries	Purchase of Goods/ Services	1,023
Pharmax Corporation Ltd. and	Fellow Subsidiaries	Receiving of Services	1,719
Pharmax Corporation Ltd. and	Fellow Subsidiaries	Reimbursement of Expenses	9,221
New Delhi House Services Limited	Enterprises over which Key Management Personnel have significant Influence	Purchase of Goods/ Services	2,740
New Delhi House Services Limited	Enterprises over which Key Management Personnel have significant Influence	Reimbursement of Expenses	353
Key Management personnel	Key Management personnel	Receiving of Services	40,880

PERIODIC DISCLOSURES			
FORM L-31 LNL - 6 : Board of Directors & Key Person			
Insurer:	Max New York Life Insurance Co. Ltd.	Date: 31/03/2007	
<b>BOD and Key Person information</b>			
Sl. No.	Name of person	Role/designation	Details of change in the period
1	Analjit Singh	Chairman	
2	Anuroop Singh	Vice Chairman	
3	Sunil Sharma	ED & COO	
4	Gary Bennett	MD & CEO	
5	B Anantharman	Director	
6	Fredrick Sievert	Director	
7	Joseph Gilmour	Director	
8	Rajesh Khanna	Director	
9	N C Singhal	Director	
10	Ravi Akhoury	Director	
Key Persons as defined in IRDA Registration of Companies Regulations, 2000			

Role/designation	Name of person
CEO	Gary Bennett
AA	John Poole
CIO	Sunil Kakar
CFO	Sunil Kakar

PERIODIC DISCLOSURES

FORM L-36 :Premium and number of lives covered by policy type

Insurer: MAX NEW YORK LIFE COMPANY LIMITED Date: Apr-Mar06-07

(Rs in cr)

Sl. No	Particulars	CURRENT YEAR (Apr06-Mar07)				PREVIOUS YEAR(Apr05-Mar06)				Up to the period(Apr06-Mar07)				Same period of the previous year(Apr05-Mar06)			
		Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable
1.00	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	11	287	272	14	32	131	125	6	11	287	272	14	32	131	125	6
	From 10,001-25,000	6	-	-	7	13	55	53	3	6	-	-	7	13	55	53	3
	From 25001-50,000	83	6,312	5,839	100	9	37	35	2	83	6,312	5,839	100	9	37	35	2
	From 50,001-75,000	6	287	269	8	4	17	16	1	6	287	269	8	4	17	16	1
	From 75,000-100,000	23	900	848	28	2	10	10	0	23	900	848	28	2	10	10	0
	From 1,00,001 -1,25,000	1	31	28	2	1	4	4	0	1	31	28	2	1	4	4	0
	Above Rs. 1,25,000	30	316	297	37	5	22	21	1	30	316	297	37	5	22	21	1
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	0	6	6	-	-	-	-	-	0	6	6	-	-	-	-	-
	From 50,001-100,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 1,00,001-150,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 150,001- 2,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,00,001-250,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	From 2,50,001 -3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Above Rs. 3,00,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	iii Group Single Premium (GSP)																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001-75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000																
	From 50,001-100,000																
	From 1,00,001-150,000																
	From 150,001- 2,00,000																
	From 2,00,001-250,000																
	From 2,50,001 -3,00,000																
	Above Rs. 3,00,000																
	v Individual non Single Premium- INSP																
	From 0-10000	143	253,972	244,425	3,622	111	261,848	250,113	2,352	143	253,972	244,425	3,622	111	261,848	250,113	2,352
	From 10,000-25,000	345	228,349	217,550	8,724	177	139,428	132,208	3,744	345	228,349	217,550	8,724	177	139,428	132,208	3,744
	From 25001-50,000	127	35,723	33,770	3,210	40	12,310	11,720	851	127	35,723	33,770	3,210	40	12,310	11,720	851
	From 50,001-75,000	25	5,497	5,311	639	13	2,182	2,099	267	25	5,497	5,311	639	13	2,182	2,099	267
	From 75,000-100,000	34	4,011	3,858	866	10	1,053	1,028	206	34	4,011	3,858	866	10	1,053	1,028	206
	From 1,00,001 -1,25,000	8	793	761	211	5	498	479	116	8	793	761	211	5	498	479	116
	Above Rs. 1,25,000	49	1,659	1,526	1,244	31	947	883	649	49	1,659	1,526	1,244	31	947	883	649
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	15	13,907	12,190	15	3	5,038	4,288	5	15	13,907	12,190	15	3	5,038	4,288	5
	From 50,001-100,000	2	309	278	2	0	58	56	1	2	309	278	2	0	58	56	1
	From 1,00,001-150,000	1	48	42	1	0	17	17	0	1	48	42	1	0	17	17	0
	From 150,001- 2,00,000	1	38	34	1	0	18	12	0	1	38	34	1	0	18	12	0
	From 2,00,001-250,000	0	14	14	0	-	-	-	-	0	14	14	0	-	-	-	-
	From 2,50,001 -3,00,000	0	12	12	0	0	2	2	0	0	12	12	0	0	2	2	0
	Above Rs. 3,00,000	2	34	34	2	0	3	3	0	2	34	34	2	0	3	3	0

	vii	Group Non Single Premium (GNSP)																	
		From 0-10000																	
		From 10,000-25,000																	
		From 25001-50,000																	
		From 50,001-75,000																	
		From 75,000-100,000																	
		From 1,00,001 -1,25,000																	
		Above Rs. 1,25,000																	
	viii	Group Non Single Premium- Annuity- GNSPA																	
		From 0-10000																	
		From 10,000-25,000																	
		From 25001-50,000																	
		From 50,001-75,000																	
		From 75,000-100,000																	
		From 1,00,001 -1,25,000																	
		Above Rs. 1,25,000																	
2.00		Renewal Premium																	
	i	Individual																	
		From 0-10000	171	-	292,957	7,301	107	-	181,511	5,020	171	-	292,957	7,301	107	-	181,511	5,020	
		From 10,000-25,000	221	-	148,223	8,072	89	-	59,648	4,173	221	-	148,223	8,072	89	-	59,648	4,173	
		From 25001-50,000	63	-	18,431	2,205	34	-	9,825	1,374	63	-	18,431	2,205	34	-	9,825	1,374	
		From 50,001-75,000	24	-	4,019	751	15	-	2,440	515	24	-	4,019	751	15	-	2,440	515	
		From 75,000-100,000	15	-	1,641	412	8	-	906	267	15	-	1,641	412	8	-	906	267	
		From 1,00,001 -1,25,000	11	-	996	317	7	-	637	221	11	-	996	317	7	-	637	221	
		Above Rs. 1,25,000	62	-	1,914	1,485	40	-	1,245	1,025	62	-	1,914	1,485	40	-	1,245	1,025	
	ii	Individual- Annuity																	
		From 0-10000	7	-	6,676	121	6	-	5,747	117	7	-	6,676	121	6	-	5,747	117	
		From 10,000-25,000	1	-	1,148	17	1	-	558	14	1	-	1,148	17	1	-	558	14	
		From 25001-50,000	0	-	131	4	0	-	48	3	0	-	131	4	0	-	48	3	
		From 50,001-75,000	0	-	24	1	0	-	13	1	0	-	24	1	0	-	13	1	
		From 75,000-100,000	0	-	28	2	0	-	7	1	0	-	28	2	0	-	7	1	
		From 1,00,001 -1,25,000	0	-	12	1	0	-	3	1	0	-	12	1	0	-	3	1	
		Above Rs. 1,25,000	0	-	17	5	0	-	10	3	0	-	17	5	0	-	10	3	
	iii	Group																	
		From 0-10000																	
		From 10,000-25,000																	
		From 25001-50,000																	
		From 50,001-75,000																	
		From 75,000-100,000																	
		From 1,00,001 -1,25,000																	
		Above Rs. 1,25,000																	
	iv	Group- Annuity																	
		From 0-10000																	
		From 10,000-25,000																	
		From 25001-50,000																	
		From 50,001-75,000																	
		From 75,000-100,000																	
		From 1,00,001 -1,25,000																	
		Above Rs. 1,25,000																	

Note:

1. Premium stands for premium amount.
2. No. of lives means no. of lives insured under the policies.
3. Premium collected for Annuity will be disclosed separately as stated above.

**FORM L-37**

**BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)**

Sl.No.	Business Acquisition through different channels (Group)												
	Channels	Current Quarter			Same Quarter Previous year			Up to the period			Same period of the previous year		
		Policies/ No. of Schemes	No. of Lives Covered	Premium	Policies/ No. of Schemes	No. of Lives Covered	Premium	Policies/ No. of Schemes	No. of Lives Covered	Premium (Rs Cr)	Policies/ No. of Schemes	No. of Lives Covered	Premium
1	Individual agents												
2	Corporate Agents-Banks												
3	Corporate Agents -Others												
4	Brokers												
5	Micro Agents												
6	Direct Business												
	Total(A)						165	103,795	6				
1	Referral (B)												
	Grand Total (A+B)						165	103,795	6				

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

## PERIODIC DISCLOSURES

### FORM L-38 Business Acquisition through different channels (Individuals)

Insurer: MAX NEW YORK LIFE INSURANCE Date: Apr06-Mar07

Sl.No.	Business Acquisition through different channels (Individuals)								
	Channels	Current Quarter		Same quarter Previous Year		Up to the period		Same period of the previous year	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium (Rs cr)	No. of Policies	Premium
1	Individual agents					352389	678		
2	Corporate Agents-Banks					16442	41		
3	Corporate Agents -Others					164198	168		
4	Brokers					3482	7		
5	Micro Agents								
6	Direct Business					12626	13		
	Total (A)					549137	907		
1	Referral (B)					3368	7		
	Grand Total (A+B)					552505	914		
	CHK					0	0.00		

Note:

1. Premium means amount of premium received from business acquired by the source
2. No of Policies stand for no. of policies sold

FORM L-39-Data on Settlement of Claims

INDIVIDUAL

Ageing of Claims*									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	0	0	0	0	0	0	0	-
2	Survival Benefit	0	39	0	0	0	0	39	1
3	for Annuities / Pension	0	0	0	0	0	0	0	-
4	For Surrender		59514					59514	16
5	Other benefits	0	65	0	0	0	0	65	1
1	Death Claims	0	1325	0	0	0	0	1325	2

GROUP

Ageing of Claims*									
Sl.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims								
2	Survival Benefit								
3	for Annuities / Pension								
4	For Surrender								
5	Other benefits								
1	Death Claims	0	256	0	0	0	0	256	2

The figures for individual and group insurance business need to be shown separately

\*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

**PERIODIC DISCLOSURES**

**FOR L-40** : Quarterly claims data for Life

**Insurer:** MAX NEW YORK LIFE INSURANCE

**INDIVIDUAL CLAIMS:**

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	540	-	22			38
2	Claims reported during the period*	1,406	-	65			92
3	Claims Settled during the period	1,325	-	39		59,514	65
4	Claims Repudiated during the period	263	-	39			30
a	Less than 2years from the date of acceptance of risk	156	-	27			15
b	Grater than 2 year from the date of acceptance of risk	107	-	12			15
5	Claims Written Back	-	-	-			-
6	Claims O/S at End of the period	358	-	9			35
	Less than 3months	190	-	7			20
	3 months to 6 months	109	-	1			7
	6months to 1 year	41	-	1			2
	1year and above	18	-	-			6

**GROUP CLAIMS:**

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	13	13	13			13
2	Claims reported during the period*	234	234	234			234
3	Claims Settled during the period	256	256	256			256
4	Claims Repudiated during the period	5	5	5			5
a	Less than 2years from the date of acceptance of risk	3	3	3			3
b	Grater than 2 year from the date of acceptance of risk	2	2	2			2
5	Claims Written Back	-	-	-			-
6	Claims O/S at End of the period	13	13	13			13
	Less than 3months	8	8	8			8
	3 months to 6 months	1	1	1			1
	6months to 1 year	-	-	-			-
	1year and above	4	4	4			4

**TOTAL CLAIMS:**

Sl. No.	Claims Experience	For Death	for Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	553	13	35			51
2	Claims reported during the period*	1,640	234	299			326
3	Claims Settled during the period	1,581	256	295		59,514	321
4	Claims Repudiated during the period	268	5	44			35
a	Less than 2years from the date of acceptance of risk	159	3	30			18
b	Grater than 2 year from the date of acceptance of risk	109	2	14			17
5	Claims Written Back	-	-	-			-
6	Claims O/S at End of the period	371	13	22			48
	Less than 3months	198	8	15			28
	3 months to 6 months	110	1	2			8
	6months to 1 year	41	-	1			2
	1year and above	22	4	4			10

\*in case of death- the claims for which all the documentations have been completed needs to be shown here.

**PERIODIC DISCLOSURES**

FORM L-41      GRIEVANCE DISPOSAL

Insurer:            MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

Date:                    Apr06-Mar07

(Rs in Lakhs)

**GRIEVANCE DISPOSAL**

SI No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
1	Complaints made by customers	354	6,643	6,822			175
	a) Sales Related	1	106	103			4
	b) New Busines Related	353	6,537	6,719			171
	c) Policy Servcing related	7	16,438	16,042			403
	d) Claim Servicing related	-	6	6			-
	e) Others						
	Total Number	361	23,087	22,870			578

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Less than 15 days	496	82	578
b)	Greater than 15 days			
	Total Number			578

\* Opening balance should tally with the closing balance of the previous financial year.