

PERIODIC DISCLOSURES

FORM L-24 VALUATION OF NET LIABILITIES

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Valuation of net liabilities		(In Rs '000)	
Sl.No.	Particular	As at 30 June 2010	As at 30 June 2009
1	<b>Linked</b>		
a	Life	66,284,354	42,401,075
b	General Annuity	-	-
c	Pension	5,333,512	2,275,672
d	Health	-	-
2	<b>Non-Linked</b>	-	-
a	Life	27,574,055	20,621,550
b	General Annuity	-	-
c	Pension	526,297	331,662
d	Health	7,773	15,575

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh	3,226	3,161	3.57	69.59	12,917	12,659	23.79	403.79	16,143	15,820	27.36	473.38
2	Arunachal Pradesh	-	-	-	-	2	2	0.01	0.11	2	2	0.01	0.11
3	Assam	448	439	0.49	7.05	2,348	2,301	5.14	63.49	2,796	2,740	5.63	70.55
4	Bihar	491	481	0.34	6.32	2,897	2,839	5.72	65.51	3,388	3,320	6.06	71.82
5	Chattisgarh	35	34	0.06	0.49	1,363	1,336	2.57	26.36	1,398	1,370	2.63	26.85
6	Goa	3	3	0.01	0.04	1,526	1,495	5.12	43.60	1,529	1,498	5.13	43.64
7	Gujarat	1,497	1,467	1.61	29.87	15,430	15,121	37.92	421.05	16,927	16,588	39.52	450.92
8	Haryana	1,751	1,716	2.25	47.15	5,928	5,809	14.96	162.35	7,679	7,525	17.21	209.50
9	Himachal Pradesh	108	106	0.17	2.23	1,324	1,298	1.93	23.55	1,432	1,404	2.10	25.79
10	Jammu & Kashmir	24	24	0.04	0.63	1,308	1,282	2.88	36.53	1,332	1,306	2.92	37.16
11	Jharkhand	146	143	0.15	1.90	1,868	1,831	3.68	46.20	2,014	1,974	3.84	48.09
12	Karnataka	126	123	0.17	2.45	7,273	7,128	19.04	179.53	7,399	7,251	19.21	181.92
13	Kerala	2,469	2,420	3.13	35.05	3,266	3,201	8.95	68.28	5,735	5,621	12.08	103.33
14	Madhya Pradesh	27	26	0.03	0.50	4,993	4,893	8.56	124.78	5,020	4,919	8.59	125.28
15	Maharashtra	1,268	1,243	1.94	33.23	28,281	27,715	71.74	867.95	29,549	28,958	73.68	901.18
16	Manipur	1	1	0.00	0.02	881	863	1.82	31.73	882	864	1.82	31.75
17	Meghalaya	1	1	0.00	0.03	67	66	0.18	1.41	68	67	0.18	1.44
18	Mizoram	-	-	-	-	-	-	0.00	-	-	-	0.00	-
19	Nagaland	-	-	-	-	9	9	0.33	1.99	9	9	0.33	1.99
20	Orissa	1,499	1,469	1.45	17.12	3,879	3,801	6.91	79.99	5,378	5,270	8.36	97.11
21	Punjab	3,169	3,106	4.21	75.71	4,712	4,618	15.95	136.24	7,881	7,724	20.16	211.95
22	Rajasthan	267	262	0.30	5.28	8,371	8,204	16.49	242.34	8,638	8,466	16.80	247.61
23	Sikkim	2	2	0.00	0.02	1	1	0.01	0.09	3	3	0.01	0.11
24	Tamil Nadu	88	86	0.14	1.79	13,450	13,181	31.77	376.00	13,538	13,267	31.91	377.79
25	Tripura	73	72	0.12	1.15	360	353	0.76	12.95	433	425	0.88	14.10
26	Uttar Pradesh	275	269	0.24	4.86	17,645	17,292	31.72	403.35	17,920	17,561	31.96	408.22
27	Uttrakhand	44	43	0.05	0.79	3,369	3,302	7.55	84.84	3,413	3,345	7.60	85.63
28	West Bengal	14,834	14,537	12.49	144.53	8,384	8,216	18.20	155.42	23,218	22,753	30.69	299.95
29	Andaman & Nicobar Islands	1	1	0.00	0.01	148	145	0.32	3.89	149	146	0.32	3.90
30	Chandigarh	20	20	0.03	0.49	1,886	1,848	4.09	50.38	1,906	1,868	4.12	50.87
31	Dadra & Nagarhaveli	1	1	0.00	0.03	(1)	(1)	(0.00)	(0.03)	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	361	354	0.06	0.30	17,847	17,490	47.30	519.10	18,208	17,844	47.36	519.40
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Pondicherry	10	10	0.02	0.19	162	159	0.23	4.68	172	169	0.25	4.87
	<b>Company Total</b>	<b>32,265</b>	<b>31,620</b>	<b>33.07</b>	<b>488.81</b>	<b>171,894</b>	<b>168,457</b>	<b>395.66</b>	<b>4,637</b>	<b>204,159</b>	<b>200,077</b>	<b>428.72</b>	<b>5,126.26</b>

\*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh	6,376	6,217	6.02	142.78	17,988	17,538	30.29	714.31	24,364	23,755	36.31	857.09
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	1,357	1,323	1.60	29.36	1,379	1,345	2.21	29.49	2,736	2,668	3.81	58.85
4	Bihar	1,170	1,141	1.53	23.77	1,386	1,351	2.39	36.63	2,556	2,492	3.92	60.40
5	Chattisgarh	303	295	0.42	7.28	1,439	1,403	2.36	34.27	1,742	1,698	2.78	41.55
6	Goa	99	97	0.21	2.81	2,479	2,417	6.02	55.60	2,578	2,514	6.23	58.41
7	Gujarat	4,523	4,410	8.31	181.85	14,411	14,051	35.91	483.13	18,934	18,461	44.22	664.98
8	Haryana	3,971	3,872	5.14	106.84	6,118	5,965	13.43	180.18	10,089	9,837	18.57	287.02
9	Himachal Pradesh	588	573	1.11	15.82	1,245	1,214	1.94	20.45	1,833	1,787	3.05	36.27
10	Jammu & Kashmir	225	219	0.39	5.24	1,249	1,218	2.31	34.04	1,474	1,437	2.70	39.28
11	Jharkhand	568	554	1.00	12.64	1,389	1,354	2.54	30.18	1,957	1,908	3.54	42.82
12	Karnataka	1,162	1,133	1.96	32.14	6,137	5,984	16.05	199.74	7,299	7,117	18.01	231.88
13	Kerala	2,453	2,392	3.74	54.23	3,947	3,848	8.59	65.57	6,400	6,240	12.33	119.80
14	Madhya Pradesh	837	816	1.19	20.87	5,379	5,245	8.56	142.46	6,216	6,061	9.75	163.33
15	Maharashtra	5,498	5,361	9.24	203.41	26,517	25,854	68.42	891.26	32,015	31,215	77.67	1,094.68
16	Manipur	78	76	0.09	1.60	614	599	0.82	15.73	692	675	0.91	17.33
17	Meghalaya	16	16	0.03	0.31	118	115	0.24	1.99	134	131	0.27	2.30
18	Mizoram	-	-	-	-	-	-	0.00	-	-	-	0.00	-
19	Nagaland	2	2	-	0.02	-	-	0.00	-	2	2	0.00	0.02
20	Orissa	2,749	2,680	3.28	52.48	3,876	3,779	5.93	81.58	6,625	6,459	9.21	134.06
21	Punjab	5,856	5,710	11.45	166.73	4,901	4,778	12.56	95.15	10,757	10,488	24.00	261.88
22	Rajasthan	2,739	2,671	3.87	69.96	7,814	7,619	14.54	273.61	10,553	10,290	18.41	343.57
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	1,831	1,785	2.60	48.84	10,114	9,861	20.14	305.71	11,945	11,646	22.74	354.55
25	Tripura	128	125	0.21	1.87	189	184	0.43	2.85	317	309	0.64	4.72
26	Uttar Pradesh	4,302	4,194	5.31	75.67	15,408	15,023	26.97	399.43	19,710	19,217	32.28	475.10
27	Uttrakhand	1,330	1,297	1.14	18.59	3,331	3,248	5.79	57.57	4,661	4,545	6.92	76.17
28	West Bengal	35,289	34,407	13.55	156.86	16,185	15,780	12.19	123.06	51,474	50,187	25.73	279.92
29	Andaman & Nicobar Islands	33	32	0.05	0.64	103	100	0.13	1.85	136	132	0.18	2.49
30	Chandigarh	1,021	995	0.79	11.76	2,115	2,062	3.45	46.95	3,136	3,057	4.24	58.71
31	Dadra & Nagarhaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	1,239	1,208	2.48	43.37	16,141	15,737	45.21	546.02	17,380	16,945	47.69	589.39
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Pondicherry	26	25	0.04	0.59	360	351	0.42	9.16	386	376	0.46	9.75
	<b>Company Total</b>	<b>85,769</b>	<b>83,626</b>	<b>86.75</b>	<b>1,488.33</b>	<b>172,332</b>	<b>168,023</b>	<b>349.83</b>	<b>4,877.99</b>	<b>258,101</b>	<b>251,649</b>	<b>436.57</b>	<b>6,366.32</b>

\*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh					27	2,175,145	15.16	2,945.77	27	2,175,145	15.16	2,945.77
2	Arunachal Pradesh					-	-	-	-	-	-	-	-
3	Assam					-	-	-	-	-	-	-	-
4	Bihar					-	-	-	-	-	-	-	-
5	Chattisgarh					-	-	-	-	-	-	-	-
6	Goa					-	-	-	-	-	-	-	-
7	Gujarat					24	8,205	0.05	244.13	24	8,205	0.05	244.13
8	Haryana					69	89,799	7.30	6,840.52	69	89,799	7.30	6,840.52
9	Himachal Pradesh					-	-	-	-	-	-	-	-
10	Jammu & Kashmir					-	-	-	-	-	-	-	-
11	Jharkhand					-	-	-	-	-	-	-	-
12	Karnataka					54	297,307	0.69	2,790.04	54	297,307	0.69	2,790.04
13	Kerala					1	139	0.00	21.45	1	139	0.00	21.45
14	Madhya Pradesh					1	860	(0.00)	57.60	1	860	(0.00)	57.60
15	Maharashtra					194	531,942	5.88	16,556.69	194	531,942	5.88	16,556.69
16	Manipur					-	-	-	-	-	-	-	-
17	Meghalaya					-	-	-	-	-	-	-	-
18	Mizoram					-	-	-	-	-	-	-	-
19	Nagaland					-	-	-	-	-	-	-	-
20	Orissa					-	-	-	-	-	-	-	-
21	Punjab					-	-	-	-	-	-	-	-
22	Rajasthan					-	-	-	-	-	-	-	-
23	Sikkim					-	-	-	-	-	-	-	-
24	Tamil Nadu					18	6,234	0.04	288.97	18	6,234	0.04	288.97
25	Tripura					-	-	-	-	-	-	-	-
26	Uttar Pradesh					19	447,930	0.02	815.51	19	447,930	0.02	815.51
27	Uttrakhand					1	972	0.09	6.76	1	972	0.09	6.76
28	West Bengal					4	1,969	0.00	19.50	4	1,969	0.00	19.50
29	Andaman & Nicobar Islands					-	-	-	-	-	-	-	-
30	Chandigarh					-	-	-	-	-	-	-	-
31	Dadra & Nagrahaveli					-	-	-	-	-	-	-	-
32	Daman & Diu					-	-	-	-	-	-	-	-
33	Delhi					45	429,167	1.06	9,733.99	45	429,167	1.06	9,733.99
34	Lakshadweep					-	-	-	-	-	-	-	-
35	Pondicherry					-	-	-	-	-	-	-	-
	<b>Company Total</b>	-	-	-	-	457	3,989,669	30.29	40,320.93	457	3,989,669	30.29	40,320.93

\*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)	No. of Policies	No. of Lives	Premium (Rs Crs)	Sum Assured (Rs Crs)
1	Andhra Pradesh					14	4,176	0.10	144.32	14	4,176	0.10	144.32
2	Arunachal Pradesh					-	-	-	-	-	-	-	-
3	Assam					-	-	-	-	-	-	-	-
4	Bihar					-	-	-	-	-	-	-	-
5	Chattisgarh					-	-	-	-	-	-	-	-
6	Goa					-	-	-	-	-	-	-	-
7	Gujarat					14	9,992	0.10	144.01	14	9,992	0.10	144.01
8	Haryana					43	47,088	2.68	2,240.79	43	47,088	2.68	2,240.79
9	Himachal Pradesh					-	-	-	-	-	-	-	-
10	Jammu & Kashmir					-	-	-	-	-	-	-	-
11	Jharkhand					-	-	0.01	-	-	-	0.01	-
12	Karnataka					33	29,438	0.62	699.64	33	29,438	0.62	699.64
13	Kerala					-	-	-	-	-	-	-	-
14	Madhya Pradesh					1	928	(0.00)	59.85	1	928	(0.00)	59.85
15	Maharashtra					90	85,120	1.40	3,232.56	90	85,120	1.40	3,232.56
16	Manipur					-	-	-	-	-	-	-	-
17	Meghalaya					-	-	-	-	-	-	-	-
18	Mizoram					-	-	-	-	-	-	-	-
19	Nagaland					-	-	-	-	-	-	-	-
20	Orissa					-	-	-	-	-	-	-	-
21	Punjab					1	31	0.01	3.55	1	31	0.01	3.55
22	Rajasthan					1	105	0.02	21.95	1	105	0.02	21.95
23	Sikkim					-	-	-	-	-	-	-	-
24	Tamil Nadu					6	1,595	0.05	52.12	6	1,595	0.05	52.12
25	Tripura					-	-	-	-	-	-	-	-
26	Uttar Pradesh					13	5,705	0.34	261.05	13	5,705	0.34	261.05
27	Uttrakhand					-	-	-	-	-	-	-	-
28	West Bengal					3	1,614	0.34	10.64	3	1,614	0.34	10.64
29	Andaman & Nicobar Islands					-	-	-	-	-	-	-	-
30	Chandigarh					1	145	0.01	-	1	145	0.01	-
31	Dadra & Nagrahaveli					-	-	-	-	-	-	-	-
32	Daman & Diu					-	-	-	-	-	-	-	-
33	Delhi					31	216,643	0.65	4,739.64	31	216,643	0.65	4,739.64
34	Lakshadweep					-	-	-	-	-	-	-	-
35	Pondicherry					-	-	-	-	-	-	-	-
	<b>Company Total</b>	-	-	-	-	251	402,580	6.32	11,610.13	251	402,580	6.32	11,610.13

\*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

L-26-INVESTMENT ASSETS - 3A PART A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Particulars	Amount
Total Application as per Balance Sheet (A)	1,209,068
Add : (B)	
Provisions	35
Current Liabilities	72,278
Less: (C)	
Debit Balance in P & LA/c	100,420
Loans	1,021
Adv & Other Assets	48,640
Cash & Bank Balance	1,335
Fixed Assets	26,427
Misc Exp Not Written Off	7,500
<b>Funds available for Investments</b>	<b>1,096,038</b>

Particulars	Rs. Lacs
Total Investment Assets as per Balance Sheet	1,096,038
Balance Sheet Value of :	1,096,038
A. Life Fund	372,725
B. Pension & General Annuity Fund	7,135
C. Unit Linked Funds	716,179

NON LINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
		Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)	F= (b+c+d+e)				
1 G. Sec	Not less than 25%	-	38,460	4,133	130,749	10,910	184,251	49%	-	184,251	177,363
2 G. Sec or Other Approved Securities (incl 1 Above)	Not less than 50%	-	45,298	7,575	166,044	12,336	231,253	62%	-	231,253	224,606
3 Investment Subject to Exposure Norms											
a. Housing & Infrastructure	Not less than 15%	-	21,882	3,474	52,721	4,277	82,354	22%	23	82,354	83,592
b. i) Approved Investments	Not	-	28,179	7,347	21,212	2,270	59,008	16%	(283)	59,008	59,412
ii) "Other Investments" not to exceed 15%		-	107	-	-	3	111	0%	1	111	111
<b>TOTAL LIFE FUND</b>	<b>100%</b>	-	<b>95,466</b>	<b>18,395</b>	<b>239,977</b>	<b>18,886</b>	<b>372,725</b>	<b>100%</b>	<b>(259)</b>	<b>372,725</b>	<b>367,720</b>

B. PENSION AND GENERAL ANNUITY FUND	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
		PAR	NON PAR					
1 G. Sec	Not less than 20%	3,894	305	4,200	59%	-	4,200	4,046
2 G. Sec or Other Approved Securities (incl 1 Above)	Not less than 40%	4,381	305	4,686	66%	-	4,686	4,547
3 Investment Subject to Exposure Norms	Not exceeding 60%	955	1,494	2,448	34%	700	2,448	2,473
<b>TOTAL PENSION , GENERAL ANNUITY FUND</b>	<b>100%</b>	<b>5,336</b>	<b>1,799</b>	<b>7,135</b>	<b>100%</b>	<b>700</b>	<b>7,135</b>	<b>7,020</b>

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
1 Approved Investment	Not less than 75%	-	649,460	649,460	91%
2 Other Investments	Not exceeding 25%	-	66,719	66,719	9%
	<b>100%</b>	-	<b>716,179</b>	<b>716,179</b>	<b>100%</b>

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: \_\_\_\_\_  
 Full Name: Rajesh Sud / Prashant Sharma  
 Designation: Chief Executive Officer/ Chief of Investments

Note: (+) FRMS refers to 'Funds representing Solvency Margins'.

Pattern of Investment will apply only to Shareholders (SH) funds representing FRSM ("F")

Funds beyond Solvency Margin shall have a separate Custody Account

Other Investments are as permitted under Section 27A(2) and 27B(3) of Insurance Act, 1938.



Particulars	Pension Balanced Fund	Pension Growth Fund	Pension Conservative Fund	Pension Secured Fund	Pension Growth Super Fund Fund	Total Pension
Opening Balance (Market Value)	3,643	16,480	346	1,492	15,158	37,119
Add: Inflow During the Quarter	1,738	5,208	83	619	3,580	11,228
Increase / ( Decrease) Value of Inv. ( Net)	130	641	7	25	608	1,411
Less: Outflow during the Quarter	(731)	(1,071)	(45)	(103)	(835)	(2,784)
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>4,780</b>	<b>21,259</b>	<b>392</b>	<b>2,032</b>	<b>18,510</b>	<b>46,974</b>

Investment Of Unit Fund	Pension Balanced Fund		Pension Growth Fund		Pension Conservative Fund		Pension Secured Fund		Pension Growth Super Fund Fund		Total Pension	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Government Bonds	1,019	21%	860	4%	199	51%	1,027	51%	-	0%	3,104	7%
Corporate Bonds	545	11%	1,264	6%	44	11%	432	21%	87	0%	2,372	5%
Infrastructure Bonds	1,172	25%	5,586	26%	69	18%	271	13%	1,812	10%	8,910	19%
Equity	994	21%	8,077	38%	33	8%	-	0%	11,124	60%	20,228	43%
Money Market	308	6%	1,096	5%	12	3%	129	6%	1,639	9%	3,183	7%
Mutual funds	82	2%	846	4%	-	0%	36	2%	877	5%	1,841	4%
Deposit with Banks	120	3%	429	2%	20	5%	60	3%	40	0%	669	1%
<b>Sub Total (A)</b>	<b>4,239</b>	<b>89%</b>	<b>18,158</b>	<b>85%</b>	<b>377</b>	<b>96%</b>	<b>1,954</b>	<b>96%</b>	<b>15,579</b>	<b>84%</b>	<b>40,307</b>	<b>86%</b>
<b>Current Assets:</b>												
Accrued Interest	75	2%	226	1%	11	3%	42	2%	7	0%	361	1%
Bank Balance	1	0%	0	0%	1	0%	1	0%	(1)	0%	3	0%
Dividend Recievable	8	0%	39	0%	0	0%	-	0%	55	0%	102	0%
Receivable for Sale of Investments	115	2%	22	0%	52	13%	-	0%	-	0%	189	0%
Other Current Assets	307	6%	1,305	6%	9	2%	89	4%	500	3%	2,209	5%
Appropriation (Expropriation) Asset	4	0%	31	0%	(0)	0%	0	0%	47	0%	82	0%
<b>Less: Current Liabilities</b>												
Payable for Investments	(156)	-3%	(85)	0%	(58)	-15%	(54)	-3%	(22)	0%	(375)	-1%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (B)</b>	<b>354</b>	<b>7%</b>	<b>1,539</b>	<b>7%</b>	<b>15</b>	<b>4%</b>	<b>78</b>	<b>4%</b>	<b>585</b>	<b>3%</b>	<b>2,570</b>	<b>5%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	187	4%	1,563	7%	1	0%	-	0%	2,346	13%	4,097	9%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>187</b>	<b>4%</b>	<b>1,563</b>	<b>7%</b>	<b>1</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>2,346</b>	<b>13%</b>	<b>4,097</b>	<b>9%</b>
<b>Total (A+B+C)</b>	<b>4,780</b>	<b>100%</b>	<b>21,259</b>	<b>100%</b>	<b>392</b>	<b>100%</b>	<b>2,032</b>	<b>100%</b>	<b>18,510</b>	<b>100%</b>	<b>46,974</b>	<b>100%</b>

Particulars	Gr Gratuity Balanced fund	Gr Gratuity Growth fund	Gr Gratuity Conservative Fund	Gr Super Balanced Fund	Gr Super Growth Fund	Gr Super Conservative Fund	Total Group Fund
Opening Balance (Market Value)	1,638	1,210	2,643	2	4	174	5,671
Add: Inflow During the Quarter	728	315	331	-	0	53	1,428
Increase / ( Decrease) Value of Inv. ( Net)	95	31	48	0	0	4	178
Less: Outflow during the Quarter	(424)	(81)	(384)	(0)	-	(26)	(915)
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>2,037</b>	<b>1,475</b>	<b>2,639</b>	<b>2</b>	<b>4</b>	<b>205</b>	<b>6,361</b>

Investment Of Unit Fund	Gr Gratuity Balanced fund		Gr Gratuity Growth fund		Gr Gratuity Conservative Fund		Gr Super Balanced Fund		Gr Super Growth Fund		Gr Super Conservative Fund		Total Group Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>														
Government Bonds	537	26%	187	13%	1,518	58%	1	34%	1	24%	150	73%	2,394	38%
Corporate Bonds	201	10%	116	8%	368	14%	0	17%	0	10%	11	5%	697	11%
Infrastructure Bonds	399	20%	358	24%	611	23%	0	21%	1	18%	21	10%	1,390	22%
Equity	441	22%	561	38%	-	0%	0	22%	2	42%	-	0%	1,004	16%
Money Market	101	5%	103	7%	44	2%	-	0%	-	0%	10	5%	258	4%
Mutual funds	100	5%	-	0%	10	0%	-	0%	-	0%	-	0%	110	2%
Deposit with Banks	45	2%	25	2%	80	3%	-	0%	-	0%	7	3%	157	2%
<b>Sub Total (A)</b>	<b>1,824</b>	<b>90%</b>	<b>1,351</b>	<b>92%</b>	<b>2,631</b>	<b>100%</b>	<b>2</b>	<b>95%</b>	<b>4</b>	<b>94%</b>	<b>199</b>	<b>97%</b>	<b>6,011</b>	<b>94%</b>
<b>Current Assets:</b>														
Accrued Interest	48	2%	20	1%	60	2%	0	2%	0	2%	5	2%	134	2%
Bank Balance	73	4%	10	1%	1	0%	0	0%	0	0%	1	0%	85	1%
Dividend Recievable	3	0%	3	0%	-	0%	0	0%	0	0%	-	0%	6	0%
Receivable for Sale of Investments	500	25%	52	4%	156	6%	0	18%	1	19%	-	0%	709	11%
Other Current Assets	-	0%	0	0%	46	2%	-	0%	0	0%	-	0%	46	1%
Appropriation (Expropriation) Asset	1	0%	(2)	0%	(0)	0%	0	0%	0	0%	0	0%	(1)	0%
<b>Less: Current Liabilities</b>														
Payable for Investments	(488)	-24%	(45)	-3%	(255)	-10%	(0)	-17%	(1)	-18%	-	0%	(790)	-12%
Other Current Liabilities	0	0%	-	0%	-	0%	0	0%	-	0%	0	0%	0	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (B)</b>	<b>137</b>	<b>7%</b>	<b>38</b>	<b>3%</b>	<b>8</b>	<b>0%</b>	<b>0</b>	<b>4%</b>	<b>0</b>	<b>4%</b>	<b>6</b>	<b>3%</b>	<b>189</b>	<b>3%</b>
<b>Other Investments (&lt;=25%)</b>														
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	76	4%	85	6%	-	0%	0	2%	0	2%	-	0%	161	3%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>76</b>	<b>4%</b>	<b>85</b>	<b>6%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>2%</b>	<b>0</b>	<b>2%</b>	<b>0</b>	<b>0%</b>	<b>161</b>	<b>3%</b>
<b>Total (A+B+C)</b>	<b>2,037</b>	<b>100%</b>	<b>1,475</b>	<b>100%</b>	<b>2,639</b>	<b>100%</b>	<b>2</b>	<b>100%</b>	<b>4</b>	<b>100%</b>	<b>205</b>	<b>100%</b>	<b>6,361</b>	<b>100%</b>



Particulars	Balanced Fund	Growth Fund	Conservative Fund	Secured Fund	Guaranteed Fund-Income	Guaranteed Fund-Dynamic	Growth Super Fund
Opening Balance (Market Value)	30,787	402,271	3,112	7,142	34	215	157,338
Add: Inflow During the Quarter	2,840	23,950	331	995	6	43	16,237
Increase / ( Decrease) Value of Inv. ( Net)	829	11,854	61	126	0	3	5,831
Less: Outflow during the Quarter	(1,293)	(9,274)	(154)	(348)	(2)	(10)	(7,813)
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>33,163</b>	<b>428,800</b>	<b>3,350</b>	<b>7,915</b>	<b>37</b>	<b>251</b>	<b>171,593</b>

Investment Of Unit Fund	Balanced Fund		Growth Fund		Conservative Fund		Secured Fund		Guaranteed Fund-Income		Guaranteed Fund-Dynamic		Growth Super Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>														
Government Bonds	8,524	26%	32,295	8%	1,677	50%	4,258	54%	26	71%	137	55%	-	0%
Corporate Bonds	3,607	11%	26,622	6%	563	17%	1,402	18%	3	7%	11	4%	320	0%
Infrastructure Bonds	5,690	17%	80,841	19%	377	11%	1,407	18%	2	6%	30	12%	17,843	10%
Equity	8,049	24%	168,949	39%	357	11%	-	0%	2	5%	18	7%	113,879	66%
Money Market	3,158	10%	55,923	13%	63	2%	542	7%	3	8%	45	18%	11,237	7%
Mutual funds	561	2%	12,405	3%	23	1%	20	0%	-	0%	-	0%	6,671	4%
Deposit with Banks	547	2%	3,598	1%	115	3%	204	3%	-	0%	-	0%	198	0%
<b>Sub Total (A)</b>	<b>30,137</b>	<b>1</b>	<b>380,633</b>	<b>89%</b>	<b>3,174</b>	<b>95%</b>	<b>7,833</b>	<b>99%</b>	<b>35</b>	<b>96%</b>	<b>241</b>	<b>96%</b>	<b>150,149</b>	<b>88%</b>
<b>Current Assets:</b>														
Accrued Interest	664	2%	4,547	1%	59	2%	176	2%	1	4%	8	3%	65	0%
Bank Balance	(0)	0%	436	0%	1	0%	3	0%	1	1%	1	0%	(2)	0%
Dividend Recievable	38	0%	869	0%	1	0%	-	0%	0	0%	0	0%	575	0%
Receivable for Sale of Investments	1,336	4%	1,941	0%	223	7%	281	4%	11	31%	52	21%	210	0%
Other Current Assets	207	1%	1,232	0%	86	3%	18	0%	-	0%	1	0%	227	0%
Appropriation (Expropriation) Asset	27	0%	674	0%	1	0%	0	0%	0	0%	0	0%	444	0%
<b>Less: Current Liabilities</b>														
Payable for Investments	(592)	-2%	(707)	0%	(235)	-7%	(396)	-5%	(12)	-32%	(54)	-21%	(700)	0%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	0	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (B)</b>	<b>1,679</b>	<b>5%</b>	<b>8,991</b>	<b>2%</b>	<b>136</b>	<b>4%</b>	<b>82</b>	<b>1%</b>	<b>2</b>	<b>4%</b>	<b>8</b>	<b>3%</b>	<b>820</b>	<b>0%</b>
<b>Other Investments (&lt;=25%)</b>														
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	1,346	4%	39,176	9%	39	1%	-	0%	0	0%	2	1%	20,624	12%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>1,346</b>	<b>0</b>	<b>39,176</b>	<b>9%</b>	<b>39</b>	<b>1%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>2</b>	<b>1%</b>	<b>20,624</b>	<b>12%</b>
<b>Total (A+B+C)</b>	<b>33,163</b>	<b>100%</b>	<b>428,800</b>	<b>100%</b>	<b>3,350</b>	<b>100%</b>	<b>7,915</b>	<b>100%</b>	<b>37</b>	<b>100%</b>	<b>251</b>	<b>100%</b>	<b>171,593</b>	<b>100%</b>



Particulars	High Growth Fund	Dynamic Opportunity Fund	Money Market Fund	Secure Plus Fund	Total Individual Fund	Grand Total
Opening Balance (Market Value)	2,247	10,053	943	864	615,004	657,794
Add: Inflow During the Quarter	454	3,272	329	408	48,865	61,520
Increase / ( Decrease) Value of Inv. ( Net)	129	379	7	13	19,232	20,821
Less: Outflow during the Quarter	(236)	(569)	(78)	(480)	(20,256)	(23,956)
<b>TOTAL INVESTIBLE FUNDS (MKT VALUE)</b>	<b>2,594</b>	<b>13,135</b>	<b>1,201</b>	<b>805</b>	<b>662,844</b>	<b>716,179</b>

Investment Of Unit Fund	High Growth Fund		Dynamic Opportunity Fund		Money Market Fund		Secure Plus Fund		Total Individual Fund		Grand Total	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
<b>Approved Investments (&gt;=75%)</b>												
Government Bonds	-	0%	968	7%	1,173	98%	500	62%	49,557	7%	55,055	8%
Corporate Bonds	107	4%	1,029	8%	-	0%	43	5%	33,707	5%	36,777	5%
Infrastructure Bonds	295	11%	2,506	19%	-	0%	94	12%	109,085	16%	119,385	17%
Equity	1,659	64%	5,103	39%	-	0%	-	0%	298,016	45%	319,249	45%
Money Market	92	4%	1,366	10%	-	0%	61	8%	72,491	11%	75,932	11%
Mutual funds	87	3%	614	5%	-	0%	-	0%	20,381	3%	22,332	3%
Deposit with Banks	-	0%	130	1%	-	0%	30	4%	4,822	1%	5,648	1%
<b>Sub Total (A)</b>	<b>2,240</b>	<b>86%</b>	<b>11,715</b>	<b>89%</b>	<b>1,173</b>	<b>98%</b>	<b>728</b>	<b>90%</b>	<b>588,059</b>	<b>89%</b>	<b>634,378</b>	<b>89%</b>
<b>Current Assets:</b>												
Accrued Interest	8	0%	137	1%	-	0%	20	3%	5,685	1%	6,179	1%
Bank Balance	0	0%	0	0%	2	0%	15	2%	455	0%	543	0%
Dividend Recievable	6	0%	23	0%	-	0%	-	0%	1,513	0%	1,621	0%
Receivable for Sale of Investments	-	0%	54	0%	-	0%	50	6%	4,158	1%	5,056	1%
Other Current Assets	12	0%	257	2%	26	2%	57	7%	2,123	0%	4,378	1%
Appropriation (Expropriation) Asset	4	0%	33	0%	0	0%	0	0%	1,185	0%	1,266	0%
<b>Less: Current Liabilities</b>												
Payable for Investments	-	0%	(34)	0%	-	0%	(65)	-8%	(2,795)	0%	(3,960)	-1%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	0	0%	0	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (B)</b>	<b>30</b>	<b>1%</b>	<b>471</b>	<b>4%</b>	<b>28</b>	<b>2%</b>	<b>77</b>	<b>10%</b>	<b>12,324</b>	<b>2%</b>	<b>15,083</b>	<b>2%</b>
<b>Other Investments (&lt;=25%)</b>												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	324	13%	948	7%	-	0%	-	0%	62,461	9%	66,719	9%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
<b>Sub Total (C)</b>	<b>324</b>	<b>13%</b>	<b>948</b>	<b>7%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>62,461</b>	<b>9%</b>	<b>66,719</b>	<b>9%</b>
<b>Total (A+B+C)</b>	<b>2,594</b>	<b>100%</b>	<b>13,135</b>	<b>100%</b>	<b>1,201</b>	<b>100%</b>	<b>805</b>	<b>100%</b>	<b>662,844</b>	<b>100%</b>	<b>716,179</b>	<b>100%</b>

DATE:

Signature:

Full Name: Rajesh Sud / Prashant Sharma  
Designation: Chief Executive Officer/ Chief of Investments

**Note:**

- 1.The aggregate of all the above Segregated Unit Fund should tally with item C of Form 3A ( Part A ) , for both Par & Non Par Business
2. Details of item 12 of Form LB 2 of IRDA ( Actuarial Report ) Regulation ,2000 shall be reconciled with FORM 3A ( Part B)
- 3.Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

PERIODIC DISCLOSURES

FORM L-28-ULIP NAV Linked to Form 3A ( Part B)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

PART - C



No	Name of the Scheme	As on the Above Date			Previous Qtr. NAV	2nd Previous Qtr. NAV	3rd Previous Qtr. NAV	Annualised Return/Yield	3 Year Rolling CAGR
		Assets Held	NAV	NAV As per LB2					
1	Pension Balanced Fund	4,780	14.892	14.892	14.412	14.074	13.873	12.35%	8.28%
2	Pension Growth Fund	21,259	17.066	17.066	16.484	16.190	15.694	19.39%	10.02%
3	Pension Conservative Fund	392	14.058	14.058	13.771	13.555	13.391	7.19%	7.95%
4	Pension Secured Fund	2,032	13.203	13.203	13.005	12.808	12.619	4.99%	6.81%
5	Pension Growth Super Fund Fund	18,510	9.760	9.760	9.424	9.200	8.849	26.85%	-0.92%
6	Group Gratuity Balanced Fund	2,037	14.930	14.930	14.229	13.970	13.647	13.90%	9.92%
7	Group Gratuity Growth Fund	1,475	14.032	14.032	13.666	13.458	13.195	14.30%	7.73%
8	Group Gratuity Conservative Fund	2,639	13.423	13.423	13.187	12.981	12.761	5.25%	8.67%
9	Group Superannuation Balanced Fund	2	13.624	13.624	13.406	13.259	13.003	8.60%	10.86%
10	Balanced Fund	33,163	21.192	21.192	20.649	20.281	19.749	13.90%	10.14%
11	Growth Fund	428,800	29.143	29.143	28.327	27.844	27.046	18.10%	11.40%
12	Conservative Fund	3,350	18.000	18.000	17.661	17.368	17.120	8.08%	10.70%
13	Secured Fund	7,915	14.953	14.953	14.702	14.490	14.264	5.10%	9.33%
14	Guaranteed Fund-Income	37	12.230	12.230	12.112	11.955	11.819	4.61%	5.23%
15	Guaranteed Fund-Dynamic	251	12.782	12.782	12.611	12.470	12.318	5.40%	6.03%
16	Growth Super Fund	171,593	15.928	15.928	15.385	15.030	14.409	27.63%	14.92%
17	High Growth Fund	2,594	12.047	12.047	11.433	11.048	10.630	28.78%	8.27%
18	Dynamic Opportunity Fund	13,135	13.117	13.117	12.713	12.471	12.244	16.25%	13.38%
19	Group Superannuation Growth Fund	4	12.682	12.682	12.277	12.335	12.151	12.10%	8.24%
20	Group Superannuation Conservative Fund	205	10.678	10.678	10.439	10.264	10.121	5.45%	2.21%
21	Money Market Fund	1,201	10.267	10.267	10.200	10.142	10.095	2.40%	2.36%
22	Secure Plus Fund	805	10.427	10.427	10.273	10.136	9.984	5.26%	3.76%

Note :

- NAV figures as per LB2 are as on 30th June 2010
- Launch Dates for fund not in existence for 3 years

Fund Details	Launch Date
High Growth Fund	26-Feb-08
Dynamic Opportunities	02-May-08
Pension Growth Super	16-Nov-07
Money Market Fund	13-May-09
Secure Plus Fund	13-May-09

**Certification**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

\_\_\_\_\_

PERIODIC DISCLOSURES  
 FORM L - 29 - DEBT SECURITIES - 7A  
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED  
 REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



(Amt in Rs Lacs)

	Market Value								Book Value							
	ULIP	Non-ULIP	Total as at Jun 30,2010	as % of total for this class	ULIP	Non-ULIP	Total as at Jun 30,2009	as % of total for this class	ULIP	Non-ULIP	Total as at Jun 30,2010	as % of total for this class	ULIP	Non-ULIP	Total as at Jun 30,2009	as % of total for this class
<b>Break down by credit rating</b>																
AAA rated	174,645	123,605	298,249	48.83%	81,633	74,851	156,484	39.29%	174,645	121,826	296,471	48.14%	81,633	72,738	154,371	38.88%
AA or better	13,248	9,063	22,311	3.65%	7,314	7,751	15,065	3.78%	13,248	9,208	22,456	3.65%	7,314	7,945	15,259	3.84%
Rated below AA but above A	71	-	71	0.01%	-	-	-	0.00%	71	-	71	0.01%	-	-	-	0.00%
Rated below A but above B	-	-	-	0.00%	-	-	-	0.00%	-	-	-	0.00%	-	-	-	0.00%
Any other*	60,703	229,443	290,146	47.50%	54,852	171,906	226,759	56.93%	60,703	236,196	296,900	48.21%	54,852	172,532	227,384	57.27%
	<b>248,668</b>	<b>362,111</b>	<b>610,778</b>	<b>100%</b>	<b>143,799</b>	<b>254,508</b>	<b>398,307</b>	<b>100%</b>	<b>248,668</b>	<b>367,231</b>	<b>615,898</b>	<b>100%</b>	<b>143,799</b>	<b>253,214</b>	<b>397,013</b>	<b>100%</b>
<b>BREAKDOWN BY RESIDUAL MATURITY</b>																
Up to 1 year	110,543	15,539	126,082	20.64%	36,603	17,429	54,032	14%	110,543	15,480	126,023	20.46%	36,603	17,373	53,976	14%
more than 1 year and upto 3years	61,580	16,866	78,446	12.84%	21,500	14,585	36,085	9%	61,580	16,304	77,883	12.65%	21,500	14,011	35,511	9%
More than 3years and up to 7years	41,702	44,580	86,282	14.13%	31,530	24,172	55,702	14%	41,702	43,970	85,672	13.91%	31,530	23,406	54,935	14%
More than 7 years and up to 10 years	23,933	56,264	80,197	13.13%	44,914	34,837	79,751	20%	23,933	56,196	80,128	13.01%	44,914	34,122	79,036	20%
More than 10 years and up to 15 years	9,393	62,140	71,533	11.71%	7,299	39,639	46,938	12%	9,393	62,548	71,941	11.68%	7,299	38,964	46,263	12%
More than 15 years and up to 20 years	1,518	51,438	52,956	8.67%	1,526	32,082	33,608	8%	1,518	52,765	54,283	8.81%	1,526	32,536	34,063	9%
Above 20 years	0	115,283	115,283	18.87%	426	91,765	92,191	23%	0	119,968	119,968	19.48%	426	92,803	93,230	23%
	<b>248,668</b>	<b>362,111</b>	<b>610,778</b>	<b>100%</b>	<b>143,799</b>	<b>254,508</b>	<b>398,307</b>	<b>100%</b>	<b>248,668</b>	<b>367,231</b>	<b>615,898</b>	<b>100%</b>	<b>143,799</b>	<b>253,214</b>	<b>397,013</b>	<b>100%</b>
<b>Breakdown by type of the issuer</b>																
a. Central Government	55,055	227,677	282,732	46.29%	49,352	165,689	215,041	53.99%	55,055	234,430	289,485	47.00%	49,352	166,350	215,702	54.33%
b. State Government	-	-	-	0.00%	-	-	-	0.00%	-	-	-	0.00%	-	-	-	0.00%
c. Corporate Securities	193,613	134,434	328,046	53.71%	94,447	88,819	183,266	46.01%	193,613	132,800	326,413	53.00%	94,447	86,865	181,311	45.67%
	<b>248,668</b>	<b>362,111</b>	<b>610,778</b>	<b>100%</b>	<b>143,799</b>	<b>254,508</b>	<b>398,307</b>	<b>100%</b>	<b>248,668</b>	<b>367,231</b>	<b>615,898</b>	<b>100%</b>	<b>143,799</b>	<b>253,214</b>	<b>397,013</b>	<b>100%</b>

**Note**

- In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
  - The detail of ULIP and Non-ULIP will be given separately.
  - Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
- \* Includes Government Securities, Treasury Bills and Fixed Deposits

PERIODIC DISCLOSURES

FORM L-30 : RELATED PARTY TRANSACTIONS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Rs. Crores

Sl No.	Name of the Related Party	Nature of Relationship with Company	Description of Transaction / Categories	Consideration paid / received	
				For the Quarter 30th June 2010	For the Quarter 30th June 2009
1	Max India Ltd.	Holding Company	Receiving of services.	-	-
			Rendering of Services	0.25	0.11
			Equity Share Capital	-	-
			Reimbursement of expenses.	0.00	0.00
2	New York Life International Llc	Shareholders with Significant Influence	Equity Share Capital	-	-
			Receiving of services.	1.34	0.09
			Recovery of Expenses	(0.21)	-
			Reimbursement of expenses.	0.16	0.03
3	New York Life Insurance Co.	Shareholders with Significant Influence	Receiving of services.	-	-
4	Max Healthcare Institute Ltd.	Fellow Subsidiaries	Reimbursement of expenses.	-	-
			Rendering of Services	-	-
5	Pharmax Corporation Ltd. and	Fellow Subsidiaries	Receiving of services.	0.06	0.31
			Reimbursement of expenses.	0.06	0.12
6	Max Bupa Health Insurance Limited	Fellow Subsidiaries	Reimbursement of expenses.	0.00	-
			Rendering of Services	-	-
			Sale of Investments	-	-
			Sale of Assets	-	-
7	MAX UK LTD, UK	Fellow Subsidiaries	Reimbursement of expenses.	-	-
8	Alps Hospital Pvt. Ltd.	Fellow Subsidiaries	Rendering of Services	-	-
9	Max Neeman Medical International Ltd.	Fellow Subsidiaries	Rendering of Services	-	-
10	Max Foundation	Enterprises over which Key management Personnel have significant Influence	Voluntry Contribution	-	-
11	New Delhi House Services Limited	Enterprises over which Key management Personnel have significant Influence	Receiving of services.	0.16	0.09
			Reimbursement of expenses.	0.01	0.02

**PERIODIC DISCLOSURES**

**FORM L-31 BOARD OF DIRECTORS & KEY PERSON**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**



S. No.	Name of person	Role/designation	Remarks
1	Analjit Singh	Chairman	
2	Anuroop Singh	Vice Chairman	
3	Rajit Mehta	ED & COO	
4	Rajesh Sud	MD & CEO	
5	Omkar Goswami	Director	
6	William Beaty	Director	
7	Marielle Theron	Director	
8	Leo Puri	Director	
9	Richard Mucci	Director	

*Key Persons as defined in IRDA Registration of Companies Regulations, 2000*

S. No.	Name of Person	Role/designation	Remarks
1	Rajesh Sud	CEO	
2	John Poole	AA	
3	Prashant Sharma	CIO	
4	Sunil Kakar	CFO	
5	Anisha Motwani	CMO	
6	Ashish Vohra	CDO	

PERIODIC DISCLOSURES

FORM L-32-SOLVENCY MARGIN - KT3

(See Regulation 4)

Insurance Regulatory and Development Authority (Actuarial Report and Abstract) Regulations, 2000.

**AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO.**

30th June '2010

Name of Insurer:

**MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

Classification:

Registration Number: 104

Form Code:

Classification Code:



Item	Description	Adjusted Value [Amount (In Rs. '000)]
01	Available Assets in Policyholders' Fund:	100,056,353
	Deduct:	
02	Mathematical Reserves	99,725,992
03	Other Liabilities	
04	Excess in Policyholders' funds	330,361
05	Available Assets in Shareholders Fund:	15,703,004
	Deduct:	
06	Other Liabilities of shareholders' fund	7,286,124
07	Excess in Shareholders' funds	8,416,881
<b>08</b>	<b>Total ASM (04)+(07)</b>	<b>8,747,242</b>
<b>09</b>	<b>Total RSM</b>	<b>2,878,953</b>
<b>10</b>	<b>Solvency Ratio (ASM/RSM)</b>	<b>3.04</b>

I, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurgaon

Date:

Notes

Name and Signature of Appointed Actuary

John Poole

- Item No. 01 shall be the amount of the Adjusted Value of Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations, 2000;
- Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 shall be the amount of the Total Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations, 2000.

**PERIODIC DISCLOSURES**

**FORM L - 33 - NPAs - 7A**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**



No.	Description	Confirmation (Yes / No )
1	Details of Approved Investments / Other Investments which have matured for payment and maturity amount is outstanding along with particulars of defaulted amount and period for which said default has continued	No
2	Any Investment as at (1), which subsequent to maturity have been rolled over	No
3	In respect of Investments where periodic income have fallen due, details of interest payment in default, along with period for which such default have persisted.	No
4	Details of steps taken to recover the defaulted amounts, and the provisioning done / proposed in the accounts against such defaults	N.A.

**Certification**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

**Date:**

**Note:** If any of the confirmations is in the affirmative, details be provided

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Name of the Fund: Life Fund



COI	Company Name	Instrument type	Interest Rate		Total O/s ( Book Value)	Default Principal ( Book Value)	Default Interest ( Book Value)	Principal Due from	Interest Due from	Deffered Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment ( COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

**PERIODIC DISCLOSURES**

**FORM L - 33 - NPAs - 7A**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

Name of the Fund: Annuity Fund



COI	Company Name	Instrument type	Interest Rate		Total O/s ( Book Value)	Default Principal ( Book Value)	Default Interest ( Book Value)	Principal Due from	Interest Due from	Deferred Interest	Rollover	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

**Certification :**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

**DATE:**

**Note :**

- A. Category of Investment ( COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

**Signature:**

Full Name: Rajesh Sud / Prashant Sharma  
 Designation: Chief Executive Officer/ Chief of Investments

**PERIODIC DISCLOSURES**

**FORM L - 33 - NPAs - 7A**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

Name of the Fund: Linked Fund



COI	Company Name	Instrument type	Interest Rate		Total O/s ( Book Value)	Default Principal ( Book Value)	Default Interest ( Book Value)	Principal Due from	Interest Due from	Deferred Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

**Certification :**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment ( COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year			
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%) *	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
A	GOVERNMENT SECURITIES													
A01	Central Government Bonds	CGSB	183,201.40	3,336.76	7.56%	7.56%	183,201.40	3,336.76	7.56%	7.56%	148,456.81	2,795.67	7.72%	7.72%
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,049.77	24.45	9.31%	9.31%	1,049.77	24.45	9.31%	9.31%	1,051.57	24.70	9.51%	9.51%
A04	Treasury Bills	CTRB	-	4.10			-	4.10			376.80	0.20	0.43%	0.43%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	47,001.62	961.07	8.21%	8.21%	47,001.62	961.07	8.21%	8.21%	12,653.66	266.04	8.68%	8.68%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	12.11	-	0.00%	0.00%	12.11	-	0.00%	0.00%	-	-	0.00%	0.00%
D03	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	48.40	0.02	0.16%	0.16%	48.40	0.02	0.16%	0.16%	-	-	0.00%	0.00%
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	70,896.71	1,566.62	8.81%	8.81%	70,896.71	1,566.62	8.81%	8.81%	42,453.16	948.26	9.09%	9.09%
D08	Infrastructure - PSU - CPs	IPCP	-	-			-	-			-	-	0.00%	0.00%
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	11,396.40	235.72	8.50%	8.50%	11,396.40	235.72	8.50%	8.50%	12,544.13	226.66	8.69%	8.69%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
E01	PSU - Equity shares - Quoted	EAEQ	247.23	0.61	1.02%	1.02%	247.23	0.61	1.02%	1.02%	-	-	0.00%	0.00%
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	850.11	7.16	3.43%	3.43%	850.11	7.16	3.43%	3.43%	1,932.90	46.15	11.08%	11.08%
E05	Corporate Securities - Bonds - (Taxable)	EPBT	33,523.17	641.78	8.33%	8.33%	33,523.17	641.78	8.33%	8.33%	14,209.79	322.34	9.41%	9.41%
E16	Deposits - Deposit with Scheduled Banks, Fis (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,464.85	33.63	3.37%	3.37%	3,464.85	33.63	3.37%	3.37%	7,412.33	40.35	1.85%	1.85%
E17	Deposits - CDs with Scheduled Banks	EDCD	6,263.58	109.09	7.00%	7.00%	6,263.58	109.09	7.00%	7.00%	5,391.53	171.09	9.18%	9.18%
E18	Deposits - Repo / Reverse Repo	ECMR	-	0.42			-	0.42			-	-	0.00%	0.00%
E21	Commercial Papers	ECCP	-	-			-	-			-	-	0.00%	0.00%
E22	Application Money	ECAM	-	-			-	-			-	-	0.00%	0.00%
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	4,200.00	94.75	9.02%	9.02%	4,200.00	94.75	9.02%	9.02%	-	-	0.00%	0.00%
E24	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	1,500.00	37.40	9.97%	9.97%	1,500.00	37.40	9.97%	9.97%	1,700.00	42.58	10.15%	10.15%
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	8,958.75	135.89	5.32%	5.32%	8,958.75	135.89	5.32%	5.32%	-	-	0.00%	0.00%
F	OTHER INVESTMENTS													
F01	Bonds - PSU - Taxable	OBPT	-	-			-	-			1,200.00	25.78	8.69%	8.69%
F03	Equity Shares (incl Co-op Societies)	OESH	77.87	0.02	0.10%	0.10%	77.87	0.02	0.10%	0.10%	469.45	29.90	29.38%	29.38%
F04	Equity Shares (PSUs & Unlisted)	OEPU	27.75	-	0.00%	0.00%	27.75	-	0.00%	0.00%	-	-	0.00%	0.00%
F06	Debentures	QLDB	-	-			-	-			1,694.81	36.51	8.71%	8.71%
F09	Preference Shares	OPSH	5.06	-	0.00%	0.00%	5.06	-	0.00%	0.00%	5.06	-	0.00%	0.00%
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-			-	-			4,608.09	55.05	9.67%	9.67%
F16	Securitized Assets	OPSA	-	-			-	-			-	0.56	0.00%	0.00%
			372,724.78	7,189.49	7.88%	7.88%	372,725	7,189	7.88%	7.88%	256,685	5,048	8.07%	8.07%

Note :  
 1 All yields are on annualised basis  
 2 Previous year figures are for period ending 30th June 2009

CERTIFICATION  
 Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: \_\_\_\_\_  
 Full Name: Rajesh Sud / Prashant Sharma  
 Designation: Chief Executive Officer/ Chief of Investments

Note: Category of Investments shall be as per Guidelines  
 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.  
 2 Yield netted for Tax.  
 3 FORM-1 shall be prepared in respect of each fund.

PERIODIC DISCLOSURES

FORM L - 34 YEILD ON INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Name of the Fund: Annuity Fund



RS. LACS

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year			
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%) *	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
A	GOVERNMENT SECURITIES													
A01	Central Government Bonds	CGSB	4,186	78	7.59%	7.59%	4,186	78	7.59%	7.59%	3,416	66	7.86%	7.86%
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	14	0	9.31%	9.31%	14	0	9.31%	9.31%	14	0	9.51%	9.51%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	487	10	8.56%	8.56%	487	10	8.56%	8.56%	381	8	8.67%	8.67%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	479	11	9.21%	9.21%	479	11	9.21%	9.21%	469	11	9.27%	9.27%
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	331	7	8.84%	8.84%	331	7	8.84%	8.84%	276	6	9.27%	9.27%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
E05	Corporate Securities - Bonds - (Taxable)	EPBT	935	10	6.80%	6.80%	935	10	6.80%	6.80%	238	5	8.42%	8.42%
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3	-	0.00%	0.00%	3	-	0.00%	0.00%	20	-	0.00%	0.00%
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	700	-	0.00%	0.00%	700	-	0.00%	0.00%	-	-	0.00%	0.00%
F	OTHER INVESTMENTS													
			7,135	117	7.21%	7.21%	7,135	117	7.21%	7.21%	4,814	96	8.02%	8.02%

Note :

- 1 All yields are on annualised basis
- 2 Previous year figures are for period ending 30th June 2009

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

**DATE:**

**Note:** Category of Investments shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments.
- 2 Yield netted for Tax.
- 3 FORM-1 shall be prepared in respect of each fund.

Signature: \_\_\_\_\_

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year			
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%) *	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
<b>A</b>														
<b>GOVERNMENT SECURITIES</b>														
A01	Central Government Bonds	CGSB	53,465	535	5.07%	5.07%	53,465	535	5.07%	5.07%	24,149	2,030	23.19%	23.19%
A02	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	0.00%	0.00%
A04	Treasury Bills	CTRB	1,590	13	4.17%	4.17%	1,590	13	4.17%	4.17%	1,705	27	6.92%	6.92%
<b>B</b>														
<b>GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES</b>														
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	9	-	-	-	9	-	-	-	-	0.00%	0.00%
<b>C</b>														
<b>HOUSING SECTOR INVESTMENTS</b>														
C06	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	1,485	54	7.72%	7.72%	1,485	54	7.72%	7.72%	-	-	0.00%	0.00%
<b>D</b>														
<b>INFRASTRUCTURE INVESTMENTS</b>														
<b>TAXABLE BONDS</b>														
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	4,814	402	51.23%	51.23%	4,814	402	51.23%	51.23%	2,543	1,516	822.75%	822.75%
D03	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	39,315	4,952	48.35%	48.35%	39,315	4,952	48.35%	48.35%	55,618	14,204	274.39%	274.39%
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	46,573	973	8.44%	8.44%	46,573	973	8.44%	8.44%	38,955	2,349	51.34%	51.34%
D08	Infrastructure - PSU - CPs	IPCP	2,441	34	5.58%	5.58%	2,441	34	5.58%	5.58%	-	-	0.00%	0.00%
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	24,755	415	6.90%	6.90%	24,755	415	6.90%	6.90%	25,438	701	10.25%	10.25%
<b>E</b>														
<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>														
E01	PSU - Equity shares - Quoted	EAEQ	52,494	5,327	46.16%	46.16%	52,494	5,327	46.16%	46.16%	-	-	0.00%	0.00%
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	266,755	9,557	14.70%	14.70%	266,755	9,557	14.70%	14.70%	184,819	60,392	204.81%	204.81%
E05	Corporate Securities - Bonds - (Taxable)	EPBT	35,895	817	9.42%	9.42%	35,895	817	9.42%	9.42%	29,205	678	7.87%	7.87%
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	5,648	107	7.75%	7.75%	5,648	107	7.75%	7.75%	5,500	142	10.46%	10.46%
E17	Deposits - CDs with Scheduled Banks	EDCD	68,644	1,117	5.95%	5.95%	68,644	1,117	5.95%	5.95%	26,006	554	10.65%	10.65%
E18	Deposits - Repo / Reverse Repo	ECMR	-	2	-	-	-	2	-	-	-	-	0.00%	0.00%
E21	Commercial Papers	ECCP	7,288	117	6.47%	6.47%	7,288	117	6.47%	6.47%	955	37	10.41%	10.41%
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	882	30	13.59%	13.59%	882	30	13.59%	13.59%	-	-	0.00%	0.00%
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	22,332	215	4.67%	4.67%	22,332	215	4.67%	4.67%	-	-	0.00%	0.00%
E30	Net Current Assets (Only in respect of ULIP Business)	ENCA	15,083	-	0.00%	0.00%	15,083	-	0.00%	0.00%	10,126	-	0.00%	0.00%
<b>F</b>														
<b>OTHER INVESTMENTS</b>														
F03	Equity Shares (incl Co-op Societies)	OESH	61,810	(1,436)	-9.36%	-9.36%	61,810	(1,436)	-9.36%	-9.36%	36,895	11,707	172.43%	172.43%
F04	Equity Shares (PSUs & Unlisted)	OEPU	4,835	(259)	-18.75%	-18.75%	4,835	(259)	-18.75%	-18.75%	-	-	0.00%	0.00%
F09	Preference Shares	OPSH	73	7	38.67%	38.67%	73	7	38.67%	38.67%	-	-	0.00%	0.00%
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	4,853	130	12.45%	12.45%
F16	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	-	3	0.00%	0.00%
			716,179	22,990	13.36%	13.36%	716,179	22,990	13.36%	13.36%	446,768	94,471	115.25%	115.25%

Note :

- All yields are on annualised basis
- Previous year figures are for period ending 30th June 2009

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

**DATE:**

Note: Category of Investments shall be as per Guidelines

- To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
- Yield netted for Tax.
- FORM-1 shall be prepared in respect of each fund.

Signature: \_\_\_\_\_

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

Rs. In Lacs

No.	Particulars Of Investment	Cat Code	Amount (As Per Balance Sheet)	Date Of Purchase	Rating Agency	Original Grade	Current Grade	Date Of Downgrade	Remarks
<b>A</b>	<b><u>DURING THE QUARTER</u></b>								
			NIL						
<b>B</b>	<b><u>AS ON DATE</u></b>								
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>								
	<b><u>TAXABLE BONDS</u></b>								
D07	7.7%NHPC31Mar14	IPTD	489	31-Mar-06	CRISIL	AAA	AA+	25-May-07	
D09	6%TATAPOW18Oct15	ICTD	1,124	4-Feb-05	CRISIL	AAA	AA	9-Jul-07	
D09	6.7%RELINFRA19Aug18	ICTD	198	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
D09	5.6%RELINFRA28Jul13	ICTD	942	28-Oct-05	CRISIL	AAA	AA+	7-May-09	
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>								
E05	7.15%IOC10Jun12	EPBT	327	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

**CERTIFICATION**

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

**DATE:**

**NOTE:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment ( COI) shall be as per INV/GLN/001/2003-04

**PERIODIC DISCLOSURES**

**FORM L - 35 DOWNGRADING OF INVESTMENT**

**Name Of The Fund: Annuity Fund**

**NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED**

**REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

*Rs. In Lacs*

No.	Particulars Of Investment	Cat Code	Amount (As Per Balance Sheet)	Date Of Purchase	Rating Agency	Original Grade	Current Grade	Date Of Downgrade	Remarks
<b>A</b>	<b><u>DURING THE QUARTER</u></b>								
			NIL						
<b>B</b>	<b><u>AS ON DATE</u></b>								
<b>D</b>	<b>INFRASTRUCTURE INVESTMENTS</b>								
	<i>TAXABLE BONDS</i>								
D09	6.7%RELINFRA19Aug18	ICTD	3	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>								
E05	7.15%IOC10Jun12	EPBT	168	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

**CERTIFICATION**

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

**DATE:**

**NOTE:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment ( COI) shall be as per INV/GLN/001/2003-04

**PERIODIC DISCLOSURES****FORM L - 35 DOWNGRADING OF INVESTMENT**

Name Of The Fund: Unit Linked Fund

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

*Rs. In Lacs*

No.	Particulars Of Investment	Cat Code	Amount (As Per Balance Sheet)	Date Of Purchase	Rating Agency	Original Grade	Current Grade	Date Of Downgrade	Remarks
<b>A</b>	<b><u>DURING THE QUARTER</u></b>								
			NIL						
<b>B</b>	<b><u>AS ON DATE</u></b>								
<b>E</b>	<b>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS</b>								
E05	11.90% PIDILITE BOND 5 DEC 2013	EPBT	780	5-Dec-08	CRISIL	AAA	AA+	31-Dec-08	

**CERTIFICATION**

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature:

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

**DATE:****NOTE:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment ( COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES

FORM L-36 :PREMIUM AND NUMBER OF LIVES COVERED BY POLICY TYPE  
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED  
 REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S. No.	Particulars	For The Qtr Ending 30th June 10				For The Qtr Ending 30th June 09				Upto The Qtr Ending 30th June 10			Upto The Qtr Ending 30th June 09					
		Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium (Rs Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	Premium	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (Rs Cr)	
<b>1</b>	<b>First year Premium</b>																	
	<b>i Individual Single Premium- (ISP)</b>																	
	From 0-10000	5.03	23.00	22.00	1.91	1.50	54.00	54.00	11.43	5.03	23.00	22.00	1.91	1.50	54.00	54.00	11.43	
	From 10,001-25,000	3.30	-	-	1.26	0.95	-	-	7.26	3.30	-	-	1.26	0.95	-	-	7.26	
	From 25001-50,000	3.05	3.00	3.00	1.16	25.40	2,074.00	1,994.00	193.28	3.05	3.00	3.00	1.16	25.40	2,074.00	1,994.00	193.28	
	From 50,001-75,000	1.28	1.00	1.00	0.49	1.44	73.00	69.00	10.97	1.28	1.00	1.00	0.49	1.44	73.00	69.00	10.97	
	From 75,000-100,000	1.39	1.00	1.00	0.53	4.65	185.00	185.00	35.38	1.39	1.00	1.00	0.53	4.65	185.00	185.00	35.38	
	From 1,00,001-1,25,000	0.12	-	-	0.05	0.14	4.00	4.00	1.07	0.12	-	-	0.05	0.14	4.00	4.00	1.07	
	Above Rs. 1,25,000	34.73	140.00	140.00	13.22	16.48	320.00	306.00	125.41	34.73	140.00	140.00	13.22	16.48	320.00	306.00	125.41	
	<b>ii Individual Single Premium (ISPA)- Annuity</b>																	
	From 0-50000	0.02	2.00	2.00	0.62	0.00	-	-	0.00	0.02	2.00	2.00	0.62	0.00	-	-	0.00	
	From 50,001-100,000	2.65	266.00	247.00	98.68	-	-	-	-	2.65	266.00	247.00	98.68	-	-	-	-	
	From 1,00,001-150,000	0.61	43.00	40.00	22.63	0.36	24.00	20.00	0.20	0.61	43.00	40.00	22.63	0.36	24.00	20.00	0.20	
	From 150,001-2,00,000	0.42	22.00	20.00	15.81	0.14	6.00	6.00	0.08	0.42	22.00	20.00	15.81	0.14	6.00	6.00	0.08	
	From 2,00,001-250,000	0.10	4.00	4.00	3.77	0.02	1.00	1.00	0.01	0.10	4.00	4.00	3.77	0.02	1.00	1.00	0.01	
	From 2,50,001-3,00,000	0.09	3.00	3.00	3.35	0.06	2.00	2.00	0.03	0.09	3.00	3.00	3.35	0.06	2.00	2.00	0.03	
	Above Rs. 3,00,000	0.87	18.00	17.00	32.57	0.42	6.00	6.00	0.23	0.87	18.00	17.00	32.57	0.42	6.00	6.00	0.23	
	<b>iii Group Single Premium (GSP)</b>																	
	From 0-10000																	
	From 10,000-25,000																	
	From 25001-50,000																	
	From 50,001-75,000																	
	From 75,000-100,000																	
	From 1,00,001-1,25,000																	
	Above Rs. 1,25,000																	
	<b>iv Group Single Premium- Annuity- GSPA</b>																	
	From 0-50000																	
	From 50,001-100,000																	
	From 1,00,001-150,000																	
	From 150,001-2,00,000																	
	From 2,00,001-250,000																	
	From 2,50,001-3,00,000																	
	Above Rs. 3,00,000																	
	<b>v Individual non Single Premium- INSP</b>																	
	From 0-10000	35.31	49,928.00	49,502.00	582.22	71.09	133,154.00	131,759.00	1,125.50	35.31	49,928.00	49,502.00	582.22	71.09	133,154.00	131,759.00	1,125.50	
	From 10,000-25,000	165.58	90,362.00	90,362.00	2,394.77	141.86	79,463.00	79,089.00	2,438.74	165.58	90,362.00	90,362.00	2,394.77	141.86	79,463.00	79,089.00	2,438.74	
	From 25001-50,000	70.96	15,528.00	15,282.00	1,031.08	91.03	24,495.00	24,124.00	1,441.33	70.96	15,528.00	15,282.00	1,031.08	91.03	24,495.00	24,124.00	1,441.33	
	From 50,001-75,000	11.12	1,334.00	1,334.00	161.58	16.72	3,842.00	3,842.00	264.73	11.12	1,334.00	1,334.00	161.58	16.72	3,842.00	3,842.00	264.73	
	From 75,000-100,000	21.68	2,004.00	2,004.00	314.96	19.36	2,061.00	2,061.00	306.53	21.68	2,004.00	2,004.00	314.96	19.36	2,061.00	2,061.00	306.53	
	From 1,00,001-1,25,000	2.77	150.00	149.00	40.19	3.55	275.00	275.00	56.15	2.77	150.00	149.00	40.19	3.55	275.00	275.00	56.15	
	Above Rs. 1,25,000	20.17	519.00	519.00	293.08	20.69	567.00	567.00	327.58	20.17	519.00	519.00	293.08	20.69	567.00	567.00	327.58	
	<b>vi Individual non Single Premium- Annuity- INSPA</b>																	
	From 0-50000	58.15	41,636.00	40,447.00	82.43	16.50	11,261.00	11,261.00	17.80	58.15	41,636.00	40,447.00	82.43	16.50	11,261.00	11,261.00	17.80	
	From 50,001-100,000	11.14	1,730.00	1,673.00	15.79	1.14	171.00	171.00	1.23	11.14	1,730.00	1,673.00	15.79	1.14	171.00	171.00	1.23	
	From 1,00,001-150,000	1.35	151.00	149.00	1.92	0.24	22.00	21.00	0.26	1.35	151.00	149.00	1.92	0.24	22.00	21.00	0.26	
	From 150,001-2,00,000	2.99	141.00	134.00	4.24	0.35	19.00	18.00	0.38	2.99	141.00	134.00	4.24	0.35	19.00	18.00	0.38	
	From 2,00,001-250,000	0.74	34.00	32.00	1.06	0.11	4.00	4.00	0.12	0.74	34.00	32.00	1.06	0.11	4.00	4.00	0.12	
	From 2,50,001-3,00,000	0.93	45.00	42.00	1.32	0.11	6.00	6.00	0.12	0.93	45.00	42.00	1.32	0.11	6.00	6.00	0.12	
	Above Rs. 3,00,000	3.94	71.00	71.00	5.59	0.48	12.00	12.00	0.51	3.94	71.00	71.00	5.59	0.48	12.00	12.00	0.51	



PERIODIC DISCLOSURES

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



In Rs Crs

S.No.	Channels	For The Qtr Ending 30th June 10			For The Qtr Ending 30th June 09			Upto The Qtr Ending 30th June 10			Upto The Qtr Ending 30th June 09		
		No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (Rs Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (Rs Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (Rs Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (Rs Cr)
1	Individual agents				27	11,662	0				27	11,662	0
2	Corporate Agents-Banks	-	-	-	-	-	-	-	-	-	-	-	-
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	229	415,700	2	109	43,359	1	229	415,700	2	109	43,359	1
5	Micro Agents												
6	Direct Business	228	3,573,969	29	115	347,559	3	228	3,573,969	29	115	347,559	3
	<b>Total(A)</b>	457	3,989,669	30	251	402,580	4	457	3,989,669	30	251	402,580	4
1	Referral (B)	-	-	-	-	-	-	-	-	-	-	-	-
	<b>Grand Total (A+B)</b>	457	3,989,669	30	251	402,580	4	457	3,989,669	30	251	402,580	4

Note:

1. Premium means amount of premium received from business acquired by the source.
2. No of Policies stand for no. of policies sold.

PERIODIC DISCLOSURES

FORM L - 38 BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S. No.	Channels	For The Qtr Ending 30th June 10		For The Qtr Ending 30th June 09		Upto The Qtr Ending 30th June 10		Upto The Qtr Ending 30th June 09	
		No. of Policies	Premium (Rs Cr)	No. of Policies	Premium (Rs Cr)	No. of Policies	Premium (Rs Cr)	No. of Policies	Premium (Rs Cr)
1	Individual agents	121,774	282.00	152,088	329.36	121,774	282.00	152,088	329.36
2	Corporate Agents-Banks	5,808	19.25	5,276	12.28	5,808	19.25	5,276	12.28
3	Corporate Agents -Others	66,914	106.41	85,803	69.26	66,914	106.41	85,803	69.26
4	Brokers	4,128	6.08	4,666	4.02	4,128	6.08	4,666	4.02
5	Micro Agents								
6	Direct Business	3,990	12.29	7,724	18.21	3,990	12.29	7,724	18.21
	<b>Total (A)</b>	202,614	426.03	255,557	433.13	202,614	426.03	255,557	433.13
1	Referral (B)	1,545	2.69	2,544	3.45	1,545	2.69	2,544	3.45
	<b>Grand Total (A+B)</b>	204,159	428.72	258,101	436.57	204,159	428.72	258,101	436.57

Note:

1. Premium means amount of premium received from business acquired by the source.
2. No of Policies stand for no. of policies sold.

PERIODIC DISCLOSURES

FORM L-39-DATA ON SETTLEMENT OF CLAIMS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Ageing of Claims* - Individual									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid (Rs Cr)	Total amount of claims paid (Rs Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims		15					15	0.13
2	Survival Benefit		5,654					5,654	8.03
3	Annuities / Pension		-					-	-
4	Death Claims		1,636					1,636	34.25
5	For Surrender		107,384					107,384	167.06
6	Other benefits		62					62	1.35

Ageing of Claims* - Group									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid (Rs Cr)	Total amount of claims paid (Rs Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims							-	-
2	Survival Benefit							-	-
3	Annuities / Pension							-	-
4	Death Claims		8,069					8,069	13.53
5	For Surrender		-					-	-
6	Other benefits		7					7	0.17

The figures for individual and group insurance business need to be shown separately.

\*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

PERIODIC DISCLOSURES

FORM L-39-DATA ON SETTLEMENT OF CLAIMS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Ageing of Claims* - Individual									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid (Rs Cr)	Total amount of claims paid (Rs Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims		8					8	0.14
2	Survival Benefit		693					693	1.12
3	Annuities / Pension		-					-	-
4	Death Claims		819					819	14.28
5	For Surrender		64,640					64,640	33.20
6	Other benefits		39					39	0.90

Ageing of Claims* - Group									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid (Rs Cr)	Total amount of claims paid (Rs Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims							-	-
2	Survival Benefit							-	-
3	Annuities / Pension							-	-
4	Death Claims		122					122	1.66
5	For Surrender		-					-	-
6	Other benefits		17					17	0.17

The figures for individual and group insurance business need to be shown separately.

\*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

PERIODIC DISCLOSURES  
 FORM L-40-QUARTERLY CLAIMS DATA FOR LIFE  
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED  
 REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



**INDIVIDUAL DEATH CLAIMS: No. of Claims only**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	1,330	-	21	-	-	23
2	Claims reported during the period*	1,677	15	5,721	-	107,384	67
3	Claims Settled during the period	1,637	15	5,654	-	107,384	63
4	Claims Repudiated during the period	507	-	72	-	-	8
a	Less than 2years from the date of acceptance of risk	442	-	-	-	-	5
b	Grater than 2 year from the date of acceptance of risk	65	-	-	-	-	3
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	863	-	16	-	-	19
	Less than 3months	831	-	16	-	-	17
	3 months to 6 months	29	-	-	-	-	2
	6months to 1 year	3	-	-	-	-	-
	1year and above	-	-	-	-	-	-

**GROUP DEATH CLAIMS: No. of Claims only**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	647	-	-	-	-	5
2	Claims reported during the period*	8,840	-	-	-	-	4
3	Claims Settled during the period	8,069	-	-	-	-	7
4	Claims Repudiated during the period	337	-	-	-	-	-
a	Less than 2years from the date of acceptance of risk	332	-	-	-	-	-
b	Grater than 2 year from the date of acceptance of risk	5	-	-	-	-	-
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,081	-	-	-	-	2
	Less than 3months	1,016	-	-	-	-	-
	3 months to 6 months	63	-	-	-	-	-
	6months to 1 year	2	-	-	-	-	-
	1year and above	-	-	-	-	-	-

**TOTAL DEATH CLAIMS:**

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	1,977	-	21	-	-	28
2	Claims reported during the period*	10,517	15	5,721	-	107,384	71
3	Claims Settled during the period	9,706	15	5,654	-	107,384	70
4	Claims Repudiated during the period	844	-	72	-	-	8
a	Less than 2years from the date of acceptance of risk	774	-	-	-	-	5
b	Grater than 2 year from the date of acceptance of risk	70	-	-	-	-	3
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,944	-	16	-	-	21
	Less than 3months	1,847	-	16	-	-	17
	3 months to 6 months	92	-	-	-	-	2
	6months to 1 year	5	-	-	-	-	-
	1year and above	-	-	-	-	-	-

\*in case of death- the claims for which all the documentations have been completed needs to be shown here.

PERIODIC DISCLOSURES

FORM L-40-QUARTERLY CLAIMS DATA FOR LIFE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



INDIVIDUAL DEATH CLAIMS: No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	87	-	2	-	-	3
2	Claims reported during the period*	1,236	8	739	-	64,640	45
3	Claims Settled during the period	819	8	693	-	64,640	39
4	Claims Repudiated during the period	115	-	43	-	-	6
a	Less than 2years from the date of acceptance of risk	109	-	37	-	-	6
b	Grater than 2 year from the date of acceptance of risk	6	-	6	-	-	-
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	389	-	5	-	-	3
	Less than 3months	389	-	5	-	-	3
	3 months to 6 months	-	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	-	-	-	-	-	-

GROUP DEATH CLAIMS:No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	10	-	-	-	-	1
2	Claims reported during the period*	137	-	-	-	-	16
3	Claims Settled during the period	122	-	-	-	-	17
4	Claims Repudiated during the period	11	-	-	-	-	-
a	Less than 2years from the date of acceptance of risk	8	-	-	-	-	-
b	Grater than 2 year from the date of acceptance of risk	3	-	-	-	-	-
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	14	-	-	-	-	-
	Less than 3months	14	-	-	-	-	-
	3 months to 6 months	-	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	-	-	-	-	-	-

TOTAL DEATH CLAIMS:

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	97	-	2	-	-	4
2	Claims reported during the period*	1,373	8	739	-	64,640	61
3	Claims Settled during the period	941	8	693	-	64,640	56
4	Claims Repudiated during the period	126	-	43	-	-	6
a	Less than 2years from the date of acceptance of risk	117	-	37	-	-	6
b	Grater than 2 year from the date of acceptance of risk	9	-	6	-	-	-
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	403	-	5	-	-	3
	Less than 3months	403	-	5	-	-	3
	3 months to 6 months	-	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	-	-	-	-	-	-

\*in case of death- the claims for which all the documentations have been completed needs to be shown here.

PERIODIC DISCLOSURES

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
<b>1</b>	<b>Complaints made by customers</b>						
	a) Sales Related						
	b) New Busines Related						
	c) Policy Servcing related						
	d) Claim Servicing related						
	e) Others						
	<b>Total Number</b>	<b>792</b>	<b>16,708</b>	<b>12,757</b>			<b>4,743</b>

<b>2</b>	<b>Duration wise Pending Status</b>	<b>Complaints made by customers</b>	<b>Complaints made by intermediaries</b>	<b>Total</b>
	a) Less than 15 days	2,912	638	3,550
	b) Greater than 15 days	980	213	1,193
	<b>Total Number</b>	<b>3,892</b>	<b>851</b>	<b>4,743</b>

\* Opening balance should tally with the closing balance of the previous financial year.

PERIODIC DISCLOSURES

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
<b>1</b>	<b>Complaints made by customers</b>						
a)	Sales Related	188	1,176	1,271			93
b)	New Busines Related	661	3,795	3,801			655
c)	Policy Servcing related	2,552	1,534	2,814			1,272
d)	Claim Servicing related	-	2	2			-
e)	Others	8	94	83			19
	<b>Total Number</b>	<b>3,409</b>	<b>6,601</b>	<b>7,971</b>			<b>2,039</b>

<b>2</b>	<b>Duration wise Pending Status</b>	<b>Complaints made by customers</b>	<b>Complaints made by intermediaries</b>	<b>Total</b>
a)	Less than 15 days	1,370	564	1,934
b)	Greater than 15 days	73	32	105
	<b>Total Number</b>	<b>1,443</b>	<b>596</b>	<b>2,039</b>

\* Opening balance should tally with the closing balance of the previous financial year.

Policy data is downloaded in text files from policy administration system through an automated query post completion of new business processing for the year. Various checks are applied on the data before using it for policy liability calculations.

An actuarial software is used for valuation of policyholders' liabilities and the assumption tables of the software are updated to reflect current valuation basis.

1) Interest Rates	Minimum Interest Rate	Maximum Interest Rate
<b>Individual Business</b>		
Life- Participating policies	6.95%	6.95%
Life- Non-participating Policies	5.95% - 6.70%	5.95% - 6.70%
Annuities- Participating policies	6.95%	6.95%
Annuities – Non-participating policies	NA	NA
Annuities- Individual Pension Plan	NA	NA
Unit Linked	5.95%	5.95%
Health Insurance	5.95%	5.95%
<b>Group Business</b>		
Non-Par Single Premium	5.95%	5.95%

2) Mortality Rates	
<b>Individual Business</b>	
Life- Participating policies	90% to 180% of IALM(94-96) in Yr1 to 70%-160% of IALM(94-96) thereafter
Life- Non-participating Policies	120% of IALM in Yr 1&2 to 100% thereafter
Annuities- Participating policies	70% of IALM
Annuities – Non-participating policies	NA
Annuities- Individual Pension Plan	NA
Unit Linked	80% to 150% of IALM(94-96) in Yr1 to 70%-110% of IALM(94-96) thereafter
Health Insurance	125% of IALM
<b>Group Business</b>	
Non-Par Single Premium	100%-200% of IALM(94-96)

3) Expenses	Per Policy	Premium Related	Reserve Related Expenses
<b>Individual Business</b>			
Life- Participating policies	Rs. 363	3.63%	0.10%
Life- Non-participating Policies	Rs. 363	3.63%	0.10%
Annuities- Participating policies	Rs. 363	3.63%	0.10%
Annuities – Non-participating policies	NA	NA	
Annuities- Individual Pension Plan	NA	NA	
Unit Linked	Rs. 440	1.87%	0.40%
Health Insurance	Rs.478.5-Rs 858	3.63%	
<b>Group Business</b>			
Non-Par Single Premium	Rs. 121	0.00%	

4) Bonus Rates

The Company's bonus system is such that the rates for each product are a function of entry age and policy duration. Specimen valuation bonus rates (per thousand sum assured) for Whole Life Par policies used in March 2010 valuations are shown in table below

Whole of Life- Era 1(Policy issue dates Dec 2000 to Jan 2002)								
Policy Year	Age at entry							
	0	10	20	30	40	50	60	70
3	0.4654	0.8991	1.8140	3.4906	7.3143	10.8790	16.3105	20.1924
5	0.5871	1.1424	2.2953	4.7281	9.2923	15.8028	21.0281	26.0312
10	0.7246	1.4068	2.8242	5.8811	11.8521	20.1819	26.6870	33.0441
20	0.9890	1.9304	3.8767	8.1870	16.9610	28.9453	38.0261	47.0857
30	1.1477	2.2319	4.4954	9.4616	19.5684	33.2451	44.2668	54.8020
40	1.3010	2.5386	5.1036	10.7256	22.1810	37.5395	50.4917	-

Whole of Life- Era 2 (Policy issue dates Feb 2002 to July 2002)								
Policy Year	Age at entry							
	0	10	20	30	40	50	60	70
3	0.8205	1.047	2.0145	3.6493	6.4352	8.8416	14.2175	18.6873
5	0.8878	1.3042	2.5349	4.9474	8.1742	12.846	18.3138	24.0816
10	1.2981	2.3635	4.0595	7.5129	12.7174	20.0221	28.2024	36.9949
20	2.3941	4.3657	7.5741	14.2298	24.7613	39.0645	54.2923	71.0202
30	3.4901	6.3434	11.0887	20.7875	36.1438	56.7599	79.6536	103.9556
40	3.9616	7.2312	12.6317	23.5612	40.9626	64.0952	87.1052	103.9556

Whole of Life- Era 3 (Policy issue dates August 2002 to January 2006)								
Policy	Age at entry							
Year	0	10	20	30	40	50	60	70
3	1.0514	1.3417	2.5813	4.6762	8.2461	11.3296	18.2184	23.9459
5	1.1377	1.6712	3.2482	6.3396	10.4744	16.4609	23.4673	30.8583
10	1.6634	3.0286	5.2019	9.627	16.2961	25.6564	36.1386	47.4054
20	2.699	4.9194	8.5443	16.045	27.9239	44.0474	61.2222	80.084
30	3.9387	7.1555	12.5065	23.4438	40.7599	64.0075	89.8208	117.2269
40	4.4644	8.152	14.2405	26.5665	46.1893	72.2772	98.2239	117.2269

**5) Policyholders Reasonable Expectations**

Policyholders' Reasonable Expectations were considered for valuations:

i) Future bonuses have been allowed for within mathematical reserves. This has been done by projecting the bonuses likely to be paid in accordance with the company's bonus philosophy and the projected levels of experience forming the valuation basis

ii) Although the Company's participation system is nominally based on cash 'dividends', the preferred dividend option is for dividends to be taken as reversionary additions to sums insured. An election to use cash dividends in this way is incorporated into

iii) Benefit illustrations have been part of the Company's sales process since it was founded. These illustrations have always been well qualified including statements along the lines 'This is only an illustrative document.....does not convey any

iv) Prior to implementation of 'dual rate' illustrations conforming with the Circular issued by the life Insurance Council in 2004, single rate illustrations were used with the level of bonuses illustrated conforming broadly with the prevailing projected

v) Having considered the issues carefully and discussed with senior members of the Company's management familiar with the historic sales processes, the Appointed Actuary concluded that a reasonable expectation would be for the shapes attributable to the v

vi) Thus within the various participating products, the allowance for future bonuses depends upon the illustration 'era' to which the individual policy concerned. Thus the allowance is effectively the outworking of the single rate illustration used at p

vii) In addition above, the Company has established a practice whereby bonuses are paid even though there is no distributable surplus in the conventional sense. Shareholders have felt that the early generations of policyholders should receive a bonus a

viii) The reserves have been set at least equal to the guaranteed surrender value or the currently illustrated surrender value whichever is higher. In allowing for future lapses and surrenders, the illustrated surrender scales have been assumed to continu

**6) Taxation and Shareholder Transfers**

Shareholders' transfers linked to bonuses and the potential liability to tax on the surplus distributed have been allowed for by grossing-up the bonus rates used in the valuation for the shareholders' transfer rate and tax rate. The Appointed Actuary took

**7) Basis of provisions for Incurred But Not Reported (IBNR)**

An exercise was done to find out the delay in reporting the claims. Based on this study an appropriate provision was made for IBNR Claims in the annual accounts as of 30th June 2010.

Change in Valuation Methods or Bases (Compared to 2009-10)	
<b>Individual Assurance</b>	
a) Interest	Revised rates in Mar'2010
b) Expense	No Change
c) Inflation	Revised rates in Mar'2010
<b>Annuities</b>	
a) Interest	Revised rates in Mar'2010
b) Expense	No Change
c) Inflation	Revised rates in Mar'2010
<b>Unit Linked</b>	
a) Interest	Revised rates in Mar'2010
b) Expense	No Change
c) Inflation	Revised rates in Mar'2010
<b>Health</b>	
a) Interest	Revised rates in Mar'2010
b) Expense	No Change
c) Inflation	Revised rates in Mar'2010
<b>Group</b>	
a) Interest	Revised rates in Mar'2010
b) Expense	No Change
c) Inflation	Revised rates in Mar'2010