

PERIODIC DISCLOSURES

FORM L-24 VALUATION OF NET LIABILITIES

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



Valuation of net liabilities		(In Rs '000)	
Sl.No.	Particular	As at 31 March 2011	As at 31 March 2010
1	Linked		
a	Life	8,225,053	61,500,369
b	General Annuity	-	-
c	Pension	1,427,923	4,279,032
d	Health	-	-
2	Non-Linked	-	-
a	Life	33,594,346	25,513,453
b	General Annuity	-	-
c	Pension	429,567	408,059
d	Health	11,395	9,936

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Quarter Ended Mar 2011



Sl.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	5,699	5,658	7.52	129.90	10,200	9,785	29.70	402.66	15,899	15,443	37.22	532.56
2	Arunachal Pradesh	10	10	0.04	0.37	42	40	0.28	1.97	52	50	0.32	2.34
3	Assam	1,532	1,521	1.93	18.49	1,592	1,527	5.46	39.74	3,124	3,048	7.39	58.22
4	Bihar	4,745	4,711	4.79	46.29	2,296	2,203	5.08	55.43	7,041	6,914	9.87	101.73
5	Chattisgarh	774	768	1.00	17.85	1,886	1,809	5.33	53.20	2,660	2,577	6.33	71.04
6	Goa	167	166	0.42	4.57	1,575	1,511	4.24	46.37	1,742	1,677	4.65	50.94
7	Gujarat	4,405	4,373	7.72	112.71	9,915	9,512	35.84	353.88	14,320	13,885	43.56	466.60
8	Haryana	2,393	2,376	7.37	63.78	4,749	4,556	11.70	142.89	7,142	6,931	19.07	206.67
9	Himachal Pradesh	648	643	1.74	13.59	391	375	0.07	10.28	1,039	1,018	1.81	23.87
10	Jammu & Kashmir	159	158	0.40	3.45	956	917	2.20	26.91	1,115	1,075	2.60	30.36
11	Jharkhand	1,042	1,035	1.58	19.31	1,626	1,560	4.27	41.26	2,668	2,594	5.85	60.56
12	Karnataka	1,668	1,656	2.06	33.07	5,794	5,558	26.65	220.03	7,462	7,214	28.71	253.09
13	Kerala	2,534	2,516	7.85	33.32	2,996	2,874	8.29	83.30	5,530	5,390	16.14	116.61
14	Madhya Pradesh	1,177	1,169	1.68	34.01	3,547	3,403	9.59	123.08	4,724	4,572	11.27	157.09
15	Maharashtra	5,499	5,460	10.87	145.71	20,814	19,968	73.37	1,008.13	26,313	25,428	84.24	1,153.84
16	Manipur	800	794	1.22	25.49	131	126	0.75	(9.29)	931	920	1.97	16.20
17	Meghalaya	36	36	0.13	1.24	73	70	0.49	3.08	109	106	0.62	4.32
18	Mizoram	-	-	-	-	42	40	0.25	2.06	42	40	0.25	2.06
19	Nagaland	36	36	0.05	0.66	131	126	1.48	10.78	167	161	1.53	11.44
20	Orissa	4,008	3,979	5.60	57.61	1,706	1,637	7.33	64.27	5,714	5,616	12.93	121.88
21	Punjab	1,828	1,815	10.34	45.93	4,672	4,482	10.58	135.53	6,500	6,297	20.92	181.46
22	Rajasthan	2,793	2,773	3.93	65.97	7,328	7,030	15.98	208.64	10,121	9,803	19.91	274.60
23	Sikkim	30	30	0.08	0.77	91	87	0.56	3.81	121	117	0.64	4.58
24	Tamil Nadu	3,557	3,531	5.50	70.66	12,833	12,311	43.58	380.67	16,390	15,843	49.08	451.33
25	Tripura	510	506	0.86	5.97	225	216	0.40	2.29	735	723	1.26	8.26
26	Uttar Pradesh	5,888	5,846	8.93	133.54	14,630	14,035	30.37	280.23	20,518	19,881	39.30	413.77
27	Uttarakhand	854	848	2.23	23.89	2,731	2,620	7.00	68.58	3,585	3,468	9.23	92.47
28	West Bengal	14,745	14,639	21.03	154.00	6,178	5,926	31.32	269.05	20,923	20,566	52.35	423.05
29	Andaman & Nicobar Islands	50	50	0.08	0.61	(5)	(5)	0.21	1.64	45	45	0.28	2.26
30	Chandigarh	218	216	0.28	4.02	1,732	1,661	4.13	42.57	1,950	1,878	4.41	46.60
31	Dadra & Nagarhaveli	2	2	0.00	0.04	9	9	0.05	0.32	11	11	0.05	0.36
32	Daman & Diu	5	5	0.01	0.15	16	15	0.06	0.36	21	20	0.07	0.51
33	Delhi	693	688	0.86	17.61	13,017	12,488	47.16	479.07	13,710	13,176	48.02	496.68
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	21	21	0.04	1.01	258	248	0.67	12.46	279	268	0.70	13.47
	Company Total	68,526	68,034	118.13	1,285.59	134,176	128,720	424.45	4,565.24	202,702	196,754	542.58	5,850.82

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Period Ended Mar 2011



S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	19,336	19,036	25.33	485.92	45,414	44,330	106.80	1,666.94	64,750	63,366	132.13	2,152.86
2	Arunachal Pradesh	9	9	0.03	0.44	94	91	0.50	3.97	103	100	0.53	4.41
3	Assam	3,611	3,560	5.01	55.55	5,745	5,601	19.83	164.75	9,356	9,161	24.84	220.30
4	Bihar	9,024	8,909	10.41	120.95	8,281	8,074	18.36	203.78	17,305	16,983	28.78	324.73
5	Chattisgarh	2,170	2,138	3.60	52.18	6,129	5,971	15.28	158.99	8,299	8,109	18.88	211.18
6	Goa	460	453	1.00	13.41	6,168	6,017	18.20	178.84	6,628	6,470	19.20	192.25
7	Gujarat	16,587	16,324	25.86	436.53	50,379	49,207	145.98	1,619.63	66,966	65,531	171.83	2,056.15
8	Haryana	10,386	10,217	18.13	281.31	24,799	24,225	61.69	830.99	35,185	34,442	79.82	1,112.30
9	Himachal Pradesh	2,055	2,024	2.96	37.28	3,202	3,133	5.09	76.39	5,257	5,156	8.05	113.66
10	Jammu & Kashmir	622	612	1.09	16.39	4,043	3,946	8.98	121.37	4,665	4,558	10.07	137.77
11	Jharkhand	2,692	2,653	4.55	63.92	6,289	6,134	14.89	159.59	8,981	8,787	19.44	223.51
12	Karnataka	5,525	5,440	7.23	100.64	25,866	25,249	88.46	892.02	31,391	30,689	95.68	992.65
13	Kerala	7,156	7,050	15.02	115.81	16,539	16,160	42.29	393.60	23,695	23,210	57.31	509.42
14	Madhya Pradesh	4,101	4,037	5.94	113.26	14,064	13,720	31.59	445.30	18,165	17,757	37.53	558.56
15	Maharashtra	18,400	18,115	30.45	515.58	94,008	91,771	282.60	3,800.69	112,408	109,887	313.05	4,316.27
16	Manipur	1,046	1,036	1.74	31.36	2,848	2,791	6.14	83.02	3,894	3,827	7.88	114.38
17	Meghalaya	76	75	0.18	2.03	342	334	1.41	14.39	418	409	1.59	16.42
18	Mirzoram	-	-	-	-	76	74	0.38	4.40	76	74	0.38	4.40
19	Nagaland	56	55	0.18	2.51	385	375	4.46	31.54	441	430	4.65	34.05
20	Orissa	13,718	13,505	18.77	222.06	10,535	10,298	26.80	256.42	24,253	23,803	45.58	478.48
21	Punjab	12,962	12,737	30.02	349.06	21,841	21,325	58.44	707.31	34,803	34,062	88.45	1,056.37
22	Rajasthan	10,043	9,885	14.78	273.71	25,731	25,084	56.84	831.71	35,774	34,969	71.62	1,105.43
23	Sikkim	54	53	0.14	1.50	304	296	1.33	10.66	358	350	1.47	12.16
24	Tamil Nadu	11,480	11,304	17.29	250.83	48,240	47,046	135.43	1,453.42	59,720	58,350	152.72	1,704.26
25	Tripura	1,078	1,064	1.97	17.43	1,353	1,323	3.17	30.99	2,431	2,386	5.14	48.42
26	Uttar Pradesh	16,241	16,002	22.29	346.82	53,441	52,108	113.16	1,376.55	69,682	68,110	135.45	1,723.37
27	Uttrakhand	3,015	2,968	6.54	86.68	10,696	10,434	25.44	296.95	13,711	13,401	31.98	383.63
28	West Bengal	51,034	50,239	60.25	590.28	38,197	37,337	109.46	896.39	89,231	87,576	169.71	1,486.67
29	Andaman & Nicobar Islands	76	75	0.12	0.92	423	415	1.30	12.70	499	490	1.41	13.62
30	Chandigarh	1,255	1,234	1.95	29.66	6,010	5,858	14.80	170.90	7,265	7,092	16.76	200.56
31	Dadra & Nagarhaveli	2	2	0.01	0.06	25	24	0.11	1.11	27	26	0.12	1.17
32	Daman & Diu	13	13	0.02	0.27	43	42	0.12	0.85	56	55	0.14	1.12
33	Delhi	4,905	4,820	6.98	105.37	54,585	53,266	174.55	2,114.35	59,490	58,086	181.53	2,219.72
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	114	112	0.16	4.73	863	841	1.99	36.82	977	953	2.14	41.56
	Company Total	229,302	225,755	340.01	4,724.44	586,957	572,899	1,595.84	19,047.35	816,259	798,654	1,935.85	23,771.80

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Quarter Ended Mar 2010



S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	7,921	7,819	10.14	218.58	6,827	6,504	17.70	177.18	14,748	14,323	27.85	395.76
2	Arunachal Pradesh	62	61	0.11	-	(62)	(59)	(0.11)	-	-	2	-	-
3	Assam	(790)	(780)	(1.03)	31.66	3,651	3,478	6.29	33.60	2,861	2,698	5.26	65.26
4	Bihar	3,043	3,004	3.88	44.97	1,107	1,055	3.61	54.43	4,150	4,058	7.49	99.40
5	Chattisgarh	1,501	1,482	2.02	9.77	376	358	1.42	34.25	1,877	1,840	3.45	44.02
6	Goa	47	46	0.11	5.00	1,869	1,781	5.53	54.12	1,916	1,827	5.63	59.12
7	Gujarat	4,650	4,590	5.88	193.26	13,114	12,494	35.37	393.10	17,764	17,084	41.25	586.36
8	Haryana	6,053	5,975	10.78	118.78	2,647	2,522	8.51	154.71	8,700	8,497	19.29	273.49
9	Himachal Pradesh	4,383	4,327	6.35	43.66	(2,774)	(2,643)	(3.96)	(9.55)	1,609	1,684	2.39	34.11
10	Jammu & Kashmir	834	823	1.40	15.57	991	944	2.27	35.99	1,825	1,767	3.68	51.57
11	Jharkhand	1,989	1,963	2.89	24.18	225	214	1.67	42.47	2,214	2,178	4.56	66.65
12	Karnataka	1,254	1,238	0.27	61.55	5,673	5,405	18.14	165.34	6,927	6,642	18.41	226.89
13	Kerala	5,747	5,673	10.70	108.09	13	12	2.39	15.09	5,760	5,685	13.09	123.18
14	Madhya Pradesh	1,106	1,092	1.33	40.02	4,802	4,575	9.24	131.31	5,908	5,667	10.58	171.33
15	Maharashtra	10,078	9,948	11.62	511.48	23,297	22,195	70.51	848.04	33,375	32,143	82.14	1,359.51
16	Manipur	246	243	0.37	4.67	642	612	1.36	27.03	888	854	1.73	31.70
17	Meghalaya	85	84	0.15	0.07	(18)	(17)	0.01	1.42	67	67	0.16	1.48
18	Mirzoram	11	11	0.02	-	(11)	(10)	(0.02)	-	-	0	0.00	-
19	Nagaland	37	37	0.05	0.07	(28)	(27)	(0.04)	0.00	9	10	0.01	0.07
20	Orissa	5,819	5,744	7.02	89.69	180	171	2.75	34.31	5,999	5,916	9.77	123.99
21	Punjab	7,040	6,949	14.28	140.60	1,365	1,300	7.57	116.29	8,405	8,250	21.85	256.89
22	Rajasthan	5,619	5,547	7.51	96.65	6,225	5,931	13.70	280.29	11,844	11,477	21.22	376.94
23	Sikkim	62	61	0.13	-	(62)	(59)	(0.13)	-	-	2	-	-
24	Tamil Nadu	3,545	3,499	4.43	93.80	9,523	9,073	23.27	333.84	13,068	12,572	27.69	427.64
25	Tripura	429	423	0.83	5.89	(8)	(8)	0.23	3.02	421	416	1.06	8.91
26	Uttar Pradesh	8,428	8,319	11.21	124.13	11,357	10,820	25.26	401.88	19,785	19,139	36.47	526.01
27	Uttrakhand	647	639	0.82	38.69	2,952	2,812	7.00	73.74	3,599	3,451	7.82	112.43
28	West Bengal	19,179	18,932	19.62	261.66	5,536	5,274	15.13	87.90	24,715	24,206	34.74	349.56
29	Andaman & Nicobar Islands	232	229	0.36	3.38	(29)	(28)	0.09	1.49	203	201	0.45	4.87
30	Chandigarh	(1,112)	(1,098)	(1.79)	23.54	3,374	3,214	7.23	48.00	2,262	2,117	5.45	71.54
31	Dadra & Nagarhaveli	24	24	0.03	-	(24)	(23)	(0.03)	-	-	1	-	-
32	Daman & Diu	12	12	0.03	-	(12)	(11)	(0.03)	-	-	0	-	-
33	Delhi	(2,996)	(2,957)	(8.25)	83.40	21,960	20,921	53.79	564.06	18,964	17,964	45.54	647.46
34	Lakshadweep	1	1	0.00	-	(1)	(1)	(0.00)	-	-	0	-	-
35	Puducherry	(1)	(1)	0.04	1.35	224	213	0.29	6.16	223	212	0.34	7.51
	Company Total	95,185	93,959	123.35	2,394.17	124,901	118,993	336.00	4,109.51	220,086	212,952	459.35	6,503.68

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (I) : GEOGRAPHICAL DISTRIBUTION CHANNEL - INDIVIDUAL

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Period Ended Mar 2010



S.No.	State / Union Territory	Rural				Urban				Total Business			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	18,117	17,884	30.37	605.83	52,550	43,553	88.32	1,556.72	70,667	61,437	118.69	2,162.55
2	Arunachal Pradesh	57	56	0.11	-	(57)	(47)	(0.11)	-	-	9	-	-
3	Assam	3,366	3,323	4.52	125.59	7,758	6,430	12.73	120.85	11,124	9,752	17.25	246.44
4	Bihar	5,670	5,597	7.74	103.33	6,769	5,610	12.24	181.53	12,439	11,207	19.99	284.85
5	Chattisgarh	2,208	2,180	3.56	34.15	5,833	4,834	10.38	144.13	8,041	7,014	13.93	178.28
6	Goa	246	243	0.93	15.80	8,845	7,331	22.37	263.99	9,091	7,574	23.30	279.79
7	Gujarat	14,577	14,389	30.83	704.09	61,333	50,832	136.93	1,977.14	75,910	65,222	167.77	2,681.23
8	Haryana	16,024	15,818	28.03	455.54	23,049	19,103	48.95	787.93	39,073	34,920	76.98	1,243.47
9	Himachal Pradesh	7,011	6,921	10.40	109.16	1,180	978	1.14	77.54	8,191	7,899	11.54	186.70
10	Jammu & Kashmir	1,389	1,371	2.75	35.36	5,447	4,514	9.12	150.24	6,836	5,886	11.86	185.60
11	Jharkhand	3,440	3,396	5.75	61.50	4,871	4,037	9.11	140.12	8,311	7,433	14.86	201.63
12	Karnataka	5,105	5,039	6.94	169.38	25,655	21,263	67.05	865.85	30,760	26,302	73.98	1,035.23
13	Kerala	15,279	15,082	28.24	328.18	11,762	9,748	24.52	262.45	27,041	24,830	52.76	590.63
14	Madhya Pradesh	2,458	2,426	4.95	100.55	21,973	18,211	34.73	568.14	24,431	20,637	39.67	668.69
15	Maharashtra	14,805	14,614	43.09	1,142.96	119,163	98,761	274.89	3,980.65	133,968	113,376	317.98	5,123.61
16	Manipur	418	413	0.69	9.77	2,933	2,431	4.51	73.30	3,351	2,843	5.20	83.07
17	Meghalaya	71	70	0.24	0.56	247	205	0.63	5.88	318	275	0.87	6.44
18	Mirzoram	10	10	0.02	-	(10)	(8)	(0.02)	-	-	2	0.00	-
19	Nagaland	36	36	0.06	0.16	(20)	(17)	(0.03)	0.01	16	19	0.02	0.17
20	Orissa	14,507	14,320	17.86	250.59	12,510	10,368	20.27	269.18	27,017	24,688	38.14	519.77
21	Punjab	22,516	22,226	51.29	632.99	20,509	16,998	42.55	573.93	43,025	39,224	93.84	1,206.91
22	Rajasthan	9,819	9,693	18.29	289.41	33,437	27,712	57.07	1,066.03	43,256	37,405	75.35	1,355.43
23	Sikkim	40	39	0.13	-	(40)	(33)	(0.13)	-	-	6	-	-
24	Tamil Nadu	6,881	6,792	12.86	234.04	44,858	37,178	84.59	1,420.07	51,739	43,970	97.44	1,654.11
25	Tripura	794	784	1.57	12.58	798	661	1.82	15.92	1,592	1,445	3.39	28.50
26	Uttar Pradesh	17,637	17,410	26.29	345.23	66,520	55,131	108.46	1,724.33	84,157	72,541	134.75	2,069.55
27	Uttarakhand	3,315	3,272	5.21	91.47	12,049	9,986	22.63	301.89	15,364	13,258	27.85	393.36
28	West Bengal	85,382	84,282	62.32	755.60	44,483	36,867	51.79	464.60	129,865	121,150	114.11	1,220.19
29	Andaman & Nicobar Islands	406	401	0.61	6.94	556	461	0.92	14.06	962	862	1.53	21.00
30	Chandigarh	1,377	1,359	0.56	56.27	10,068	8,344	18.05	193.96	11,445	9,704	18.62	250.22
31	Dadra & Nagarhaveli	14	14	0.03	-	(14)	(12)	(0.03)	-	-	2	-	-
32	Daman & Diu	6	6	0.03	-	(6)	(5)	(0.03)	-	-	1	-	-
33	Delhi	1,417	1,399	0.25	228.18	71,102	58,929	185.79	2,410.12	72,519	60,328	186.03	2,638.30
34	Lakshadweep	1	1	0.00	-	(1)	(1)	(0.00)	-	-	0	-	-
35	Puducherry	87	86	0.19	4.27	1,244	1,031	1.57	35.01	1,331	1,117	1.76	39.28
	Company Total	274,486	270,951	406.68	6,909.44	677,354	561,386	1,352.79	19,645.57	951,840	832,337	1,759.47	26,555.02

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Quarter Ended Mar 2011



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (₹CrS)	Sum Assured (₹CrS)	No. of Policies	No. of Lives	Premium (₹CrS)	Sum Assured (₹CrS)	No. of Policies	No. of Lives	Premium (₹CrS)	Sum Assured (₹CrS)
1	Andhra Pradesh	-	-	-	-	18	(683,610)	4.34	3,088.42	18	(683,610)	4.34	3,088.42
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	-	(116)	0.05	3.76	-	(116)	0.05	3.76
8	Haryana	-	-	-	-	19	(5,886)	4.29	(287.72)	19	(5,886)	4.29	(287.72)
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	-	-	-	-	-	-	-	-
12	Karnataka	-	-	-	-	12	(109,604)	0.39	(153.51)	12	(109,604)	0.39	(153.51)
13	Kerala	-	-	-	-	(1)	46,647	0.16	24.04	(1)	46,647	0.16	24.04
14	Madhya Pradesh	-	-	-	-	-	147	0.01	10.05	-	147	0.01	10.05
15	Maharashtra	-	-	-	-	38	15,891	25.90	1,587.61	38	15,891	25.90	1,587.61
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mirzoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	-	-	-	-	-	-	-	-	-	-	-	-
21	Punjab	-	-	-	-	1	-	0.00	4.75	1	-	0.00	4.75
22	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	-	-	-	-	6	981	0.60	2.40	6	981	0.60	2.40
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttar Pradesh	-	-	-	-	4	444	0.01	43.81	4	444	0.01	43.81
27	Uttrakhand	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	2	(260)	0.00	3.38	2	(260)	0.00	3.38
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	-	-	-	-	-	-	-	-
31	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	-	-	-	-	23	(16,226)	1.28	(382.29)	23	(16,226)	1.28	(382.29)
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	Company Total	-	-	-	-	122	(751,592)	37.03	3,944.70	122	(751,592)	37.03	3,944.70

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Period Ended Mar 2011



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	-	-	-	-	73	7,824,039	53.80	10,576.03	73	7,824,039	53.80	10,576.03
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	37	20,782	0.38	261.42	37	20,782	0.38	261.42
8	Haryana	-	-	-	-	103	102,569	16.66	6,934.77	103	102,569	16.66	6,934.77
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	1	844	-	35.76	1	844	-	35.76
12	Karnataka	-	-	-	-	82	40,717	2.11	2,540.34	82	40,717	2.11	2,540.34
13	Kerala	-	-	-	-	2	62,870	0.19	62.93	2	62,870	0.19	62.93
14	Madhya Pradesh	-	-	-	-	2	1,160	0.01	75.44	2	1,160	0.01	75.44
15	Maharashtra	-	-	-	-	267	422,809	48.03	16,772.03	267	422,809	48.03	16,772.03
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mizoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	-	-	-	-	-	-	-	-	-	-	-	-
21	Punjab	-	-	-	-	2	42	0.00	9.50	2	42	0.00	9.50
22	Rajasthan	-	-	-	-	-	-	-	-	-	-	-	-
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	-	-	-	-	24	10,060	0.72	447.02	24	10,060	0.72	447.02
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttar Pradesh	-	-	-	-	31	10,528	0.28	473.23	31	10,528	0.28	473.23
27	UttraKhand	-	-	-	-	-	-	-	-	-	-	-	-
28	West Bengal	-	-	-	-	6	2,195	0.03	27.44	6	2,195	0.03	27.44
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	1	182	-	3.64	1	182	-	3.64
31	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	-	-	-	-	81	90,029	3.31	2,702.34	81	90,029	3.31	2,702.34
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	Company Total	-	-	-	-	712	8,588,826	125.53	40,921.90	712	8,588,826	125.53	40,921.90

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Quarter Ended Mar 2010



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	-	-	-	-	14	3,381,817	14.52	1,886.68	14	3,381,817	14.52	1,886.68
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	1	247	0.03	(46.29)	1	247	0.03	(46.29)
8	Haryana	-	-	-	-	18	5,851	0.46	(4,099.85)	18	5,851	0.46	(4,099.85)
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	-	-	0.00	(0.66)	-	-	0.00	(0.66)
12	Karnataka	-	-	-	-	10	107,731	1.37	(585.41)	10	107,731	1.37	(585.41)
13	Kerala	-	-	-	-	-	-	0.00	(10.35)	-	-	0.00	(10.35)
14	Madhya Pradesh	-	-	-	-	-	(14)	0.01	(6.59)	-	(14)	0.01	(6.59)
15	Maharashtra	-	-	-	-	31	28,239	2.47	(8,479.41)	31	28,239	2.47	(8,479.41)
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mirzoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	-	-	-	-	-	-	-	-	-	-	-	-
21	Punjab	-	-	-	-	1	6	0.00	(0.60)	1	6	0.00	(0.60)
22	Rajasthan	-	-	-	-	-	-	(0.00)	33.24	-	-	(0.00)	33.24
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	-	-	-	-	3	1,297	0.51	(107.72)	3	1,297	0.51	(107.72)
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttar Pradesh	-	-	-	-	-	265	0.02	(20.28)	-	265	0.02	(20.28)
27	Uttrakhand	-	-	-	-	-	326	(0.00)	6.76	-	326	(0.00)	6.76
28	West Bengal	-	-	-	-	3	441	0.05	(4.14)	3	441	0.05	(4.14)
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	-	-	0.01	(0.60)	-	-	0.01	(0.60)
31	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	-	-	-	-	6	2,226	5.44	2,527.54	6	2,226	5.44	2,527.54
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	Company Total	-	-	-	-	87	3,528,432	24.90	(8,907.69)	87	3,528,432	24.90	(8,907.69)

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

FORM L-25- (II) : GEOGRAPHICAL DISTRIBUTION CHANNEL - GROUP

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Period Ended Mar 2010



S.No.	State / Union Territory	Rural (Group)				Urban (Group)				Total Business (Group)			
		No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)	No. of Policies	No. of Lives	Premium (₹Cr)	Sum Assured (₹Cr)
1	Andhra Pradesh	-	-	-	-	65	7,988,761	35.02	7,552.58	65	7,988,761	35.02	7,552.58
2	Arunachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
3	Assam	-	-	-	-	-	-	-	-	-	-	-	-
4	Bihar	-	-	-	-	-	-	-	-	-	-	-	-
5	Chattisgarh	-	-	-	-	-	-	-	-	-	-	-	-
6	Goa	-	-	-	-	-	-	-	-	-	-	-	-
7	Gujarat	-	-	-	-	31	19,734	0.24	150.47	31	19,734	0.24	150.47
8	Haryana	-	-	-	-	100	68,942	28.33	(1,879.03)	100	68,942	28.33	(1,879.03)
9	Himachal Pradesh	-	-	-	-	-	-	-	-	-	-	-	-
10	Jammu & Kashmir	-	-	-	-	-	-	-	-	-	-	-	-
11	Jharkhand	-	-	-	-	1	833	0.03	34.44	1	833	0.03	34.44
12	Karnataka	-	-	-	-	78	241,784	3.94	1,092.25	78	241,784	3.94	1,092.25
13	Kerala	-	-	-	-	2	590	0.02	18.19	2	590	0.02	18.19
14	Madhya Pradesh	-	-	-	-	1	888	0.05	52.31	1	888	0.05	52.31
15	Maharashtra	-	-	-	-	235	163,125	12.84	(2,132.74)	235	163,125	12.84	(2,132.74)
16	Manipur	-	-	-	-	-	-	-	-	-	-	-	-
17	Meghalaya	-	-	-	-	-	-	-	-	-	-	-	-
18	Mirzoram	-	-	-	-	-	-	-	-	-	-	-	-
19	Nagaland	-	-	-	-	-	-	-	-	-	-	-	-
20	Orissa	-	-	-	-	-	-	-	-	-	-	-	-
21	Punjab	-	-	-	-	2	36	0.01	2.85	2	36	0.01	2.85
22	Rajasthan	-	-	-	-	2	22,683	0.02	66.48	2	22,683	0.02	66.48
23	Sikkim	-	-	-	-	-	-	-	-	-	-	-	-
24	Tamil Nadu	-	-	-	-	15	5,242	0.60	6.35	15	5,242	0.60	6.35
25	Tripura	-	-	-	-	-	-	-	-	-	-	-	-
26	Uttar Pradesh	-	-	-	-	25	11,465	0.31	379.72	25	11,465	0.31	379.72
27	Uttrakhand	-	-	-	-	1	972	0.25	11.51	1	972	0.25	11.51
28	West Bengal	-	-	-	-	9	2,714	0.40	11.20	9	2,714	0.40	11.20
29	Andaman & Nicobar Islands	-	-	-	-	-	-	-	-	-	-	-	-
30	Chandigarh	-	-	-	-	1	152	0.01	2.44	1	152	0.01	2.44
31	Dadra & Nagrahaveli	-	-	-	-	-	-	-	-	-	-	-	-
32	Daman & Diu	-	-	-	-	-	-	-	-	-	-	-	-
33	Delhi	-	-	-	-	69	229,314	7.52	8,027.77	69	229,314	7.52	8,027.77
34	Lakshadweep	-	-	-	-	-	-	-	-	-	-	-	-
35	Puducherry	-	-	-	-	-	-	-	-	-	-	-	-
	Company Total	-	-	-	-	637	8,757,235	89.60	13,396.78	637	8,757,235	89.60	13,396.78

*Premium amount is New Business premium only.

PERIODIC DISCLOSURES

L-26-INVESTMENT ASSETS - 3A PART A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



Rs. Lacs	
Particulars	Amount
Total Application as per Balance Sheet (A)	1,448,623
Add : (B)	
Provisions	26
Current Liabilities	108,350
Less: (C)	
Debit Balance in P & L A/c	82,961
Loans	1,162
Adv & Other Assets	48,405
Cash & Bank Balance	19,249
Fixed Assets	14,020
Misc Exp Not Written Off	7,559
Funds available for Investments	1,383,642

Reconciliation of Investment Assets		Rs. Lacs
Particulars	Amount	
Total Investment Assets as per Balance Sheet		1,383,642
Balance Sheet Value of :		1,383,642
A. Life Fund		488,019
B. Pension & General Annuity Fund		8,667
C. Unit Linked Funds		886,956

NON LINKED BUSINESS

Rs. Lacs

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value	
		Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR						
		(a)	(b)	(c)	(d)	(e)						F= (b+c+d+e)
1	G. Sec	Not less than 25%	-	59,878	12,786	164,206	14,856	251,725	52%	-	251,725	243,108
2	G. Sec or Other Approved Securities (incl 1 Above)	Not less than 50%	-	66,962	16,236	203,242	17,387	303,827	62%	-	303,827	294,780
3	Investment Subject to Exposure Norms											
	a. Housing & Infrastructure	Not less than 15%	-	30,619	4,877	72,261	5,364	113,122	23%	18	113,122	111,547
	b. i) Approved Investments	Not exceeding 15%	-	34,279	5,239	29,754	1,664	70,936	15%	524	70,936	69,983
	ii) "Other Investments" not to exceed 15%	35%	-	128	-	-	6	133	0%	23	133	133
	TOTAL LIFE FUND	100%	-	131,989	26,352	305,257	24,422	488,019	100%	565	488,019	476,444

B. PENSION AND GENERAL ANNUITY FUND	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value	
		PAR	NON PAR						
1	G. Sec	Not less than 20%	4,508	1,628	6,136	71%	-	6,136	5,893
2	G. Sec or Other Approved Securities (incl 1 Above)	Not less than 40%	4,997	1,628	6,625	76%	-	6,625	6,392
3	Investment Subject to Exposure Norms	Not exceeding 60%	949	1,093	2,042	24%	-	2,042	2,006
	TOTAL PENSION , GENERAL ANNUITY FUND	100%	5,946	2,722	8,667	100%	-	8,667	8,398

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
1	Approved Investment	Not less than 75%	-	842,917	95%
2	Other Investments	Not exceeding 25%	-	44,039	5%
	TOTAL	100%	-	886,956	100%

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: _____sd/-_____

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

Note: (+) FRMS refers to 'Funds representing Solvency Margins'.

Pattern of Investment will apply only to Shareholders (SH) funds representing FRSM ("F")

Funds beyond Solvency Margin shall have a separate Custody Account

Other Investments are as permitted under Section 27A(2) and 27B(3) of Insurance Act, 1938.

PERIODIC DISCLOSURES

FORM L-27- UNIT LINKED - 3A PART B

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



Rs. Lacs

Particulars	Pension Balanced Fund		Pension Growth Fund		Pension Conservative Fund		Pension Secured Fund		Pension Growth Super Fund Fund		Total Pension	
Opening Balance (Market Value)		6,875		27,693		525		2,925		24,166		62,184
Add: Inflow During the Quarter		1,011		3,781		261		587		2,629		8,268
Increase / (Decrease) Value of Inv. (Net)		(44)		(645)		6		48		(1,045)		(1,680)
Less: Outflow during the Quarter		(321)		(660)		(58)		(185)		(657)		(1,881)
TOTAL INVESTIBLE FUNDS (MKT VALUE)		7,521		30,169		733		3,375		25,093		66,891

Investment Of Unit Fund	Pension Balanced Fund		Pension Growth Fund		Pension Conservative Fund		Pension Secured Fund		Pension Growth Super Fund Fund		Total Pension	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Government Bonds	1,662	22%	-	0%	391	53%	1,728	51%	-	0%	3,782	6%
Corporate Bonds	838	11%	1,389	5%	17	2%	615	18%	82	0%	2,941	4%
Infrastructure Bonds	835	11%	3,895	13%	88	12%	386	11%	324	1%	5,528	8%
Equity	1,708	23%	14,469	48%	66	9%	-	0%	19,301	77%	35,544	53%
Money Market	1,660	22%	6,722	22%	128	17%	565	17%	2,775	11%	11,850	18%
Mutual funds	-	0%	859	3%	-	0%	100	3%	255	1%	1,215	2%
Deposit with Banks	310	4%	500	2%	25	3%	120	4%	-	0%	955	1%
Sub Total (A)	7,014	93%	27,836	92%	715	98%	3,514	104%	22,737	91%	61,815	92%
Current Assets:												
Accrued Interest	107	1%	204	1%	13	2%	75	2%	19	0%	418	1%
Bank Balance	3	0%	6	0%	0	0%	1	0%	4	0%	15	0%
Dividend Recievable	0	0%	4	0%	-	0%	-	0%	9	0%	13	0%
Receivable for Sale of Investments	52	1%	392	1%	(0)	0%	0	0%	36	0%	480	1%
Other Current Assets	152	2%	271	1%	2	0%	53	2%	137	1%	616	1%
Appropriation (Expropriation) Asset	6	0%	47	0%	0	0%	0	0%	65	0%	118	0%
Less: Current Liabilities												
Payable for Investments	(0)	0%	-	0%	-	0%	(268)	-8%	-	0%	(268)	0%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	320	4%	925	3%	16	2%	(139)	-4%	271	1%	1,393	2%
Other Investments (<=25%)												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	187	2%	1,409	5%	2	0%	-	0%	2,085	8%	3,683	6%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	187	2%	1,409	5%	2	0%	0	0%	2,085	8%	3,683	6%
Total (A+B+C)	7,521	100%	30,169	100%	733	100%	3,375	100%	25,093	100%	66,891	100%

PERIODIC DISCLOSURES

FORM L-27- UNIT LINKED - 3A PART B

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



Rs. Lacs

Particulars	Group Gratuity Balanced fund	Group Gratuity Growth fund	Group Gratuity Conservative Fund	Group Super Balanced Fund	Group Super Growth Fund	Group Super Conservative Fund	Total Group Fund
Opening Balance (Market Value)	2,150	1,498	2,806	2	5	260	6,721
Add: Inflow During the Quarter	52	58	274	0	0	16	401
Increase / (Decrease) Value of Inv. (Net)	(2)	(20)	48	(0)	(0)	5	31
Less: Outflow during the Quarter	(34)	(106)	(7)	(0)	(0)	(0)	(147)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	2,167	1,430	3,121	2	5	281	7,006

Investment Of Unit Fund	Group Gratuity Balanced fund		Group Gratuity Growth fund		Group Gratuity Conservative Fund		Group Super Balanced Fund		Group Super Growth Fund		Group Super Conservative Fund		Total Group Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)														
Government Bonds	615	28%	133	9%	1,703	55%	1	45%	2	36%	172	61%	2,626	37%
Corporate Bonds	267	12%	57	4%	525	17%	0	20%	1	16%	40	14%	890	13%
Infrastructure Bonds	340	16%	216	15%	337	11%	-	0%	-	0%	30	11%	923	13%
Equity	480	22%	690	48%	-	0%	1	31%	2	46%	-	0%	1,173	17%
Money Market	285	13%	222	16%	294	9%	-	0%	-	0%	37	13%	838	12%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Deposit with Banks	70	3%	-	0%	180	6%	-	0%	-	0%	7	2%	257	4%
Sub Total (A)	2,058	95%	1,317	92%	3,040	97%	2	96%	5	97%	286	102%	6,707	96%
Current Assets:														
Accrued Interest	39	2%	17	1%	68	2%	0	2%	0	1%	6	2%	130	2%
Bank Balance	1	0%	11	1%	1	0%	0	1%	0	0%	1	0%	14	0%
Dividend Recievable	0	0%	0	0%	-	0%	-	0%	-	0%	-	0%	1	0%
Receivable for Sale of Investments	33	2%	7	0%	309	10%	-	0%	-	0%	28	10%	376	5%
Other Current Assets	0	0%	0	0%	3	0%	0	0%	(0)	0%	0	0%	3	0%
Appropriation (Expropriation) Asset	1	0%	(2)	0%	(0)	0%	0	0%	0	0%	0	0%	(0)	0%
Less: Current Liabilities														
Payable for Investments	(55)	-3%	-	0%	(299)	-10%	(0)	-1%	(0)	0%	(40)	-14%	(395)	-6%
Other Current Liabilities	(3)	0%	(7)	-1%	-	0%	-	0%	-	0%	-	0%	(10)	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	16	1%	26	2%	81	3%	0	2%	0	1%	(5)	-2%	119	2%
Other Investments (<=25%)														
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	93	4%	88	6%	-	0%	0	2%	0	2%	-	0%	180	3%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	93	4%	88	6%	0	0%	0	2%	0	2%	0	0%	180	3%
Total (A+B+C)	2,167	100%	1,430	100%	3,121	100%	2	100%	5	100%	281	100%	7,006	100%

PERIODIC DISCLOSURES

FORM L-27- UNIT LINKED - 3A PART B

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



Rs. Lacs

Particulars	Balanced Fund	Growth Fund	Conservative Fund	Secured Fund	Guaranteed Fund-Income	Guaranteed Fund-Dynamic	Growth Super Fund
Opening Balance (Market Value)	40,790	484,573	4,038	10,355	44	268	222,363
Add: Inflow During the Quarter	2,971	25,074	362	1,086	8	31	18,028
Increase / (Decrease) Value of Inv. (Net)	(22)	(11,066)	48	161	0	(1)	(10,041)
Less: Outflow during the Quarter	(354)	(5,082)	(75)	(655)	(1)	(4)	(2,862)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	43,385	493,499	4,373	10,948	51	293	227,488

Investment Of Unit Fund	Balanced Fund		Growth Fund		Conservative Fund		Secured Fund		Guaranteed Fund-Income		Guaranteed Fund-Dynamic		Growth Super Fund	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)														
Government Bonds	9,381	22%	6,212	1%	2,202	50%	5,550	51%	28	55%	147	50%	-	0%
Corporate Bonds	3,520	8%	32,262	7%	443	10%	2,161	20%	8	16%	2	1%	640	0%
Infrastructure Bonds	6,372	15%	60,504	12%	644	15%	1,530	14%	-	0%	28	10%	1,609	1%
Equity	11,544	27%	251,572	51%	379	9%	-	0%	5	9%	62	21%	188,312	83%
Money Market	8,230	19%	94,269	19%	458	10%	1,210	11%	8	15%	38	13%	13,023	6%
Mutual funds	620	1%	6,014	1%	-	0%	-	0%	-	0%	-	0%	4,528	2%
Deposit with Banks	1,479	3%	9,205	2%	60	1%	395	4%	-	0%	-	0%	-	0%
Sub Total (A)	41,146	95%	460,038	93%	4,186	96%	10,845	99%	48	95%	277	95%	208,112	91%
Current Assets:														
Accrued Interest	679	2%	4,350	1%	107	2%	285	3%	1	2%	5	2%	55	0%
Bank Balance	32	0%	326	0%	10	0%	2	0%	0	0%	0	0%	58	0%
Dividend Recievable	5	0%	102	0%	0	0%	-	0%	-	0%	-	0%	81	0%
Receivable for Sale of Investments	386	1%	3,981	1%	26	1%	0	0%	(0)	0%	(0)	0%	2,032	1%
Other Current Assets	446	1%	3,286	1%	48	1%	41	0%	1	1%	3	1%	1,572	1%
Appropriation (Expropriation) Asset	35	0%	773	0%	1	0%	1	0%	0	0%	0	0%	586	0%
Less: Current Liabilities														
Payable for Investments	(212)	0%	(1,220)	0%	(30)	-1%	(226)	-2%	-	0%	(0)	0%	(593)	0%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	1,371	3%	11,598	2%	162	4%	103	1%	2	3%	9	3%	3,790	2%
Other Investments (<=25%)														
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	868	2%	21,863	4%	24	1%	-	0%	1	1%	7	2%	15,587	7%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	868	2%	21,863	4%	24	1%	0	0%	1	1%	7	2%	15,587	7%
Total (A+B+C)	43,385	100%	493,499	100%	4,373	100%	10,948	100%	51	100%	293	100%	227,488	100%



Rs. Lacs

Particulars	High Growth Fund	Dynamic Opportunity Fund	Money Market Fund	Secure Plus Fund	Total Individual Fund	Grand Total
Opening Balance (Market Value)	3,285	21,146	1,587	894	789,343	858,248
Add: Inflow During the Quarter	321	6,630	263	311	55,085	63,755
Increase / (Decrease) Value of Inv. (Net)	(170)	(357)	23	14	(21,411)	(23,059)
Less: Outflow during the Quarter	(87)	(455)	(96)	(289)	(9,960)	(11,988)
TOTAL INVESTIBLE FUNDS (MKT VALUE)	3,349	26,964	1,778	931	813,058	886,956

Investment Of Unit Fund	High Growth Fund		Dynamic Opportunity Fund		Money Market Fund		Secure Plus Fund		Total Individual Fund		Grand Total	
	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual	Actual Inv.	% Actual
Approved Investments (>=75%)												
Government Bonds	-	0%	184	1%	1,764	99%	570	61%	26,037	3%	32,445	4%
Corporate Bonds	72	2%	1,530	6%	-	0%	109	12%	40,745	5%	44,576	5%
Infrastructure Bonds	120	4%	2,916	11%	-	0%	52	6%	73,775	9%	80,226	9%
Equity	2,436	73%	11,892	44%	-	0%	-	0%	466,202	57%	502,918	57%
Money Market	367	11%	6,753	25%	-	0%	161	17%	124,517	15%	137,206	15%
Mutual funds	-	0%	244	1%	-	0%	-	0%	11,406	1%	12,620	1%
Deposit with Banks	-	0%	700	3%	-	0%	30	3%	11,869	1%	13,081	1%
Sub Total (A)	2,994	89%	24,218	90%	1,764	99%	921	99%	754,551	93%	823,073	93%
Current Assets:												
Accrued Interest	8	0%	204	1%	-	0%	18	2%	5,712	1%	6,261	1%
Bank Balance	1	0%	9	0%	0	0%	1	0%	438	0%	466	0%
Dividend Recievable	1	0%	2	0%	-	0%	-	0%	190	0%	204	0%
Receivable for Sale of Investments	-	0%	56	0%	-	0%	(0)	0%	6,481	1%	7,337	1%
Other Current Assets	45	1%	940	3%	13	1%	12	1%	6,407	1%	7,025	1%
Appropriation (Expropriation) Asset	5	0%	67	0%	0	0%	0	0%	1,468	0%	1,586	0%
Less: Current Liabilities												
Payable for Investments	(26)	-1%	(37)	0%	-	0%	(22)	-2%	(2,364)	0%	(3,027)	0%
Other Current Liabilities	-	0%	-	0%	-	0%	-	0%	-	0%	(10)	0%
Fund Mgmt Charges Payable	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (B)	34	1%	1,242	5%	13	1%	9	1%	18,333	2%	19,844	2%
Other Investments (<=25%)												
Corporate Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Infrastructure Bonds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Equity	321	10%	1,504	6%	-	0%	-	0%	40,175	5%	44,039	5%
Money Market	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Mutual funds	-	0%	-	0%	-	0%	-	0%	-	0%	-	0%
Sub Total (C)	321	10%	1,504	6%	0	0%	0	0%	40,175	5%	44,039	5%
Total (A+B+C)	3,349	100%	26,964	100%	1,778	100%	931	100%	813,058	100%	886,956	100%

DATE:

Signature: sd/-
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

Note:

- 1.The aggregate of all the above Segregated Unit Fund should tally with item C of Form 3A (Part A) , for both Par & Non Par Business
2. Details of item 12 of Form LB 2 of IRDA (Actuarial Report) Regulation ,2000 shall be reconciled with FORM 3A (Part B)
- 3.Other Investment are as permitted under Sec 27 A (2) and 27 B(3)

PERIODIC DISCLOSURES

Part - C

FORM L-28-ULIP NAV Linked to Form 3A (Part B)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



S.No	Name of the Scheme	As on the Above Date			Previous Qtr. NAV (Rs)	2nd Previous Qtr. NAV (Rs)	3rd Previous Qtr. NAV (Rs)	Annualised Return/Yield	3 Year Rolling CAGR
		Assets Held (Rs Lacs)	NAV (Rs)	NAV (Rs) As per LB2					
1	PENSION BALANCED FUND	7,521	15.428	15.428	15.553	15.489	14.892	7.06%	6.59%
2	PENSION GROWTH FUND	30,169	17.915	17.915	18.399	18.208	17.066	8.68%	8.85%
3	PENSION CONSERVATIVE FUND	733	14.677	14.677	14.559	14.334	14.058	6.58%	7.26%
4	PENSION SECURED FUND	3,375	13.763	13.763	13.556	13.364	13.203	5.83%	6.66%
5	PENSION GROWTH SUPER FUND FUND	25,093	10.369	10.369	10.877	10.856	9.760	10.03%	9.29%
6	GROUP GRATUITY BALANCED FUND	2,167	15.770	15.770	15.784	15.464	14.930	10.84%	9.13%
7	GROUP GRATUITY GROWTH FUND	1,430	15.049	15.049	15.244	14.957	14.032	10.12%	6.99%
8	GROUP GRATUITY CONSERVATIVE FUND	3,121	14.007	14.007	13.788	13.549	13.423	6.22%	8.33%
9	GROUP SUPERANNUATION BALANCED FUND	2	14.011	14.011	14.209	14.224	13.624	4.51%	10.89%
10	BALANCED FUND	43,385	22.285	22.285	22.325	21.981	21.192	7.92%	8.66%
11	GROWTH FUND	493,499	30.637	30.637	31.390	31.078	29.143	8.16%	9.99%
12	CONSERVATIVE FUND	4,373	18.890	18.890	18.685	18.360	18.000	6.96%	9.60%
13	SECURED FUND	10,948	15.582	15.582	15.350	15.124	14.953	5.99%	8.73%
14	GUARANTEED FUND-INCOME	51	12.700	12.700	12.680	12.495	12.230	4.85%	5.01%
15	GUARANTEED FUND-DYNAMIC	293	13.414	13.414	13.498	13.222	12.782	6.37%	5.23%
16	GROWTH SUPER FUND	227,488	17.098	17.098	17.966	17.736	15.928	11.13%	13.47%
17	HIGH GROWTH FUND	3,349	12.751	12.751	13.483	13.409	12.047	11.53%	8.36%
18	DYNAMIC OPPORTUNITY FUND	26,964	13.783	13.783	14.129	13.992	13.117	8.42%	11.65%
19	GROUP SUPERANNUATION GROWTH FUND	5	13.268	13.268	13.563	13.746	12.682	8.07%	9.88%
20	GROUP SUPERANNUATION CONSERVATIVE FUND	281	11.220	11.220	11.008	10.841	10.678	7.48%	3.91%
21	MONEY MARKET FUND	1,778	10.640	10.640	10.493	10.366	10.267	4.32%	3.35%
22	SECURE PLUS FUND	931	10.919	10.919	10.749	10.552	10.427	6.29%	4.78%

Note :

- NAV figures as per LB2 are as on 31st March 2011
- Launch Dates for fund not in existence for 3 years

Fund Details	Launch Date
Dynamic Opportunities	02-May-08
Money Market Fund	13-May-09
Secure Plus Fund	13-May-09

Certification

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Signature: _____sd/-
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 29 - DEBT SECURITIES - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



Rs. Lacs

	Detail Regarding Debt Securities															
	Market Value								Book Value							
	As at 31st March 2011				As at 31st March 2010				As at 31st March 2011				As at 31st March 2010			
	ULIP	Non-ULIP	Total	as % of total for this class	ULIP	Non-ULIP	Total	as % of total for this class	ULIP	Non-ULIP	Total	as % of total for this class	ULIP	Non-ULIP	Total	as % of total for this class
Break down by credit rating																
AAA rated	247,402	158,570	405,971	52.29%	173,268	114,616	287,884	51.80%	247,402	160,851	408,253	51.79%	173,268	113,573	286,841	50.94%
AA or better	14,606	5,661	20,268	2.61%	11,366	7,647	19,013	3.42%	14,606	6,023	20,629	2.62%	11,366	7,965	19,331	3.43%
Rated below AA but above A	0	0	0	0.00%	0	508	508	0.09%	0	0	0	0.00%	0	499	499	0.09%
Rated below A but above B	0	0	0	0.00%	0	0	0	0.00%	0	0	0	0.00%	0	0	-	0.00%
Any other	45,527	304,617	350,143	45.10%	31,190	217,166	248,356	44.69%	45,527	313,819	359,345	45.59%	31,190	225,242	256,432	45.54%
	307,534	468,848	776,382	100%	215,825	339,937	555,762	100%	307,534	480,692	788,226	100%	215,825	347,279	563,104	100%
BREAKDOWN BY RESIDUAL MATURITY																
Up to 1 year	174,773	12,015	186,788	24.06%	118,257	30,137	148,395	26.70%	174,773	12,026	186,799	23.70%	118,257	30,024	148,281	26.33%
More than 1 year and upto 3 years	68,119	24,043	92,162	11.87%	62,047	16,052	78,099	14.05%	68,119	24,307	92,426	11.73%	62,047	15,570	77,616	13.78%
More than 3 years and up to 7 years	40,143	72,270	112,413	14.48%	18,824	39,054	57,878	10.41%	40,143	73,089	113,232	14.37%	18,824	38,813	57,637	10.24%
More than 7 years and up to 10 years	23,337	82,364	105,701	13.61%	16,495	46,885	63,380	11.40%	23,337	83,873	107,210	13.60%	16,495	47,217	63,712	11.31%
More than 10 years and up to 15 years	109	83,295	83,403	10.74%	202	60,516	60,717	10.93%	109	84,965	85,074	10.79%	202	61,406	61,608	10.94%
More than 15 years and up to 20 years	1,053	73,094	74,147	9.55%	0	44,112	44,112	7.94%	1,053	74,629	75,682	9.60%	0	45,929	45,929	8.16%
Above 20 years	0	121,768	121,768	15.68%	0	103,181	103,181	18.57%	0	127,803	127,803	16.21%	0	108,321	108,321	19.24%
	307,534	468,848	776,382	100%	215,825	339,937	555,762	100%	307,534	480,692	788,226	100%	215,825	347,279	563,104	100%
Breakdown by type of the issuer																
a. Central Government	30,703	298,322	329,025	42.38%	26,342	209,100	235,442	42.36%	30,703	307,530	338,234	42.91%	26,342	217,176	243,518	43.25%
b. State Government	1,742	1,421	3,162	0.41%	-	-	-	0.00%	1,742	1,414	3,156	0.40%	-	-	-	0.00%
c. Corporate Securities	275,089	169,105	444,195	57.21%	189,483	130,837	320,320	57.64%	275,089	171,748	446,837	56.69%	189,483	130,103	319,586	56.75%
	307,534	468,848	776,382	100%	215,825	339,937	555,762	100%	307,534	480,692	788,226	100%	215,825	347,279	563,104	100%

Note

- In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
 - The detail of ULIP and Non-ULIP will be given separately.
 - Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
- * Includes Government Securities, Treasury Bills and Fixed Deposits

PERIODIC DISCLOSURES

FORM L-30 : RELATED PARTY TRANSACTIONS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



SI No.	Name of the Related Party	Nature of Relationship with Company	Description of Transaction / Categories	Consideration paid / received	
				Upto Quarter 31st March 2011	Upto Quarter 31st March 2010
1	Max India Ltd.	Holding Company	Receiving of services.	0.25	-
			Rendering of Services	0.79	-
			Equity Share Capital	-	0.13
			Reimbursement of expenses.	0.00	0.00
2	New York Life International Llc	Shareholders with Significant Influence	Equity Share Capital	1.58	0.05
			Receiving of services.	1.43	0.06
			Recovery of Expenses	(1.80)	0.00
			Reimbursement of expenses.	0.55	0.00
3	New York Life Insurance Co.	Shareholders with Significant Influence	Receiving of services.	55.78	-
4	Max Healthcare Institute Ltd.	Fellow Subsidiaries	Reimbursement of expenses.	0.05	0.00
			Rendering of Services	0.25	-
5	Pharmax Corporation Ltd. and	Fellow Subsidiaries	Receiving of services.	0.36	0.00
			Reimbursement of expenses.	1.50	1.82
6	Max Bupa Health Insurance Limited	Fellow Subsidiaries	Reimbursement of expenses.	0.00	-
			Rendering of Services	0.53	-
7	MAX UK LTD, UK	Fellow Subsidiaries	Sale of Assets	0.01	0.02
8	Alps Hospital Pvt. Ltd.	Fellow Subsidiaries	Reimbursement of expenses.	0.01	-
9	Max Neeman Medical International Ltd.	Fellow Subsidiaries	Rendering of Services	0.11	-
10	Max Foundation	Enterprises over which Key management Personnel have significant Influence	Rendering of Services	0.00	-
11	New Delhi House Services Limited	Enterprises over which Key management Personnel have significant Influence	Voluntry Contribution	1.50	2.50
			Receiving of services.	0.38	0.00
			Reimbursement of expenses.	0.01	0.64

PERIODIC DISCLOSURES**FORM L-31 BOARD OF DIRECTORS & KEY PERSON****NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED****REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000**

Sl. No.	Name of person	Role/designation	Remarks
1	Analjit Singh	Chairman	
2	Anuroop Singh	Vice Chairman	
3	Rajit Mehta	ED & COO	
4	Rajesh Sud	MD & CEO	
5	Omkar Goswami	Director	
6	William Beaty	Director	
7	Marielle Theron	Director	
8	Leo Puri	Director	
9	Richard Mucci	Director	

Key Persons as defined in IRDA Registration of Companies Regulations, 2000

Sl. No.	Name of Person	Role/designation	Remarks
1	Rajesh Sud	CEO	
2	John Poole	AA	
3	Prashant Sharma	CIO	
4	Prashant Tripathy	CFO	Acting as a CFO, till the time new CFO is appointed
5	Anisha Motwani	CMO	
6	Ashish Vohra	CDO	

PERIODIC DISCLOSURES

FORM L-32-SOLVENCY MARGIN - KT3

(See Regulation 4)

Insurance Regulatory and Development Authority (Actuarial Report and Abstract) Regulations, 2000.

AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO.

31st March 2011

Name of Insurer:

MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

Form Code:

Classification Code:



Classification:

Registration Number: 104

Item	Description	Adjusted Value [Amount (In Rs. '000)]
01	Available Assets in Policyholders' Fund:	125,163,245
	Deduct:	
02	Mathematical Reserves	122,730,864
03	Other Liabilities	
04	Excess in Policyholders' funds	2,432,381
05	Available Assets in Shareholders Fund:	20,735,459
	Deduct:	
06	Other Liabilities of shareholders' fund	10,881,149
07	Excess in Shareholders' funds	9,854,310
08	Total ASM (04)+(07)	12,286,691
09	Total RSM	3,367,271
10	Solvency Ratio (ASM/RSM)	3.65

I, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

Place: Gurgaon

sd/-

Date:

Name and Signature of Appointed Actuary

Notes

John Poole

- Item No. 01 shall be the amount of the Adjusted Value of Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations, 2000;
- Item No. 02 shall be the amount of Mathematical Reserves as mentioned in Form H;
- Item Nos. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- Items No. 05 shall be the amount of the Total Assets as mentioned in Form IRDA-Assets- AA as specified under Schedule I of Insurance Regulatory and Development Authority (Assets, Liabilities, and Solvency Margin of Insurers) Regulations, 2000.

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011



NO.	DESCRIPTION	CONFIRMATION (YES / NO)
1	Details of Approved Investments / Other Investments which have matured for payment and maturity amount is outstanding along with particulars of defaulted amount and period for which said default has continued	No
2	Any Investment as at (1), which subsequent to maturity have been rolled over	No
3	In respect of Investments where periodic income have fallen due, details of interest payment in default, along with period for which such default have persisted.	No
4	Details of steps taken to recover the defaulted amounts, and the provisioning done / proposed in the accounts against such defaults	N.A.

Certification

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Date:

Note: If any of the confirmations is in the affirmative, details be provided

Signature: sd/-

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name of the Fund: Life Fund



COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deffered Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature: sd/-

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name of the Fund: Annuity Fund



Rs. Lacs

COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deferred Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature: _____sd/_____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 33 - NPAs - 7A

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name of the Fund: Linked Fund



COI	Company Name	Instrument type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deferred Interest	Rolled Over	Has there been any principal waiver		Classification	Provision %	Provision Rs.
			%age	Has there been a revision								Amount	Board Approval ref.			
						NIL										

Certification :

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

DATE:

Note :

- A. Category of Investment (COI) shall be as per INV/GLN001/2003-04
- B. Form 7A shall be submitted in respect of each fund
- C. Classification shall be as per F&A circular 169 Jan 07 dt.24-01-07

Signature: _____sd/-_____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 34 YIELD ON INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000
 STATEMENT AS ON: 31st March 2011

Name of the Fund: Life Fund



Rs. Lacs

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year			
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
A														
GOVERNMENT SECURITIES														
A01	Central Government Bonds	CGSB	250,677	4,526	7.56%	7.56%	250,677	15,588	7.65%	7.65%	164,771	11,172	7.25%	7.25%
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	1,049	24	9.32%	9.32%	1,049	99	9.40%	9.40%	1,050	99	9.88%	9.88%
A04	Treasury Bills	CTRB	-	(0)	0.00%	0.00%	-	4	0.00%	0.00%	2,496	23	0.91%	0.91%
B														
GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES														
B02	State Government Bonds	SGGB	1,412	20	8.04%	8.04%	1,412	42	7.64%	7.64%	-	-	0.00%	0.00%
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	50,690	1,009	8.12%	8.12%	50,690	3,995	8.23%	8.23%	46,258	2,367	5.85%	5.85%
D														
INFRASTRUCTURE INVESTMENTS														
TAXABLE BONDS														
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	13	0	6.52%	6.52%	13	0	2.02%	2.02%	13	2	15.64%	15.64%
D03	Infrastructure - Corporate Securities - Equity shares - Quoted	ITCE	51	-	0.00%	0.00%	51	0	0.67%	0.67%	44	-	0.00%	0.00%
D07	Infrastructure - PSU - Debentures / Bonds	IPBT	96,077	1,944	8.49%	8.49%	96,077	6,856	8.73%	8.73%	68,209	4,417	7.44%	7.44%
D08	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	28	0.00%	0.00%	
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	16,981	361	8.67%	8.67%	16,981	1,227	8.64%	8.64%	8,254	942	11.04%	11.04%
E														
APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS														
E01	PSU - Equity shares - Quoted	EAEQ	246	1	1.01%	1.01%	246	4	1.67%	1.67%	226	1	0.71%	0.71%
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	961	0	0.01%	0.01%	961	19	2.19%	2.19%	827	287	35.45%	35.45%
E05	Corporate Securities - Bonds - (Taxable)	EPBT	38,472	790	8.33%	8.33%	38,472	3,040	8.92%	8.92%	25,635	1,545	6.94%	6.94%
E16	Deposits - Deposit with Scheduled Banks, FIs (Incl. Bank Balance awaiting Investment), CCLI, RBI	ECDB	4,874	75	0	0	4,874	197	0	0	8,188	144	1.79%	1.79%
E17	Deposits - CDs with Scheduled Banks	EDCD	6,293	117	7.78%	7.78%	6,293	441	6.39%	6.39%	11,749	331	4.23%	4.23%
E18	Deposits - Repo / Reverse Repo	ECMR	-	41	10.94%	10.94%	-	56	11.80%	11.80%	-	3	0.00%	0.00%
E21	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	9	0.00%	0.00%	
E22	Application Money	ECAM	-	-	-	-	-	-	-	-	9	0.00%	0.00%	
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	4,200	94	8.92%	8.92%	4,200	380	9.05%	9.05%	4,200	167	4.95%	4.95%
E24	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	1,500	37	9.86%	9.86%	1,500	150	10.00%	10.00%	1,500	155	10.91%	10.91%
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	14,390	341	9.70%	9.70%	14,390	813	7.58%	7.58%	5,244	271	4.69%	4.69%
F														
OTHER INVESTMENTS														
F01	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	26	0.00%	0.00%	
F03	Equity Shares (incl Co-op Societies)	OESH	102	-	0.00%	0.00%	102	0	0.23%	0.23%	88	38	43.64%	43.64%
F04	Equity Shares (PSUs & Unlisted)	OEPU	31	0	3.26%	3.26%	31	0	1.64%	1.64%	36	0	1.28%	1.28%
F06	Debentures	OLDB	-	-	0.00%	-	-	-	-	-	37	0.00%	0.00%	
F09	Preference Shares	OPSH	-	-	-	0.00%	-	(2)	-69.11%	-69.11%	5	-	0.00%	
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	131	0.00%	0.00%	
F16	Securitized Assets	OPSA	-	-	-	-	-	-	-	-	1	0.00%	0.00%	
			488,019	9,380	7.97%	7.97%	488,019	32,910.96	8.01%	8.01%	348,793	22,202	7.00%	7.00%

- 1 All yields are on annualised basis
- 2 Previous year figures are for period ending 31st March 2010

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

- Note:** Category of Investments shall be as per Guidelines
- 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
 - 2 Yield netted for Tax.
 - 3 FORM-1 shall be prepared in respect of each fund.

Signature: sd/
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 34 YIELD ON INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name of the Fund: Annuity Fund



Rs. Lacs

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year			
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)
A	GOVERNMENT SECURITIES													
A01	Central Government Bonds	CGSB	6,122	111	7.61%	7.61%	6,122	395.38	7.61%	7.61%	3,609	266	7.86%	7.86%
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	14	0	9.32%	9.32%	14	1.32	9.40%	9.40%	14	1	9.88%	9.88%
B	GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES													
B02	State Government Bonds	SGGB	2	0	8.08%	8.08%	2	0.10	7.94%	7.94%	-	-	0.00%	0.00%
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	487	10	8.40%	8.40%	487	41.65	8.55%	8.55%	487	33	7.91%	7.91%
D	INFRASTRUCTURE INVESTMENTS													
	TAXABLE BONDS													
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	480	11	9.00%	9.00%	480	44.20	9.18%	9.18%	490	44	9.43%	9.43%
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	428	10	9.08%	9.08%	428	34.17	8.93%	8.93%	263	25	9.79%	9.79%
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS													
E05	Corporate Securities - Bonds - (Taxable)	EPBT	935	19	8.33%	8.33%	935	68.70	8.04%	8.04%	230	19	8.74%	8.74%
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	0.00%	0.00%	-	-	0.00%	0.00%	417	-	0.00%	0.00%
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	200	-	0.00%	0.00%	200	-	0.00%	0.00%	-	-	0.00%	0.00%
F	OTHER INVESTMENTS													
			8,667	161	7.84%	7.84%	8,667	585.52	7.69%	7.69%	5,509	388	7.81%	7.81%

Note :

- 1 All yields are on annualised basis
- 2 Previous year figures are for period ending 31st March 2010

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Note: Category of Investments shall be as per Guidelines

- 1 To be calculated based on Monthly or lesser frequency "Weighted Average" of Investments.
- 2 Yield netted for Tax.
- 3 FORM-1 shall be prepared in respect of each fund.

Signature: _____ sd/-

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

No.	Investment Particulars	Cat Code	Current Quarter				Year To Date				Previous Year				
			Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%) *	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income On Investment (Rs.)	Gross Yield (%)	Net Yield (%)	
A														GOVERNMENT SECURITIES	
A01	Central Government Bonds	CGSB	28,721	460	6.82%	6.82%	28,721	2,404	5.34%	5.34%	25,345	2,512	11.37%	11.37%	
A02	Central Government Guaranteed Loans / Bonds	CGSL	-	-	-	-	-	-	-	-	54	4.38%	4.38%		
A04	Treasury Bills	CTRB	1,982	34	6.91%	6.91%	1,982	104	5.94%	5.94%	997.31	114	3.36%	3.36%	
B														GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES	
B02	State Government Bonds	SGGB	1,742	(40)	-15.09%	-15.09%	1,742	102	6.46%	6.46%	0.00	-	0.00%	0.00%	
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	50	12.12%	12.12%	-	96	7.72%	7.72%	0.00	1	0.00%	0.00%	
C														HOUSING SECTOR INVESTMENTS	
C06	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	5,352	139	6.52%	6.52%	5,352	272	4.24%	4.24%	3,466.84	46	1.70%	1.70%	
D														INFRASTRUCTURE INVESTMENTS	
TAXABLE BONDS															
D02	Infrastructure - PSU - Equity shares - Quoted	ITPE	6,573	(1,028)	-60.28%	-60.28%	6,573	(665)	-10.56%	-10.56%	1,872.49	2,279	186.67%	186.67%	
D03	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	39,500	(3,403)	-37.46%	-37.46%	39,500	4,483	12.35%	12.35%	39,515.54	7,393	19.16%	19.16%	
D07	Infrastructure - PSU - Debentures / Bonds	IPTD	45,561	634	5.22%	5.22%	45,561	2,642	5.07%	5.07%	38,241.15	3,785	11.95%	11.95%	
D08	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	59	5.83%	5.83%	2,407.25	43	1.81%	1.81%	
D09	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	29,313	387	5.60%	5.60%	29,313	1,580	6.43%	6.43%	24,377.83	2,120	8.82%	8.82%	
E														APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS	
E01	PSU - Equity shares - Quoted	EAEQ	73,935	(3,641)	-20.97%	-20.97%	73,935	6,506	10.28%	10.28%	46,765.33	2,279	4.96%	4.96%	
E02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	382,910	(14,826)	-16.53%	-16.53%	382,910	39,263	12.63%	12.63%	254,598.85	126,361	70.69%	70.69%	
E05	Corporate Securities - Bonds - (Taxable)	EPBT	43,730	676	6.34%	6.34%	43,730	2,287	5.67%	5.67%	29,571.57	2,678	9.47%	9.47%	
E16	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	13,081	213	5.81%	5.81%	13,081	691	6.16%	6.16%	4,848.49	532	11.62%	11.62%	
E17	Deposits - CDs with Scheduled Banks	EDCD	134,739	2,304	7.90%	7.90%	134,739	5,368	6.56%	6.56%	76,026.29	2,463	3.77%	3.77%	
E18	Deposits - Repo / Reverse Repo	ECMR	-	67	11.44%	11.44%	-	223	6.40%	6.40%	0.00	12	0.00%	0.00%	
E21	Commercial Papers	ECCP	2,467	70	8.12%	8.12%	2,467	362	6.78%	6.78%	9,670.96	252	3.34%	3.34%	
E23	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	846	10	4.96%	4.96%	846	72	6.50%	6.50%	872.37	44	5.18%	5.18%	
E28	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	12,620	334	10.06%	10.06%	12,620	1,079	7.23%	7.23%	20,559.12	441	2.06%	2.06%	
E30	Net Current Assets (Only in respect of ULIP Business)	ENCA	19,844	-	0.00%	0.00%	19,844	-	0.00%	0.00%	12,792.28	-	0.00%	0.00%	
F														OTHER INVESTMENTS	
F03	Equity Shares (incl Co-op Societies)	OESH	38,294	(2,600)	-30.49%	-30.49%	38,294	6,606	11.78%	11.78%	62,964.82	25,470	58.55%	58.55%	
F04	Equity Shares (PSUs & Unlisted)	OEPU	5,744	(149)	-10.70%	-10.70%	5,744	171	2.90%	2.90%	2,834.57	(70)	-4.05%	-4.05%	
F09	Preference Shares	OPSH	-	-	0.00%	0.00%	-	3	7.68%	7.68%	66.42	(4)	-11.60%	-11.60%	
F13	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	0.00%	0.00%	-	-	0.00%	0.00%	0.00	329	0.00%	0.00%	
F16	Securitized Assets	OPSA	-	-	0.00%	0.00%	-	-	0.00%	0.00%	0.00	3	0.00%	0.00%	
			886,956	(20,309)	-9.71%	-9.71%	886,956	73,707	9.41%	9.41%	657,794	179,138	33.26%	33.26%	

Note :
1 All yields are on annualised basis
2 Previous year figures are for period ending 31st March 2010
Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

DATE:

Note: Category of Investments shall be as per Guidelines
1 To be calculated based on Monthly or lesser frequency 'Weighted Average' of Investments.
2 Yield netted for Tax.
3 FORM-1 shall be prepared in respect of each fund.

Signature: _____ sd/-
Full Name: Rajesh Sud / Prashant Sharma
Designation: Chief Executive Officer/ Chief of Investments

PERIODIC DISCLOSURES

FORM L - 35 DOWNGRADING OF INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name Of The Fund: Life Fund



Rs. Lacs

No.	Particulars of Investment	Cat Code	Amount (As Per Balance Sheet)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>DURING THE QUARTER</u>								
			NIL						
B	<u>AS ON DATE</u>								
D	INFRASTRUCTURE INVESTMENTS								
	TAXABLE BONDS								
D07	7.70% NHPC 31 MARCH 2014	IPTD	491	31-Mar-06	CRISIL	AAA	AA+	25-May-07	
D09	6%TATAPOW18Oct15	ICTD	1,140	4-Feb-05	CRISIL	AAA	AA	9-Jul-07	
D09	6.7% RELINFRA 19 AUGUST 2018	ICTD	198	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
D09	5.60% RELIANCE INFRA 28 JULY 2013	ICTD	955	28-Oct-05	CRISIL	AAA	AA+	7-May-09	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	7.15% IOC 10 JUNE 2012	EPBT	328	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature: sd/-
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

DATE:

NOTE:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES

FORM L - 35 DOWNGRADING OF INVESTMENT

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name Of The Fund: Annuity Fund



No.	Particulars of Investment	Cat Code	Amount (As Per Balance Sheet)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	<u>DURING THE QUARTER</u>								
			NIL						
B	<u>AS ON DATE</u>								
D	INFRASTRUCTURE INVESTMENTS								
	<u>TAXABLE BONDS</u>								
D09	6.7% RELINFRA 19 AUGUST 2018	ICTD	3	9-Sep-03	CRISIL	AAA	AA+	7-May-09	
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	7.15% IOC 10 JUNE 2012	EPBT	169	3-May-06	ICRA	LAAA	AA+	29-Nov-07	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature: _____sd/-_____
 Full Name: Rajesh Sud / Prashant Sharma
 Designation: Chief Executive Officer/ Chief of Investments

DATE:

NOTE:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES**FORM L - 35 DOWNGRADING OF INVESTMENT**

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st March 2011

Name Of The Fund: Unit Linked Fund



No.	Particulars of Investment	Cat Code	Amount (As Per Balance Sheet)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A	DURING THE QUARTER								
			NIL						
B	AS ON DATE								
E	APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS								
E05	11.90% PIDILITE INDUSTRIES 5 DECEMBER 2013	EPBT	726	5-Dec-08	CRISIL	AAA	AA+	31-Dec-08	

CERTIFICATION

Certified that the information herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed

Signature: _____sd/-

Full Name: Rajesh Sud / Prashant Sharma

Designation: Chief Executive Officer/ Chief of Investments

DATE:**NOTE:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, which were listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investment (COI) shall be as per INV/GLN/001/2003-04

PERIODIC DISCLOSURES

FORM L-36 :PREMIUM AND NUMBER OF LIVES COVERED BY POLICY TYPE
 NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED
 REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S. No.	Particulars	For The Qtr Ending 31st March 11				For The Qtr Ending 31st March 10				Upto The Qtr Ending 31st March 11				Upto The Qtr Ending 31st March 10			
		Premium (₹Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (₹ Cr)	Premium (₹Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (₹ Cr)	Premium (₹Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (₹ Cr)	Premium (₹Cr)	No. of Policies	No. of Lives	Sum Insured, Wherever applicable (₹ Cr)
1	First year Premium																
	i Individual Single Premium- (ISP)																
	From 0-10000	19.72	1	1.00	0.93	3.05	28	28	2.32	76	457	432	27.33	9	124	124	3.98
	From 10,001-25,000	20.76	-	-	0.98	2.20	-	-	1.67	30	-	-	5.14	6	-	-	2.41
	From 25001-50,000	10.83	3	3.00	0.51	4.18	129	129	3.18	17	10	10	3.29	54	4,375	3,475	104.86
	From 50,001- 75,000	6.01	1	1.00	0.28	0.80	6	6	0.61	9	3	3	1.56	5	191	180	2.62
	From 75,000-100,000	3.35	-	-	0.16	0.74	10	10	0.56	6	5	5	1.12	10	394	345	19.75
	From 1,00,001 -1,25,000	1.59	-	-	0.07	0.20	-	-	0.15	2	-	-	0.34	1	8	8	0.58
	Above Rs. 1,25,000	9.77	1	1.00	0.46	17.25	190	188	13.13	62	251	251	22.92	70	1,062	1,036	53.05
	ii Individual Single Premium (ISPA)- Annuity																
	From 0-50000	0.44	-	-	(0.00)	0.03	-	-	0.10	0	2	2	0.69	0	-	-	0.11
	From 50,001-100,000	0.45	1.00	1.00	(0.00)	10.56	242	229	38.25	13	413	398	144.81	20	583	533	41.47
	From 1,00,001-150,000	-	-	-	-	1.98	32	31	7.16	3	70	67	35.66	8	169	156	9.24
	From 150,001- 2,00,000	0.90	1.00	1.00	(0.01)	(0.56)	9	9	(2.01)	2	30	28	19.58	4	72	61	(0.46)
	From 2,00,001-250,000	-	-	-	-	0.11	2	2	0.40	1	7	7	6.24	1	10	9	0.62
	From 2,50,001-3,00,000	-	-	-	-	0.38	3	3	1.38	0	5	5	5.27	1	10	10	1.56
	Above Rs. 3,00,000	-	-	-	-	1.67	10	10	6.06	11	29	29	80.90	6	34	33	7.48
	iii Group Single Premium (GSP)																
	From 0-10000																
	From 10,000-25,000																
	From 25001-50,000																
	From 50,001- 75,000																
	From 75,000-100,000																
	From 1,00,001 -1,25,000																
	Above Rs. 1,25,000																
	iv Group Single Premium- Annuity- GSPA																
	From 0-50000																
	From 50,001-100,000																
	From 1,00,001-150,000																
	From 150,001- 2,00,000																
	From 2,00,001-250,000																
	From 2,50,001-3,00,000																
	Above Rs. 3,00,000																
	v Individual non Single Premium- INSP																
	From 0-10000	62.27	77,368	74,810	807.81	45.68	65,467	64,156	758.21	200	272,518	264,009	3,133.59	235	371,737	360,378	4,196.05
	From 10,000-25,000	159.98	86,185	84,775	2,075.35	195.52	115,840	112,647	3,245.66	640	352,294	348,256	9,703.68	672	409,182	389,495	12,221.81
	From 25001-50,000	110.73	28,676	28,072	1,436.39	83.45	20,898	19,136	1,385.25	354	90,928	88,615	5,350.14	315	84,084	75,307	5,569.24
	From 50,001- 75,000	21.31	3,400	3,394	276.48	13.75	2,161	1,770	228.31	60	8,944	8,906	903.76	58	10,402	9,268	1,041.67
	From 75,000-100,000	48.44	5,160	5,041	628.42	20.77	2,136	2,133	344.72	135	14,234	13,930	2,034.28	79	9,127	8,248	1,417.09
	From 1,00,001 -1,25,000	4.76	364	364	61.72	2.81	192	192	46.69	15	1,091	1,091	229.77	12	847	847	215.40
	Above Rs. 1,25,000	43.28	1,495	1,479	561.41	23.25	637	602	385.90	124	4,103	4,050	1,877.24	85	2,644	2,374	1,521.14
	vi Individual non Single Premium- Annuity- INSPA																
	From 0-50000	14.54	43.00	43.00	(0.12)	21.76	10,992	10,212	24.82	127	67,255	66,234	131.72	81	53,675	47,808	92.56
	From 50,001-100,000	1.86	2.00	2.00	(0.02)	4.42	852	625	5.04	24	2,813	2,770	26.20	12	2,457	1,851	14.61
	From 1,00,001-150,000	0.37	-	-	(0.00)	0.73	88	78	0.83	3	241	241	3.22	2	227	215	2.43
	From 150,001- 2,00,000	0.18	-	-	(0.00)	1.02	74	66	1.16	5	249	242	6.64	3	188	178	3.57
	From 2,00,001-250,000	0.08	-	-	(0.00)	0.30	19	16	0.34	2	62	60	1.77	1	48	45	1.02
	From 2,50,001-3,00,000	0.24	-	-	(0.00)	0.40	20	20	0.46	2	84	81	2.60	1	56	56	1.43
	Above Rs. 3,00,000	0.72	1.00	1.00	(0.01)	2.90	49	48	3.31	11	161	161	12.34	8	134	130	9.72

PERIODIC DISCLOSURES

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



In ₹Cr

S.No.	Channels	For The Qtr Ending 31st Mar 11			For The Qtr Ending 31st Mar 10			Upto The Qtr Ending 31st Mar 11			Upto The Qtr Ending 31st Mar 10		
		No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (₹Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (₹Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (₹Cr)	No. of Policies/ No. of Schemes	No. of Lives Covered	Premium (₹Cr)
1	Individual agents	-	-	-	6	2,317	0.82	-	-	-	66	31,546	3
2	Corporate Agents-Banks	-	-	-	-	-	-	-	-	-	-	-	-
3	Corporate Agents -Others	-	-	-	-	-	-	-	-	-	-	-	-
4	Brokers	63	22,765	3	39	11,993	1.02	369	181,075	7	248	110,145	4
5	Micro Agents	-	-	-	-	-	-	-	-	-	-	-	-
6	Direct Business	59	(774,357)	34	42	3,514,122	23.06	343	8,407,751	118	323	8,615,544	83
	Total(A)	122	(751,592)	37	87	3,528,432	24.90	712	8,588,826	126	637	8,757,235	89.60
1	Referral (B)	-	-	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B)	122	(751,592)	37	87	3,528,432	24.90	712	8,588,826	126	637	8,757,235	89.60

Note:

1. Premium means amount of premium received from business acquired by the source.

2. No of Policies stand for no. of policies sold.

PERIODIC DISCLOSURES

FORM L - 38 BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000



S. No.	Channels	For The Qtr Ending 31st Mar 11		For The Qtr Ending 31st Mar 10		Upto The Qtr Ending 31st Mar 11		Upto The Qtr Ending 31st Mar 10	
		No. of Policies	Premium (₹Cr)	No. of Policies	Premium (₹Cr)	No. of Policies	Premium (₹Cr)	No. of Policies	Premium (₹Cr)
1	Individual agents	80,035	226	119,537	277	432,043	1,041	580,386	1,229
2	Corporate Agents-Banks	47,983	186	7,092	19	111,383	424	24,819	63
3	Corporate Agents -Others	59,226	104	84,751	144	225,341	380	301,914	377
4	Brokers	13,439	19	2,965	6	31,033	44	12,274	16
5	Micro Agents					-	-	-	-
6	Direct Business	1,881	7	4,136	11	11,046	38	24,251	60
	Total (A)	202,564	541.64	218,481	456.16	810,846	1,926.45	943,644	1,745.87
1	Referral (B)	138	1	1,605	3	5,413	9	8,196	14
	Grand Total (A+B)	202,702	542.58	220,086	459.35	816,259	1,935.85	951,840	1,759.47

Note:

1. Premium means amount of premium received from business acquired by the source.

2. No of Policies stand for no. of policies sold.

PERIODIC DISCLOSURES

FORM L-39-DATA ON SETTLEMENT OF CLAIMS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2011



Ageing of Claims* - Individual									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (₹ Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	709	-	-	-	-	709	8.48
2	Survival Benefit	-	35,743	48	3	1	-	35,795	52
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Death Claims	-	4,106	2,572	235	68	52	7,033	148
5	For Surrender	-	359,628	-	-	-	-	359,628	848.62
6	Other benefits	-	150	68	7	5	2	232	5

Ageing of Claims* - Group									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (₹ Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Death Claims	-	41,169	2,411	1,127	352	25	45,084	73
5	For Surrender	-	-	-	-	-	-	-	-
6	Other benefits	-	14	5	3	-	-	22	0.36

The figures for individual and group insurance business need to be shown separately.

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

PERIODIC DISCLOSURES

FORM L-39-DATA ON SETTLEMENT OF CLAIMS

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2010



Ageing of Claims* - Individual									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (₹ Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	58	-	-	-	-	58	0.45
2	Survival Benefit	-	20,691	-	-	-	-	20,691	29.98
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Death Claims	-	3,886	-	-	-	-	3,886	78.04
5	For Surrender	-	43,748	-	-	-	-	43,748	295.40
6	Other benefits	-	175	-	-	-	-	175	3.96

Ageing of Claims* - Group									
S.No.	Types of Claims	No. of claims paid						Total No. of claims paid	Total amount of claims paid (₹ Cr)
		On or before maturity	1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Maturity Claims	-	-	-	-	-	-	-	-
2	Survival Benefit	-	-	-	-	-	-	-	-
3	Annuities / Pension	-	-	-	-	-	-	-	-
4	Death Claims	-	10,241	-	-	-	-	10,241	19.87
5	For Surrender	-	2	-	-	-	-	2	0.02
6	Other benefits	-	39	-	-	-	-	39	0.44

The figures for individual and group insurance business need to be shown separately.

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

PERIODIC DISCLOSURES

FORM L-40-QUARTERLY CLAIMS DATA FOR LIFE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2011

INDIVIDUAL CLAIMS: No. of Claims only



S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	717	-	18	-	-	4
2	Claims reported during the period*	2,211	223	10,420	-	265,002	60
3	Claims Settled during the period	2,003	223	10,388	-	265,002	52
4	Claims Repudiated during the period	281	-	43	-	-	5
a	Less than 2years from the date of acceptance of risk	236	-	30	-	-	3
b	Grater than 2 year from the date of acceptance of risk	45	-	13	-	-	2
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	644	-	7	-	-	7
	Less than 3months	629	-	7	-	-	7
	3 months to 6 months	9	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	6	-	-	-	-	-

GROUP CLAIMS: No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	217	-	-	-	-	-
2	Claims reported during the period*	11,918	-	-	-	-	4
3	Claims Settled during the period	11,855	-	-	-	-	4
4	Claims Repudiated during the period	175	-	-	-	-	-
a	Less than 2years from the date of acceptance of risk	175	-	-	-	-	-
b	Grater than 2 year from the date of acceptance of risk	-	-	-	-	-	-
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	105	-	-	-	-	-
	Less than 3months	104	-	-	-	-	-
	3 months to 6 months	-	-	-	-	-	-
	6months to 1 year	1	-	-	-	-	-
	1year and above	-	-	-	-	-	-

TOTAL CLAIMS:No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	934	-	18	-	-	4
2	Claims reported during the period*	14,129	223	10,420	-	265,002	64
3	Claims Settled during the period	13,858	223	10,388	-	265,002	56
4	Claims Repudiated during the period	456	-	43	-	-	5
a	Less than 2years from the date of acceptance of risk	411	-	30	-	-	3
b	Grater than 2 year from the date of acceptance of risk	45	-	13	-	-	2
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	749	-	7	-	-	7
	Less than 3months	733	-	7	-	-	7
	3 months to 6 months	9	-	-	-	-	-
	6months to 1 year	1	-	-	-	-	-
	1year and above	6	-	-	-	-	-

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

PERIODIC DISCLOSURES

FORM L-40-QUARTERLY CLAIMS DATA FOR LIFE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2010

INDIVIDUAL CLAIMS: No. of Claims only



S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	1,403	-	22	-	-	12
2	Claims reported during the period*	1,751	30	114	-	155,909	73
3	Claims Settled during the period	1,544	30	50	-	155,909	58
4	Claims Repudiated during the period	275	-	65	-	-	4
a	Less than 2years from the date of acceptance of risk	233	-	57	-	-	1
b	Grater than 2 year from the date of acceptance of risk	42	-	8	-	-	3
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,335	-	21	-	-	23
	Less than 3months	1,108	-	21	-	-	22
	3 months to 6 months	214	-	-	-	-	-
	6months to 1 year	13	-	-	-	-	-
	1year and above	-	-	-	-	-	1

GROUP CLAIMS:No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	576	-	-	-	-	1
2	Claims reported during the period*	6,596	-	-	-	-	12
3	Claims Settled during the period	6,189	-	-	-	-	6
4	Claims Repudiated during the period	336	-	-	-	-	2
a	Less than 2years from the date of acceptance of risk	330	-	-	-	-	1
b	Grater than 2 year from the date of acceptance of risk	6	-	-	-	-	1
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	647	-	-	-	-	5
	Less than 3months	634	-	-	-	-	5
	3 months to 6 months	13	-	-	-	-	-
	6months to 1 year	-	-	-	-	-	-
	1year and above	-	-	-	-	-	-

TOTAL CLAIMS:No. of Claims only

S. No.	Claims Experience	For Death	For Maturity	Survival Benefit	For Annuities/ Pension	For Surrender	Other Benefits
1	Claims O/S at the beginning of the period	1,979	-	22	-	-	13
2	Claims reported during the period*	8,347	30	114	-	155,909	85
3	Claims Settled during the period	7,733	30	50	-	155,909	64
4	Claims Repudiated during the period	611	-	65	-	-	6
a	Less than 2years from the date of acceptance of risk	563	-	57	-	-	2
b	Grater than 2 year from the date of acceptance of risk	48	-	8	-	-	4
5	Claims Written Back	-	-	-	-	-	-
6	Claims O/S at End of the period	1,982	-	21	-	-	28
	Less than 3months	1,742	-	21	-	-	27
	3 months to 6 months	227	-	-	-	-	-
	6months to 1 year	13	-	-	-	-	-
	1year and above	-	-	-	-	-	1

*in case of death- the claims for which all the documentations have been completed needs to be shown here.

PERIODIC DISCLOSURES

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2011



GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
1	Complaints made by customers						
a)	Sales Related	188	4,733	4,891	-	-	30
b)	New Busines Related	661	10,590	10,992	-	-	259
c)	Policy Servcing related	2,552	9,488	10,807	-	-	1,233
d)	Claim Servicing related	-	389	56	-	-	333
e)	Others	8	390	385	-	-	13
	Total Number	3,409	25,590	27,131	-	-	1,868

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Less than 15 days	1,108	358	1,466
b)	Greater than 15 days	375	27	402
	Total Number	1,483	385	1,868

* Opening balance should tally with the closing balance of the previous financial year.

PERIODIC DISCLOSURES

Form L - 41 GRIEVANCE

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO: 104; DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

STATEMENT AS ON: 31st MARCH 2010



GRIEVANCE DISPOSAL							
S. No.	Particulars	Opening Balance *	Additions	Complaints Resolved/ settled			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
1	Complaints made by customers						
a)	Sales Related	151	7,201	7,164	-	-	188
b)	New Busines Related	187	18,872	18,398	-	-	661
c)	Policy Servcing related	454	15,893	13,795	-	-	2,552
d)	Claim Servicing related	-	2	2	-	-	-
e)	Others	-	699	691	-	-	8
	Total Number	792	42,667	40,050			3,409

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Less than 15 days	2,309	664	2,973
b)	Greater than 15 days	350	86	436
	Total Number	2,659	750	3,409

* Opening balance should tally with the closing balance of the previous financial year.

PERIODIC DISCLOSURES

L-42- VALUATION BASIS (LIFE INSURANCE)

NAME OF THE INSURER: MAX NEW YORK LIFE INSURANCE COMPANY LIMITED

REGISTRATION NO 104: DATE OF REGISTRATION WITH IRDA : NOVEMBER 15, 2000

Period Ended March 2011



Policy data is downloaded in text files from policy administration system through an automated query post completion of new business processing for the year. Various checks are applied on the data before using it for policy liability calculations.

An actuarial software is used for valuation of policyholders' liabilities and the assumption tables of the software are updated to reflect current valuation basis.

1) Interest Rates	Minimum Interest Rate	Maximum Interest Rate
Individual Business		
Life- Participating policies	6.95%	6.95%
Life- Non-participating Policies	5.95% - 6.70%	5.95% - 6.70%
Annuities- Participating policies	6.95%	6.95%
Annuities – Non-participating policies	NA	NA
Annuities- Individual Pension Plan	NA	NA
Unit Linked	5.95%	5.95%
Health Insurance	5.95%	5.95%
Group Business		
Non-Par Single Premium	5.95%	5.95%

2) Mortality Rates	
Individual Business	
Life- Participating policies	95% to 180% of IALM(94-96) in Yr1 to 70%-160% of IALM(94-96) thereafter
Life- Non-participating Policies	30%-175% of IALM in Yr 1&2 to 30%-175% thereafter
Annuities- Participating policies	70% of IALM
Annuities – Non-participating policies	NA
Annuities- Individual Pension Plan	NA
Unit Linked	70% to 150% of IALM(94-96) in Yr1 to 70%-110% of IALM(94-96) thereafter
Health Insurance	125% of IALM
Group Business	
Non-Par Single Premium	70%-200% of IALM(94-96)

3) Expenses	Per Policy	Premium Related	Reserve Related Expenses
Individual Business			
Life- Participating policies	Rs. 363	3.63%	0.10%
Life- Non-participating Policies	Rs. 363	3.63%	0.10%
Annuities- Participating policies	Rs. 363	3.63%	0.10%
Annuities – Non-participating policies	NA	NA	NA
Annuities- Individual Pension Plan	NA	NA	NA
Unit Linked	Rs. 440	1.87%	0.40%
Health Insurance	Rs.478.5-Rs 858	3.63%	0.00%
Group Business			
Non-Par Single Premium	Rs. 121	0.00%	0.00%

4) Bonus Rates

The Company's bonus system is such that the rates for each product are a function of entry age and policy duration. Specimen valuation bonus rates (per thousand sum assured) for Whole Life Par policies used in March 2011 valuations are shown in table below

Whole of Life- Era 1(Policy issue dates Dec 2000 to Jan 2002)								
Policy Year	Age at entry							
	0	10	20	30	40	50	60	70
3	0.35	0.68	1.37	3.66	7.53	11.58	18.09	22.40
5	0.70	1.37	2.76	5.65	11.05	18.77	25.00	30.96
10	0.87	1.70	3.42	7.10	14.26	24.28	32.13	39.79
20	1.21	2.35	4.74	10.01	20.68	35.29	46.40	57.44
30	1.42	2.77	5.57	11.73	24.28	41.26	54.86	67.93
40	1.62	3.15	6.34	13.33	27.56	46.66	62.70	-

Whole of Life- Era 2 (Policy issue dates Feb 2002 to July 2002)								
Policy Year	Age at entry							
	0	10	20	30	40	50	60	70
3	-	-	-	-	-	-	-	-
5	1.07	1.47	2.97	5.89	9.67	15.20	21.69	28.53
10	1.53	2.96	4.67	8.70	14.69	23.13	32.65	42.83
20	2.85	5.16	8.98	16.86	29.28	46.19	64.27	84.07
30	4.22	7.68	13.41	25.14	43.73	68.71	96.34	125.73
40	4.91	8.90	15.61	29.18	50.71	79.37	108.18	130.22

Whole of Life- Era 3 (Policy issue dates August 2002 to January 2006)								
Policy Year	Age at entry							
	0	10	20	30	40	50	60	70
3	-	-	-	-	-	-	-	-
5	1.36	1.87	3.76	7.46	12.26	19.27	27.49	36.16
10	1.93	3.38	5.92	11.02	18.61	29.31	41.38	54.28
20	3.17	5.75	10.01	18.80	32.65	51.51	71.68	93.76
30	4.70	8.56	14.96	28.04	48.77	76.62	107.44	140.21
40	5.47	9.93	17.41	32.54	56.55	88.51	120.64	145.23

5) Policyholders Reasonable Expectations

Policyholders' Reasonable Expectations were considered for valuations:

i) Future bonuses have been allowed for within mathematical reserves. This has been done by projecting the bonuses likely to be paid in accordance with the company's bonus philosophy and the projected levels of experience forming the valuation basis

ii) Although the Company's participation system is nominally based on cash 'dividends', the preferred dividend option is for dividends to be taken as reversionary additions to sums insured. An election to use cash dividends in this way is incorporated in

iii) Benefit illustrations have been part of the Company's sales process since it was founded. These illustrations have always been well qualified including statements along the lines 'This is only an illustrative document does not convey any rights or o

iv) Prior to implementation of 'dual rate' illustrations conforming with the Circular issued by the life Insurance Council in 2004, single rate illustrations were used with the level of bonuses illustrated conforming broadly with the prevailing projected

v) Having considered the issues carefully and discussed with senior members of the Company's management familiar with the historic sales processes, the Appointed Actuary concluded that a reasonable expectation would be for the shapes attributable to the v

vi) Thus within the various participating products, the allowance for future bonuses depends upon the illustration 'era' to which the individual policy concerned. Thus the allowance is effectively the outworking of the single rate illustration used at p

vii) In addition above, the Company has established a practice whereby bonuses are paid even though there is no distributable surplus in the conventional sense. Shareholders have felt that the early generations of policyholders should receive a bonus a

viii) The reserves have been set at least equal to the guaranteed surrender value or the currently illustrated surrender value whichever is higher. In allowing for future lapses and surrenders, the illustrated surrender scales have been assumed to continu

6) Taxation and Shareholder Transfers

Shareholders' transfers linked to bonuses and the potential liability to tax on the surplus distributed have been allowed for by grossing-up the bonus rates used in the valuation for the shareholders' transfer rate and tax rate. The Appointed Actuary took

7) Basis of provisions for Incurred But Not Reported (IBNR)

An exercise was done to find out the delay in reporting the claims. Based on this study an appropriate provision was made for IBNR Claims in the accounts as of 31st March 2011.

8) **Change in Valuation Methods or Bases (Compared to 2009-10)**

Individual Assurance	
a) Interest	No Change
b) Expense	No Change
c) Inflation	Revised rates in Mar'2011
Annuities	
a) Interest	No Change
b) Expense	No Change
c) Inflation	Revised rates in Mar'2011
Unit Linked	
a) Interest	Revised rates in Mar'2011
b) Expense	No Change
c) Inflation	Revised rates in Mar'2011
Health	
a) Interest	No Change
b) Expense	No Change
c) Inflation	Revised rates in Mar'2011
Group	
a) Interest	No Change
b) Expense	No Change
c) Inflation	Revised rates in Mar'2011